

# Pólya frequency sequences: analysis meets algebra

Apoorva Khare  
Indian Institute of Science, Bangalore

# Totally positive/nonnegative matrices

**Definition.** A rectangular matrix is *totally positive* ( $TP$ ) if all minors are positive. (Similarly, totally non-negative ( $TN$ ).)

Thus all entries  $> 0$ , all  $2 \times 2$  minors  $> 0$ , ...

These matrices occur widely in mathematics:

# Totally positive matrices in mathematics

TP and TN matrices occur in

- analysis and differential equations (Aissen, Edrei, Schoenberg, Pólya, Loewner, Whitney)
  - probability and statistics (Efron, Karlin, Pitman, Proschan, Rinott)
  - interpolation theory and splines (Curry, Schoenberg)
  - Gabor analysis (Gröchenig, Stöckler)
  - interacting particle systems (Gantmacher, Krein)
  - matrix theory (Ando, Cryer, Fallat, Garloff, Johnson, Pinkus, Sokal)
- 
- representation theory (Lusztig, Postnikov)
  - cluster algebras (Berenstein, Fomin, Zelevinsky)
  - integrable systems (Kodama, Williams)
  - quadratic algebras (Borger, Davydov, Grinberg, Hô Hai)
  - combinatorics (Brenti, Lindström–Gessel–Viennot, Skandera, Sturmfels)
  -

# Examples of TP/TN matrices

- 1 The lower-triangular matrix  $A = (\mathbf{1}_{j \geq k})_{j,k=1}^n$  is TN.
- 2 Generalized Vandermonde matrices are TP: if  $0 < x_1 < \cdots < x_n$  and  $y_1 < y_2 < \cdots < y_n$  are real, then

$$\det(x_j^{y_k})_{j,k=1}^n > 0.$$

# Examples of TP/TN matrices

- 1 The lower-triangular matrix  $A = (\mathbf{1}_{j \geq k})_{j,k=1}^n$  is TN.
- 2 Generalized Vandermonde matrices are TP: if  $0 < x_1 < \cdots < x_n$  and  $y_1 < y_2 < \cdots < y_n$  are real, then

$$\det(x_j^{y_k})_{j,k=1}^n > 0.$$

- 3 (Pólya:) The *Gaussian kernel* is TP: given  $\sigma > 0$  and scalars

$$x_1 < x_2 < \cdots < x_n, \quad y_1 < y_2 < \cdots < y_n,$$

the matrix  $G[\mathbf{x}; \mathbf{y}] := (e^{-\sigma(x_j - y_k)^2})_{j,k=1}^n$  is TP.

## Examples of TP/TN matrices

- 1 The lower-triangular matrix  $A = (\mathbf{1}_{j \geq k})_{j,k=1}^n$  is TN.
- 2 Generalized Vandermonde matrices are TP: if  $0 < x_1 < \dots < x_n$  and  $y_1 < y_2 < \dots < y_n$  are real, then

$$\det(x_j^{y_k})_{j,k=1}^n > 0.$$

- 3 (Pólya:) The *Gaussian kernel* is TP: given  $\sigma > 0$  and scalars

$$x_1 < x_2 < \dots < x_n, \quad y_1 < y_2 < \dots < y_n,$$

the matrix  $G[\mathbf{x}; \mathbf{y}] := (e^{-\sigma(x_j - y_k)^2})_{j,k=1}^n$  is TP.

*Proof:* It suffices to show  $\det G[\mathbf{x}; \mathbf{y}] > 0$ . Now factorize:

$$G[\mathbf{x}; \mathbf{y}] = \text{diag}(e^{-\sigma x_j^2})_{j=1}^n \cdot ((e^{2\sigma x_j})^{y_k})_{j,k=1}^n \cdot \text{diag}(e^{-\sigma y_k^2})_{k=1}^n.$$

The middle matrix is a generalized Vandermonde matrix, so all three factors have positive determinants. □

# Pólya frequency sequences

The above notions of 'finite' matrices can be generalized to (bi-)infinite ones. A real sequence  $(a_n)_{n \in \mathbb{Z}}$  is a *Pólya frequency sequence* if for any integers

$$l_1 < l_2 < \cdots < l_n, \quad m_1 < m_2 < \cdots < m_n,$$

the determinant  $\det(a_{l_j - m_k})_{j,k=1}^n \geq 0$ .

# Pólya frequency sequences

The above notions of 'finite' matrices can be generalized to (bi-)infinite ones. A real sequence  $(a_n)_{n \in \mathbb{Z}}$  is a *Pólya frequency sequence* if for any integers

$$l_1 < l_2 < \dots < l_n, \quad m_1 < m_2 < \dots < m_n,$$

the determinant  $\det(a_{l_j - m_k})_{j,k=1}^n \geq 0$ .

In other words, these are bi-infinite Toeplitz matrices

$$\begin{pmatrix} \ddots & \vdots & \vdots & \vdots & \vdots & \\ \cdots & a_0 & a_{-1} & a_{-2} & a_{-3} & \cdots \\ \cdots & a_1 & a_0 & a_{-1} & a_{-2} & \cdots \\ \cdots & a_2 & a_1 & a_0 & a_{-1} & \cdots \\ \cdots & a_3 & a_2 & a_1 & a_0 & \cdots \\ & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

which are totally non-negative.

- Example: *Gaussians*. For  $q \in (0, 1)$ , the sequence  $(q^{n^2})_{n \in \mathbb{Z}}$  is not just a TN sequence, but TP. (Why? Set  $q = e^{-\sigma}$ .)



# Pólya frequency sequences

The above notions of 'finite' matrices can be generalized to (bi-)infinite ones. A real sequence  $(a_n)_{n \in \mathbb{Z}}$  is a *Pólya frequency sequence* if for any integers

$$l_1 < l_2 < \dots < l_n, \quad m_1 < m_2 < \dots < m_n,$$

the determinant  $\det(a_{l_j - m_k})_{j,k=1}^n \geq 0$ .

In other words, these are bi-infinite Toeplitz matrices

$$\begin{pmatrix} \ddots & \vdots & \vdots & \vdots & \vdots & \\ \cdots & a_0 & a_{-1} & a_{-2} & a_{-3} & \cdots \\ \cdots & a_1 & a_0 & a_{-1} & a_{-2} & \cdots \\ \cdots & a_2 & a_1 & a_0 & a_{-1} & \cdots \\ \cdots & a_3 & a_2 & a_1 & a_0 & \cdots \\ & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

which are totally non-negative.

- Example: *Gaussians*. For  $q \in (0, 1)$ , the sequence  $(q^{n^2})_{n \in \mathbb{Z}}$  is not just a TN sequence, but TP. (Why? Set  $q = e^{-\sigma}$ .)

Focus on two kinds of examples: *finite* and *one-sided infinite*.

# Generating functions of Pólya frequency sequences

- Two remarkable results (1950s) say that finite and one-sided Pólya frequency sequences are simply products of 'atoms'!
- The 'atoms' are explained next. For now: why products?

# Generating functions of Pólya frequency sequences

- Two remarkable results (1950s) say that finite and one-sided Pólya frequency sequences are simply products of 'atoms'!
- The 'atoms' are explained next. For now: why products?

Suppose  $\mathbf{a} = (\dots, 0, 0, a_0, a_1, a_2, a_3, \dots)$  is one-sided. Its *generating function* is

$$\Psi_{\mathbf{a}}(x) := a_0 + a_1x + a_2x^2 + a_3x^3 + \dots, \quad a_0 \neq 0.$$

Now if  $\mathbf{a}, \mathbf{b}$  are one-sided PF sequences, then their Toeplitz 'matrices' are TN:

$$T_{\mathbf{a}} := \begin{pmatrix} a_0 & 0 & 0 & \dots \\ a_1 & a_0 & 0 & \dots \\ a_2 & a_1 & a_0 & \dots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix} \quad T_{\mathbf{b}} := \begin{pmatrix} b_0 & 0 & 0 & \dots \\ b_1 & b_0 & 0 & \dots \\ b_2 & b_1 & b_0 & \dots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix}.$$

By the Cauchy–Binet formula, so also is  $T_{\mathbf{a}}T_{\mathbf{b}} \rightsquigarrow$  Toeplitz matrix.

# Generating functions of Pólya frequency sequences

- Two remarkable results (1950s) say that finite and one-sided Pólya frequency sequences are simply products of 'atoms'!
- The 'atoms' are explained next. For now: why products?

Suppose  $\mathbf{a} = (\dots, 0, 0, a_0, a_1, a_2, a_3, \dots)$  is one-sided. Its *generating function* is

$$\Psi_{\mathbf{a}}(x) := a_0 + a_1x + a_2x^2 + a_3x^3 + \dots, \quad a_0 \neq 0.$$

Now if  $\mathbf{a}, \mathbf{b}$  are one-sided PF sequences, then their Toeplitz 'matrices' are TN:

$$T_{\mathbf{a}} := \begin{pmatrix} a_0 & 0 & 0 & \dots \\ a_1 & a_0 & 0 & \dots \\ a_2 & a_1 & a_0 & \dots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix} \quad T_{\mathbf{b}} := \begin{pmatrix} b_0 & 0 & 0 & \dots \\ b_1 & b_0 & 0 & \dots \\ b_2 & b_1 & b_0 & \dots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix}.$$

By the Cauchy–Binet formula, so also is  $T_{\mathbf{a}}T_{\mathbf{b}} \rightsquigarrow$  Toeplitz matrix.

This product matrix corresponds to the coefficients of the power series  $\Psi_{\mathbf{a}}(x)\Psi_{\mathbf{b}}(x)$ . This gives new examples of PF sequences from old ones.

# Finite Pólya frequency sequences – and real-rootedness

'Atomic' **finite** PF sequences:

- The sequence  $(\dots, 0, 0, a_0, 0, 0, \dots)$  and  $(\dots, 0, 0, 1, \alpha, 0, 0, \dots)$  are PF sequences if  $a_0, \alpha > 0$ .

Indeed, every 'square submatrix' drawn from these sequences either has a zero row/column, or is triangular with positive diagonal entries.

# Finite Pólya frequency sequences – and real-rootedness

'Atomic' finite PF sequences:

- The sequence  $(\dots, 0, 0, a_0, 0, 0, \dots)$  and  $(\dots, 0, 0, 1, \alpha, 0, 0, \dots)$  are PF sequences if  $a_0, \alpha > 0$ .  
 Indeed, every 'square submatrix' drawn from these sequences either has a zero row/column, or is triangular with positive diagonal entries.
- The 'atom'  $(\dots, 0, 0, 1, \alpha, 0, 0, \dots)$  corresponds to  $\Psi_{\mathbf{a}}(x) = 1 + \alpha x$ .
- By previous slide,  $a_0(1 + \alpha_1 x)(1 + \alpha_2 x) \cdots (1 + \alpha_m x)$  generates a PF sequence  $\mathbf{a}_m$ , when all  $\alpha_j > 0$ .

# Finite Pólya frequency sequences – and real-rootedness

'Atomic' finite PF sequences:

- The sequence  $(\dots, 0, 0, a_0, 0, 0, \dots)$  and  $(\dots, 0, 0, 1, \alpha, 0, 0, \dots)$  are PF sequences if  $a_0, \alpha > 0$ .  
 Indeed, every 'square submatrix' drawn from these sequences either has a zero row/column, or is triangular with positive diagonal entries.
- The 'atom'  $(\dots, 0, 0, 1, \alpha, 0, 0, \dots)$  corresponds to  $\Psi_{\mathbf{a}}(x) = 1 + \alpha x$ .
- By previous slide,  $a_0(1 + \alpha_1 x)(1 + \alpha_2 x) \cdots (1 + \alpha_m x)$  generates a PF sequence  $\mathbf{a}_m$ , when all  $\alpha_j > 0$ . In fact, these are *all finite PF sequences*:

**Theorem (Aissen–Schoenberg–Whitney and Edrei, 1950s)**

Suppose  $a_0, \dots, a_m > 0$ . The following are equivalent.

- 1  $\mathbf{a} = (\dots, 0, 0, a_0, \dots, a_m, 0, 0, \dots)$  is a PF sequence.
- 2 The generating function  $\Psi_{\mathbf{a}}(x)$  has  $m$  negative real roots (i.e., the above form).
- 3 The generating function  $\Psi_{\mathbf{a}}(x)$  has  $m$  real roots.

# Connection to combinatorics

'Finite-order' PF sequences: A real sequence  $(a_n)_{n \in \mathbb{Z}}$  is  $\text{PF}_r$  for  $r \geq 1$  if for any size  $1 \leq n \leq r$  and integers

$$l_1 < l_2 < \cdots < l_n, \quad m_1 < m_2 < \cdots < m_n,$$

the determinant  $\det(a_{l_j - m_k})_{j,k=1}^n \geq 0$ .



# Connection to combinatorics

'Finite-order' PF sequences: A real sequence  $(a_n)_{n \in \mathbb{Z}}$  is  $\text{PF}_r$  for  $r \geq 1$  if for any size  $1 \leq n \leq r$  and integers

$$l_1 < l_2 < \cdots < l_n, \quad m_1 < m_2 < \cdots < m_n,$$

the determinant  $\det(a_{l_j - m_k})_{j,k=1}^n \geq 0$ .

PF and related sequences are well-known to combinatorialists:

- A  $\text{PF}_1$  sequence  $(a_0, \dots, a_m)$  is simply a non-negative sequence. (Brenti: *the only ones in combinatorics that are "meaningful"*.)
- A positive tuple  $(a_0, \dots, a_m)$  is a  $\text{PF}_2$  sequence if and only if it is *log-concave*:  $a_j^2 \geq a_{j-1}a_{j+1}$  for  $0 < j < m$ .

## Connection to combinatorics (cont.)

### Proposition

Fix a positive tuple (padded by zeros)  $\mathbf{a} = (\dots, 0, 0, a_0, \dots, a_m, 0, 0, \dots)$ .  
Then each of the following parts implies the next.

- 1  $\mathbf{a}$  is a PF sequence – i.e., the polynomial  $\Psi_{\mathbf{a}}(x)$  is real-rooted.
- 2  $(a_0, \dots, a_m)$  is strongly log-concave:  $(a_j / \binom{m}{j})_{j=0}^m$  is log-concave.
- 3 The tuple  $(a_0, \dots, a_m)$  is log-concave.
- 4 The tuple  $(a_0, \dots, a_m)$  is unimodal.

## Connection to combinatorics (cont.)

### Proposition

Fix a positive tuple (padded by zeros)  $\mathbf{a} = (\dots, 0, 0, a_0, \dots, a_m, 0, 0, \dots)$ . Then each of the following parts implies the next.

- 1  $\mathbf{a}$  is a PF sequence – i.e., the polynomial  $\Psi_{\mathbf{a}}(x)$  is real-rooted.
- 2  $(a_0, \dots, a_m)$  is strongly log-concave:  $(a_j / \binom{m}{j})_{j=0}^m$  is log-concave.
- 3 The tuple  $(a_0, \dots, a_m)$  is log-concave.
- 4 The tuple  $(a_0, \dots, a_m)$  is unimodal.

Well-studied in combinatorics. E.g. Stirling numbers of second kind:

$$E_n(x) = \sum_{k=1}^n k! S(n, k) x^k, \quad \sum_{k=1}^n S(n, k) x^k$$

are real-rooted polynomials. For more on these connections to combinatorics:

- R.P. Stanley, *Graph theory and its applications*, 1989.
- F. Brenti, *Mem. Amer. Math. Soc.*, 1989.
- P. Brändén, *Handbook of Enumerative Combinatorics*, 2014.

# Infinite one-sided Pólya frequency sequences

For 'infinite' one-sided PF sequences, only one other 'atom' – and limits:

# Infinite one-sided Pólya frequency sequences

For 'infinite' one-sided PF sequences, only one other 'atom' – and limits:

Recall, the lower-triangular matrix  $A = (\mathbf{1}_{j \geq k})_{j,k=1}^n$  is TN (direct proof).

Hence  $\mathbf{a}_1 := (\dots, 0, 0, 1, 1, \dots)$  is a one-sided PF sequence, with generating function:

$$\Psi_{\mathbf{a}_1}(x) = 1 + x + x^2 + \dots = \frac{1}{1-x}.$$

# Infinite one-sided Pólya frequency sequences

For ‘infinite’ one-sided PF sequences, only one other ‘atom’ – and limits:

Recall, the lower-triangular matrix  $A = (\mathbf{1}_{j \geq k})_{j,k=1}^n$  is TN (direct proof).

Hence  $\mathbf{a}_1 := (\dots, 0, 0, 1, 1, \dots)$  is a one-sided PF sequence, with generating function:

$$\Psi_{\mathbf{a}_1}(x) = 1 + x + x^2 + \dots = \frac{1}{1-x}.$$

**Claim:** The function  $\mathbf{a}_c := (\dots, 0, 0, 1, c, c^2, \dots)$  is a PF sequence for  $c > 0$ .

*Proof:* Given increasing tuples of integers  $(l_j), (m_k)$  for  $1 \leq j, k \leq n$ ,

$$((\mathbf{a}_c)_{l_j - m_k}) = \text{diag}(c^{l_j})_{j=1}^n \cdot (\mathbf{1}_{l_j \geq m_k})_{j,k=1}^n \cdot \text{diag}(c^{-m_k})_{k=1}^n,$$

and this has a non-negative determinant since  $\mathbf{a}_1$  is PF. □

# Infinite one-sided Pólya frequency sequences

For ‘infinite’ one-sided PF sequences, only one other ‘atom’ – and limits:

Recall, the lower-triangular matrix  $A = (\mathbf{1}_{j \geq k})_{j,k=1}^n$  is TN (direct proof).

Hence  $\mathbf{a}_1 := (\dots, 0, 0, 1, 1, \dots)$  is a one-sided PF sequence, with generating function:

$$\Psi_{\mathbf{a}_1}(x) = 1 + x + x^2 + \dots = \frac{1}{1-x}.$$

**Claim:** The function  $\mathbf{a}_c := (\dots, 0, 0, 1, c, c^2, \dots)$  is a PF sequence for  $c > 0$ .

*Proof:* Given increasing tuples of integers  $(l_j), (m_k)$  for  $1 \leq j, k \leq n$ ,

$$((\mathbf{a}_c)_{l_j - m_k}) = \text{diag}(c^{l_j})_{j=1}^n \cdot (\mathbf{1}_{j \geq m_k})_{j,k=1}^n \cdot \text{diag}(c^{-m_k})_{k=1}^n,$$

and this has a non-negative determinant since  $\mathbf{a}_1$  is PF. □

- Therefore  $(1 - \beta x)^{-1}$  is a PF sequence for  $\beta > 0$ .
- **Limits:** If  $\mathbf{a}_m$  are PF sequences, converging ‘pointwise’ to  $\mathbf{a}$ , then  $\mathbf{a}$  is a PF sequence.

# Infinite one-sided Pólya frequency sequences

For 'infinite' one-sided PF sequences, only one other 'atom' – and limits:

Recall, the lower-triangular matrix  $A = (\mathbf{1}_{j \geq k})_{j,k=1}^n$  is TN (direct proof).

Hence  $\mathbf{a}_1 := (\dots, 0, 0, 1, 1, \dots)$  is a one-sided PF sequence, with generating function:

$$\Psi_{\mathbf{a}_1}(x) = 1 + x + x^2 + \dots = \frac{1}{1-x}.$$

**Claim:** The function  $\mathbf{a}_c := (\dots, 0, 0, 1, c, c^2, \dots)$  is a PF sequence for  $c > 0$ .

*Proof:* Given increasing tuples of integers  $(l_j), (m_k)$  for  $1 \leq j, k \leq n$ ,

$$((\mathbf{a}_c)_{l_j - m_k}) = \text{diag}(c^{l_j})_{j=1}^n \cdot (\mathbf{1}_{l_j \geq m_k})_{j,k=1}^n \cdot \text{diag}(c^{-m_k})_{k=1}^n,$$

and this has a non-negative determinant since  $\mathbf{a}_1$  is PF. □

- Therefore  $(1 - \beta x)^{-1}$  is a PF sequence for  $\beta > 0$ .
- **Limits:** If  $\mathbf{a}_m$  are PF sequences, converging 'pointwise' to  $\mathbf{a}$ , then  $\mathbf{a}$  is a PF sequence.
- *Example:* Since  $(1 + \delta x/m)^m$  generates a PF sequence for  $\delta \geq 0$  and all  $m \geq 1$ , so does  $e^{\delta x}$ . (E.g.,  $(\dots, 0, 0, 1, \frac{1}{1!}, \frac{1}{2!}, \dots)$  is a PF sequence.)



## Infinite one-sided Pólya frequency sequences (cont.)

- More examples: if  $\alpha_j, \beta_j \geq 0$  for all  $j \geq 0$  are summable, then

$$\prod_{j=1}^{\infty} (1 + \alpha_j x), \quad \prod_{j=1}^{\infty} (1 - \beta_j x)^{-1}$$

both generate PF sequences.

- Hence so does their product:

$$e^{\delta x} \frac{\prod_{j=1}^{\infty} (1 + \alpha_j x)}{\prod_{j=1}^{\infty} (1 - \beta_j x)}.$$

## Infinite one-sided Pólya frequency sequences (cont.)

- More examples: if  $\alpha_j, \beta_j \geq 0$  for all  $j \geq 0$  are summable, then

$$\prod_{j=1}^{\infty} (1 + \alpha_j x), \quad \prod_{j=1}^{\infty} (1 - \beta_j x)^{-1}$$

both generate PF sequences.

- Hence so does their product:

$$e^{\delta x} \frac{\prod_{j=1}^{\infty} (1 + \alpha_j x)}{\prod_{j=1}^{\infty} (1 - \beta_j x)}.$$

Remarkably, these are *all* of the PF sequences!

**Theorem (Aissen–Schoenberg–Whitney and Edrei, 1950s)**

*A one-sided sequence  $\mathbf{a} = (\dots, 0, 0, a_0 = 1, a_1, \dots)$  is a PF sequence if and only if it is of the above form.*

(Uses Hadamard's thesis (1892) and Nevanlinna's refinement (1929) of Picard's theorem.)

# From Pólya–Schur multipliers to Ramanujan graphs

What if  $\Psi_{\mathbf{a}}(x)$  is an entire function? It must be  $e^{\delta x} \prod_{j \geq 1} (1 + \alpha_j x)$ .

**Theorem (Pólya–Schur, *Crelle*, 1914)**

*An entire function  $\Psi(x) = \sum_{n \geq 0} a_n x^n$  with  $\Psi(0) = 1$  generates a one-sided PF sequence, if and only if the sequence  $n!a_n$  is a **multiplier sequence** of the first kind.*

In other words, if  $\sum_{j \geq 0} c_j x^j$  is a real-rooted *polynomial*, so is  $\sum_{j \geq 0} j! a_j c_j x^j$ .

# From Pólya–Schur multipliers to Ramanujan graphs

What if  $\Psi_{\mathbf{a}}(x)$  is an entire function? It must be  $e^{\delta x} \prod_{j \geq 1} (1 + \alpha_j x)$ .

**Theorem (Pólya–Schur, *Crelle*, 1914)**

*An entire function  $\Psi(x) = \sum_{n \geq 0} a_n x^n$  with  $\Psi(0) = 1$  generates a one-sided PF sequence, if and only if the sequence  $n!a_n$  is a **multiplier sequence** of the first kind.*

In other words, if  $\sum_{j \geq 0} c_j x^j$  is a real-rooted *polynomial*, so is  $\sum_{j \geq 0} j! a_j c_j x^j$ .

- This circle of ideas – and classification of Pólya–Schur type multiplier sequences – has found far-reaching generalizations in work of Borcea and Brändén (late 2000s).

# From Pólya–Schur multipliers to Ramanujan graphs

What if  $\Psi_{\mathbf{a}}(x)$  is an entire function? It must be  $e^{\delta x} \prod_{j \geq 1} (1 + \alpha_j x)$ .

**Theorem (Pólya–Schur, *Crelle*, 1914)**

*An entire function  $\Psi(x) = \sum_{n \geq 0} a_n x^n$  with  $\Psi(0) = 1$  generates a one-sided PF sequence, if and only if the sequence  $n!a_n$  is a **multiplier sequence** of the first kind.*

In other words, if  $\sum_{j \geq 0} c_j x^j$  is a real-rooted *polynomial*, so is  $\sum_{j \geq 0} j! a_j c_j x^j$ .

- This circle of ideas – and classification of Pólya–Schur type multiplier sequences – has found far-reaching generalizations in work of Borcea and Brändén (late 2000s).
- Taken forward by Marcus–Spielman–Srivastava (2010s):
  - Kadison–Singer conjecture.
  - Existence of bipartite Ramanujan (expander) graphs of every degree and every order.

# The Riemann Hypothesis

Pólya frequency sequences also connect to number theory:

Theorem (Katkova, *Comput. Meth. Funct. Th.*, 2000)

Let  $\xi(s) = \binom{s}{2} \pi^{-s/2} \Gamma(s/2) \zeta(s)$  be the Riemann xi-function. If

$$\xi_1(s) := \xi(1/2 + \sqrt{s})$$

generates a PF sequence, then the Riemann Hypothesis is true.

# The Riemann Hypothesis

Pólya frequency sequences also connect to number theory:

Theorem (Katkova, *Comput. Meth. Funct. Th.*, 2000)

Let  $\xi(s) = \binom{s}{2} \pi^{-s/2} \Gamma(s/2) \zeta(s)$  be the Riemann xi-function. If

$$\xi_1(s) := \xi(1/2 + \sqrt{s})$$

generates a PF sequence, then the Riemann Hypothesis is true.

Katkova proved that  $\xi_1$  is PF of order at least 43, and is 'asymptotically PF' of all orders.

# Hilbert series and PF sequences

PF sequences also show up in *algebra*. Given a  $\mathbb{Z}^{\geq 0}$ -graded vector space  $V = \bigoplus_{n \geq 0} V[n]$  over a field  $\mathbb{F}$ , its *Hilbert series* is

$$H(V, x) = \sum_{n \geq 0} x^n \dim V[n].$$

If  $V \cong \mathbb{F}^m$  for  $m \geq 1$ , then  $H(\wedge^\bullet V, x) = (1 + x)^m$ ,  $H(\mathbb{S}^\bullet V, x) = \frac{1}{(1 - x)^m}$ , and from above, these Koszul-dual algebras both generate PF sequences.



# Hilbert series and PF sequences

PF sequences also show up in *algebra*. Given a  $\mathbb{Z}^{\geq 0}$ -graded vector space  $V = \bigoplus_{n \geq 0} V[n]$  over a field  $\mathbb{F}$ , its *Hilbert series* is

$$H(V, x) = \sum_{n \geq 0} x^n \dim V[n].$$

If  $V \cong \mathbb{F}^m$  for  $m \geq 1$ , then  $H(\wedge^\bullet V, x) = (1+x)^m$ ,  $H(\mathbb{S}^\bullet V, x) = \frac{1}{(1-x)^m}$ , and from above, these Koszul-dual algebras both generate PF sequences.

More generally, say  $R : V \otimes V \rightarrow V \otimes V$  satisfies

- the Yang–Baxter equation  $R_{12}R_{23}R_{12} = R_{23}R_{12}R_{23}$ , and
- the Iwahori–Hecke relation  $(R+1)(R-q) = 0$ ,  $q \in \mathbb{F}^\times$ .

Define two graded algebras – the  $R$ -exterior algebra and  $R$ -symmetric algebra:

$$\wedge_R^\bullet(V) := T^\bullet(V)/(\text{im}(R + \text{Id})), \quad \mathbb{S}_{q,R}^\bullet(V) := T^\bullet(V)/(\text{im}(R - q \text{Id})).$$

# Hilbert series and PF sequences

PF sequences also show up in *algebra*. Given a  $\mathbb{Z}^{\geq 0}$ -graded vector space  $V = \bigoplus_{n \geq 0} V[n]$  over a field  $\mathbb{F}$ , its *Hilbert series* is

$$H(V, x) = \sum_{n \geq 0} x^n \dim V[n].$$

If  $V \cong \mathbb{F}^m$  for  $m \geq 1$ , then  $H(\wedge^\bullet V, x) = (1+x)^m$ ,  $H(\mathbb{S}^\bullet V, x) = \frac{1}{(1-x)^m}$ , and from above, these Koszul-dual algebras both generate PF sequences.

More generally, say  $R : V \otimes V \rightarrow V \otimes V$  satisfies

- the Yang–Baxter equation  $R_{12}R_{23}R_{12} = R_{23}R_{12}R_{23}$ , and
- the Iwahori–Hecke relation  $(R + 1)(R - q) = 0$ ,  $q \in \mathbb{F}^\times$ .

Define two graded algebras – the  $R$ -exterior algebra and  $R$ -symmetric algebra:

$$\wedge_R^\bullet(V) := T^\bullet(V)/(\text{im}(R + \text{Id})), \quad \mathbb{S}_{q,R}^\bullet(V) := T^\bullet(V)/(\text{im}(R - q \text{Id})).$$

**Theorem (Hô Hai 1999, Davydov 2000)**

*Suppose  $\mathbb{F}$  has characteristic zero,  $\dim V < \infty$ , and either  $q = 1$  or  $q$  is not a root of unity. Then the Hilbert series  $H(\wedge_R^\bullet(V), x)$ ,  $H(\mathbb{S}_{q,R}^\bullet(V), x)$  both generate PF sequences.*

*(Skryabin, 2019)*

## Elementary symmetric polynomials

Return to the case  $q = 1$  and  $R = \tau = \text{flip}$ , but now with  $V$  having a countable  $\mathbb{R}^{\geq 0}$ -graded basis  $v_j$  of degree  $\alpha_j > 0$ . Then the Hilbert series of  $\wedge^\bullet(V)$  is:

$$H(\wedge^\bullet(V), x) = \prod_{j \geq 1} (1 + \alpha_j x),$$

and this generates a PF sequence if  $\alpha_j \geq 0$  are summable.

## Elementary symmetric polynomials

Return to the case  $q = 1$  and  $R = \tau = \text{flip}$ , but now with  $V$  having a countable  $\mathbb{R}^{\geq 0}$ -graded basis  $v_j$  of degree  $\alpha_j > 0$ . Then the Hilbert series of  $\wedge^\bullet(V)$  is:

$$H(\wedge^\bullet(V), x) = \prod_{j \geq 1} (1 + \alpha_j x),$$

and this generates a PF sequence if  $\alpha_j \geq 0$  are summable.

- The constant, linear, quadratic, ... terms of this power series are

$$1, \quad \sum_j \alpha_j, \quad \sum_{j < k} \alpha_j \alpha_k, \quad \sum_{j < k < l} \alpha_j \alpha_k \alpha_l, \quad \dots$$

which are precisely the *elementary symmetric polynomials* in the roots  $\alpha_j$ .

- Thus, the corresponding infinite Toeplitz TN matrix is

$$\begin{pmatrix} 1 & 0 & 0 & 0 & \cdots \\ e_1(\mathbf{u}) & 1 & 0 & 0 & \cdots \\ e_2(\mathbf{u}) & e_1(\mathbf{u}) & 1 & 0 & \cdots \\ e_3(\mathbf{u}) & e_2(\mathbf{u}) & e_1(\mathbf{u}) & 1 & \cdots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

where we specialize the *variable*  $u_j$  to equal  $\alpha_j \geq 0$ .

## Elementary symmetric polynomials

Return to the case  $q = 1$  and  $R = \tau = \text{flip}$ , but now with  $V$  having a countable  $\mathbb{R}^{\geq 0}$ -graded basis  $v_j$  of degree  $\alpha_j > 0$ . Then the Hilbert series of  $\wedge^\bullet(V)$  is:

$$H(\wedge^\bullet(V), x) = \prod_{j \geq 1} (1 + \alpha_j x),$$

and this generates a PF sequence if  $\alpha_j \geq 0$  are summable.

- The constant, linear, quadratic, ... terms of this power series are

$$1, \quad \sum_j \alpha_j, \quad \sum_{j < k} \alpha_j \alpha_k, \quad \sum_{j < k < l} \alpha_j \alpha_k \alpha_l, \quad \dots$$

which are precisely the *elementary symmetric polynomials* in the roots  $\alpha_j$ .

- Thus, the corresponding infinite Toeplitz TN matrix is

$$\begin{pmatrix} 1 & 0 & 0 & 0 & \cdots \\ e_1(\mathbf{u}) & 1 & 0 & 0 & \cdots \\ e_2(\mathbf{u}) & e_1(\mathbf{u}) & 1 & 0 & \cdots \\ e_3(\mathbf{u}) & e_2(\mathbf{u}) & e_1(\mathbf{u}) & 1 & \cdots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

where we specialize the *variable*  $u_j$  to equal  $\alpha_j \geq 0$ .

- Every minor is **numerically positive**. In fact, even more is true!

# Complete homogeneous symmetric polynomials

Similarly, the Hilbert series of  $\mathbb{S}^\bullet(V)$  is:

$$H(\mathbb{S}^\bullet(V), x) = \prod_{j \geq 1} (1 - \alpha_j x)^{-1},$$

and this generates a PF sequence if  $\alpha_j \geq 0$  are summable.

# Complete homogeneous symmetric polynomials

Similarly, the Hilbert series of  $\mathbb{S}^\bullet(V)$  is:

$$H(\mathbb{S}^\bullet(V), x) = \prod_{j \geq 1} (1 - \alpha_j x)^{-1},$$

and this generates a PF sequence if  $\alpha_j \geq 0$  are summable.

- The constant, linear, quadratic, ... terms of this power series are

$$1, \quad \sum_j \alpha_j, \quad \sum_{j \leq k} \alpha_j \alpha_k, \quad \sum_{j \leq k \leq l} \alpha_j \alpha_k \alpha_l, \quad \dots$$

which are precisely the *complete homogeneous symmetric polynomials* in the roots  $\alpha_j$ .

- Thus, the corresponding infinite Toeplitz TN matrix is

$$\begin{pmatrix} 1 & 0 & 0 & 0 & \cdots \\ h_1(\mathbf{u}) & 1 & 0 & 0 & \cdots \\ h_2(\mathbf{u}) & h_1(\mathbf{u}) & 1 & 0 & \cdots \\ h_3(\mathbf{u}) & h_2(\mathbf{u}) & h_1(\mathbf{u}) & 1 & \cdots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

where we specialize the variable  $u_j$  to equal  $\alpha_j \geq 0$ .

# Complete homogeneous symmetric polynomials

Similarly, the Hilbert series of  $\mathbb{S}^\bullet(V)$  is:

$$H(\mathbb{S}^\bullet(V), x) = \prod_{j \geq 1} (1 - \alpha_j x)^{-1},$$

and this generates a PF sequence if  $\alpha_j \geq 0$  are summable.

- The constant, linear, quadratic, ... terms of this power series are

$$1, \quad \sum_j \alpha_j, \quad \sum_{j \leq k} \alpha_j \alpha_k, \quad \sum_{j \leq k \leq l} \alpha_j \alpha_k \alpha_l, \quad \dots$$

which are precisely the *complete homogeneous symmetric polynomials* in the roots  $\alpha_j$ .

- Thus, the corresponding infinite Toeplitz TN matrix is

$$\begin{pmatrix} 1 & 0 & 0 & 0 & \cdots \\ h_1(\mathbf{u}) & 1 & 0 & 0 & \cdots \\ h_2(\mathbf{u}) & h_1(\mathbf{u}) & 1 & 0 & \cdots \\ h_3(\mathbf{u}) & h_2(\mathbf{u}) & h_1(\mathbf{u}) & 1 & \cdots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

where we specialize the variable  $u_j$  to equal  $\alpha_j \geq 0$ .

- Every minor is **numerically positive**. In fact, even more is true!



# Monomial-positivity and the (dual) Jacobi–Trudi identity

## Theorem

All minors of the matrices  $(e_{j-k}(\mathbf{u})\mathbf{1}_{j \geq k})_{j,k \geq 0}$  and  $(h_{j-k}(\mathbf{u})\mathbf{1}_{j \geq k})_{j,k \geq 0}$  are **monomial-positive**.

(Hence they take non-negative values upon specializing to  $u_j = \alpha_j \geq 0$ .)

# Monomial-positivity and the (dual) Jacobi–Trudi identity

## Theorem

All minors of the matrices  $(e_{j-k}(\mathbf{u})\mathbf{1}_{j \geq k})_{j,k \geq 0}$  and  $(h_{j-k}(\mathbf{u})\mathbf{1}_{j \geq k})_{j,k \geq 0}$  are **monomial-positive**.

(Hence they take non-negative values upon specializing to  $u_j = \alpha_j \geq 0$ .)

- In fact, an even stronger fact holds: all minors are (skew) **Schur-positive**  
↪ non-negative  $\mathbb{Z}$ -linear combinations of (skew) Schur polynomials.

# Monomial-positivity and the (dual) Jacobi–Trudi identity

## Theorem

All minors of the matrices  $(e_{j-k}(\mathbf{u})\mathbf{1}_{j \geq k})_{j,k \geq 0}$  and  $(h_{j-k}(\mathbf{u})\mathbf{1}_{j \geq k})_{j,k \geq 0}$  are **monomial-positive**.

(Hence they take non-negative values upon specializing to  $u_j = \alpha_j \geq 0$ .)

- In fact, an even stronger fact holds: all minors are (skew) **Schur-positive**  $\rightsquigarrow$  non-negative  $\mathbb{Z}$ -linear combinations of (skew) Schur polynomials.
- In a sense, these are the first two instances of **numerical positivity** ‘upgrading’ to **monomial-positivity**, ‘upgrading’ to **Schur-positivity**.
- They are called the (dual) *Jacobi–Trudi identities* (1800s).

# Monomial-positivity and the (dual) Jacobi–Trudi identity

## Theorem

All minors of the matrices  $(e_{j-k}(\mathbf{u})\mathbf{1}_{j \geq k})_{j,k \geq 0}$  and  $(h_{j-k}(\mathbf{u})\mathbf{1}_{j \geq k})_{j,k \geq 0}$  are **monomial-positive**.

(Hence they take non-negative values upon specializing to  $u_j = \alpha_j \geq 0$ .)

- In fact, an even stronger fact holds: all minors are (skew) **Schur-positive**  $\rightsquigarrow$  non-negative  $\mathbb{Z}$ -linear combinations of (skew) Schur polynomials.
- In a sense, these are the first two instances of **numerical positivity** ‘upgrading’ to **monomial-positivity**, ‘upgrading’ to **Schur-positivity**.
- They are called the (dual) *Jacobi–Trudi identities* (1800s).

This brings us to Schur polynomials.

# Schur polynomials

Given a decreasing  $N$ -tuple  $n_{N-1} > n_{N-2} > \cdots > n_0 \geq 0$ , the corresponding **Schur polynomial** over a field  $\mathbb{F}$  is the unique polynomial extension to  $\mathbb{F}^N$  of

$$s_{(n_{N-1}, \dots, n_0)}(u_1, \dots, u_N) := \frac{\det(u_j^{n_{k-1}})}{\det(u_j^{k-1})}$$

for pairwise distinct  $u_j \in \mathbb{F}$ .

# Schur polynomials

Given a decreasing  $N$ -tuple  $n_{N-1} > n_{N-2} > \cdots > n_0 \geq 0$ , the corresponding **Schur polynomial** over a field  $\mathbb{F}$  is the unique polynomial extension to  $\mathbb{F}^N$  of

$$s_{(n_{N-1}, \dots, n_0)}(u_1, \dots, u_N) := \frac{\det(u_j^{n_{k-1}})}{\det(u_j^{k-1})}$$

for pairwise distinct  $u_j \in \mathbb{F}$ .

*Example:* If  $N = 2$  and  $\mathbf{n} = (m < n)$ , then

$$s_{\mathbf{n}}(u_1, u_2) = \frac{u_1^n u_2^m - u_1^m u_2^n}{u_1 - u_2} = (u_1 u_2)^m (u_1^{n-m-1} + u_1^{n-m-2} u_2 + \cdots + u_2^{n-m-1}).$$

- Basis of homogeneous symmetric polynomials in  $u_1, \dots, u_N$ .
- Characters of irreducible polynomial representations of  $GL_N(\mathbb{C})$ .

# Schur polynomials via semi-standard Young tableaux

Schur polynomials are also defined using semi-standard Young tableaux:

**Example 1:** Suppose  $N = 3$  and  $\mathbf{m} := (0, 2, 4)$ . The tableaux are:

3	3	3	3	3	2	3	2	3	1	3	1	2	2	2	1
2		1		2		1		2		1		1		1	

# Schur polynomials via semi-standard Young tableaux

Schur polynomials are also defined using semi-standard Young tableaux:

**Example 1:** Suppose  $N = 3$  and  $\mathbf{m} := (0, 2, 4)$ . The tableaux are:

3	3	3	3	3	2	3	2	3	1	3	1	2	2	2	1
2		1		2		1		2		1		1		1	

$$\begin{aligned}
 & s_{(0,2,4)}(u_1, u_2, u_3) \\
 &= u_3^2 u_2 + u_3^2 u_1 + u_3 u_2^2 + 2u_3 u_2 u_1 + u_3 u_1^2 + u_2^2 u_1 + u_2 u_1^2 \\
 &= (u_1 + u_2)(u_2 + u_3)(u_3 + u_1).
 \end{aligned}$$



# Schur polynomials via semi-standard Young tableaux

Schur polynomials are also defined using semi-standard Young tableaux:

**Example 1:** Suppose  $N = 3$  and  $\mathbf{m} := (0, 2, 4)$ . The tableaux are:

3	3	3	3	3	2	3	2	3	1	3	1	2	2	2	1
2		1		2		1		2		1		1		1	

$$\begin{aligned}
 & s_{(0,2,4)}(u_1, u_2, u_3) \\
 &= u_3^2 u_2 + u_3^2 u_1 + u_3 u_2^2 + 2u_3 u_2 u_1 + u_3 u_1^2 + u_2^2 u_1 + u_2 u_1^2 \\
 &= (u_1 + u_2)(u_2 + u_3)(u_3 + u_1).
 \end{aligned}$$

**Example 2:** Suppose  $N = 3$  and  $\mathbf{n} = (0, 2, 3)$ :

3	3	2
2	1	1

Then  $s_{(0,2,3)}(u_1, u_2, u_3) = u_1 u_2 + u_2 u_3 + u_3 u_1$ .

# Schur Monotonicity Lemma

**Example:** Continuing from the previous slide,

$$f(u_1, u_2, u_3) = \frac{(u_1 + u_2)(u_2 + u_3)(u_3 + u_1)}{u_1 u_2 + u_2 u_3 + u_3 u_1}, \quad u_1, u_2, u_3 > 0.$$

Note: both numerator and denominator are monomial-positive (in fact Schur-positive, obviously) – hence non-decreasing in each coordinate.

*In fact, their ratio  $f(\mathbf{u})$  also has the same property!*

## Schur Monotonicity Lemma

**Example:** Continuing from the previous slide,

$$f(u_1, u_2, u_3) = \frac{(u_1 + u_2)(u_2 + u_3)(u_3 + u_1)}{u_1 u_2 + u_2 u_3 + u_3 u_1}, \quad u_1, u_2, u_3 > 0.$$

Note: both numerator and denominator are monomial-positive (in fact Schur-positive, obviously) – hence non-decreasing in each coordinate.

*In fact, their ratio  $f(\mathbf{u})$  also has the same property!*

**Theorem** (K.–Tao, *Amer. J. Math.*, in press)

For integer tuples  $0 \leq n_0 < \dots < n_{N-1}$  and  $0 \leq m_0 < \dots < m_{N-1}$  such that  $n_j \leq m_j \forall j$ , the function

$$f : (0, \infty)^N \rightarrow \mathbb{R}, \quad f(\mathbf{u}) := \frac{s_{\mathbf{m}}(\mathbf{u})}{s_{\mathbf{n}}(\mathbf{u})}$$

is non-decreasing in each coordinate. (In fact we show **Schur-positivity**.)

(Recent example of **numerical positivity**  $\rightsquigarrow$  **monomial-pos.**  $\rightsquigarrow$  **Schur-positivity**.)

## Schur Monotonicity Lemma (cont.)

**Claim:** *The ratio  $f(u_1, u_2, u_3) = \frac{(u_1 + u_2)(u_2 + u_3)(u_3 + u_1)}{u_1u_2 + u_2u_3 + u_3u_1}$ ,*

*treated as a **function** on the orthant  $(0, \infty)^3$ , is coordinatewise non-decreasing.*

## Schur Monotonicity Lemma (cont.)

**Claim:** The ratio  $f(u_1, u_2, u_3) = \frac{(u_1 + u_2)(u_2 + u_3)(u_3 + u_1)}{u_1u_2 + u_2u_3 + u_3u_1}$ ,

treated as a **function** on the orthant  $(0, \infty)^3$ , is coordinatewise non-decreasing.

(Why?) Applying the quotient rule of differentiation to  $f$ ,

$$s_{\mathbf{n}}(\mathbf{u})\partial_{u_3}s_{\mathbf{m}}(\mathbf{u}) - s_{\mathbf{m}}(\mathbf{u})\partial_{u_3}s_{\mathbf{n}}(\mathbf{u}) = (u_1 + u_2)(u_1u_3 + 2u_1u_2 + u_2u_3)u_3,$$

and this is monomial-positive.

# Schur Monotonicity Lemma (cont.)

**Claim:** The ratio  $f(u_1, u_2, u_3) = \frac{(u_1 + u_2)(u_2 + u_3)(u_3 + u_1)}{u_1 u_2 + u_2 u_3 + u_3 u_1}$ ,

treated as a **function** on the orthant  $(0, \infty)^3$ , is coordinatewise non-decreasing.

(Why?) Applying the quotient rule of differentiation to  $f$ ,

$$s_{\mathbf{n}}(\mathbf{u})\partial_{u_3} s_{\mathbf{m}}(\mathbf{u}) - s_{\mathbf{m}}(\mathbf{u})\partial_{u_3} s_{\mathbf{n}}(\mathbf{u}) = (u_1 + u_2)(u_1 u_3 + 2u_1 u_2 + u_2 u_3)u_3,$$

and this is monomial-positive.

Now if we write this as  $\sum_{j \geq 0} p_j(u_1, u_2)u_3^j$ , then each  $p_j$  is Schur-positive, i.e. a sum of Schur polynomials:

$$p_0(u_1, u_2) = 0,$$

$$p_1(u_1, u_2) = 2u_1 u_2^2 + 2u_1^2 u_2 = 2 \begin{array}{|c|c|} \hline 2 & 2 \\ \hline 1 & \\ \hline \end{array} + 2 \begin{array}{|c|c|} \hline 2 & 1 \\ \hline 1 & \\ \hline \end{array} = 2s_{(3,1)}(u_1, u_2),$$

$$p_2(u_1, u_2) = (u_1 + u_2)^2 = \begin{array}{|c|c|} \hline 2 & 2 \\ \hline & \\ \hline \end{array} + \begin{array}{|c|c|} \hline 2 & 1 \\ \hline & \\ \hline \end{array} + \begin{array}{|c|c|} \hline 1 & 1 \\ \hline & \\ \hline \end{array} + \begin{array}{|c|} \hline 2 \\ \hline 1 \\ \hline \end{array}$$

$$= s_{(3,0)}(u_1, u_2) + s_{(2,1)}(u_1, u_2).$$

# Proof-sketch of Schur Monotonicity Lemma

The proof for general  $\mathbf{m} \geq \mathbf{n}$  is similar:

By symmetry, and the quotient rule of differentiation, it suffices to show that

$$s_{\mathbf{n}} \cdot \partial_{u_N}(s_{\mathbf{m}}) - s_{\mathbf{m}} \cdot \partial_{u_N}(s_{\mathbf{n}})$$

is **numerically positive** on  $(0, \infty)^N$ . (Note, the coefficients in  $s_{\mathbf{n}}(\mathbf{u})$  of each  $u_N^j$  are skew-Schur polynomials in  $u_1, \dots, u_{N-1}$ .)

# Proof-sketch of Schur Monotonicity Lemma

The proof for general  $\mathbf{m} \geq \mathbf{n}$  is similar:

By symmetry, and the quotient rule of differentiation, it suffices to show that

$$s_{\mathbf{n}} \cdot \partial_{u_N}(s_{\mathbf{m}}) - s_{\mathbf{m}} \cdot \partial_{u_N}(s_{\mathbf{n}})$$

is **numerically positive** on  $(0, \infty)^N$ . (Note, the coefficients in  $s_{\mathbf{n}}(\mathbf{u})$  of each  $u_N^j$  are skew-Schur polynomials in  $u_1, \dots, u_{N-1}$ .)

The assertion would follow if this expression is **monomial-positive**.



# Proof-sketch of Schur Monotonicity Lemma

The proof for general  $\mathbf{m} \geq \mathbf{n}$  is similar:

By symmetry, and the quotient rule of differentiation, it suffices to show that

$$s_{\mathbf{n}} \cdot \partial_{u_N}(s_{\mathbf{m}}) - s_{\mathbf{m}} \cdot \partial_{u_N}(s_{\mathbf{n}})$$

is **numerically positive** on  $(0, \infty)^N$ . (Note, the coefficients in  $s_{\mathbf{n}}(\mathbf{u})$  of each  $u_N^j$  are skew-Schur polynomials in  $u_1, \dots, u_{N-1}$ .)

The assertion would follow if this expression is **monomial-positive**.

Our Schur Monotonicity Lemma in fact shows that the coefficient of each  $u_N^j$  is (also) **Schur-positive**.

# Proof-sketch of Schur Monotonicity Lemma

The proof for general  $\mathbf{m} \geq \mathbf{n}$  is similar:

By symmetry, and the quotient rule of differentiation, it suffices to show that

$$s_{\mathbf{n}} \cdot \partial_{u_N}(s_{\mathbf{m}}) - s_{\mathbf{m}} \cdot \partial_{u_N}(s_{\mathbf{n}})$$

is **numerically positive** on  $(0, \infty)^N$ . (Note, the coefficients in  $s_{\mathbf{n}}(\mathbf{u})$  of each  $u_N^j$  are skew-Schur polynomials in  $u_1, \dots, u_{N-1}$ .)

The assertion would follow if this expression is **monomial-positive**.

Our Schur Monotonicity Lemma in fact shows that the coefficient of each  $u_N^j$  is (also) **Schur-positive**.

**Key ingredient:** Schur-positivity result by Lam–Postnikov–Pylyavskyy (2007). In turn, this emerged out of Skandera’s results (2004) on determinant inequalities for *totally non-negative matrices*. □

# Weak majorization through Schur polynomials

- Our Schur Monotonicity Lemma implies in particular:

$$\frac{s_{\mathbf{m}}(\mathbf{u})}{s_{\mathbf{n}}(\mathbf{u})} \geq \frac{s_{\mathbf{m}}(1, \dots, 1)}{s_{\mathbf{n}}(1, \dots, 1)}, \quad \forall \mathbf{u} \in [1, \infty)^N.$$

if  $\mathbf{m}$  dominates  $\mathbf{n}$  coordinatewise.

- Natural to ask: for which other tuples  $\mathbf{m}, \mathbf{n}$  does this inequality hold?

# Weak majorization through Schur polynomials

- Our Schur Monotonicity Lemma implies in particular:

$$\frac{s_{\mathbf{m}}(\mathbf{u})}{s_{\mathbf{n}}(\mathbf{u})} \geq \frac{s_{\mathbf{m}}(1, \dots, 1)}{s_{\mathbf{n}}(1, \dots, 1)}, \quad \forall \mathbf{u} \in [1, \infty)^N.$$

if  $\mathbf{m}$  dominates  $\mathbf{n}$  coordinatewise.

- Natural to ask: for which other tuples  $\mathbf{m}, \mathbf{n}$  does this inequality hold?

**Theorem (K.–Tao, *Amer. J. Math.*, in press)**

*Given integers  $0 \leq n_0 < \dots < n_{N-1}$  and  $0 \leq m_0 < \dots < m_{N-1}$ , the above inequality holds for all  $\mathbf{u} \in [1, \infty)^N$ , if and only if  $\mathbf{m}$  weakly majorizes  $\mathbf{n}$ .*

(Recall: this means  $m_{N-1} + \dots + m_j \geq n_{N-1} + \dots + n_j$  for all  $j$ .)

# Weak majorization through Schur polynomials

- Our Schur Monotonicity Lemma implies in particular:

$$\frac{s_{\mathbf{m}}(\mathbf{u})}{s_{\mathbf{n}}(\mathbf{u})} \geq \frac{s_{\mathbf{m}}(1, \dots, 1)}{s_{\mathbf{n}}(1, \dots, 1)}, \quad \forall \mathbf{u} \in [1, \infty)^N.$$

if  $\mathbf{m}$  dominates  $\mathbf{n}$  coordinatewise.

- Natural to ask: for which other tuples  $\mathbf{m}, \mathbf{n}$  does this inequality hold?

**Theorem (K.–Tao, *Amer. J. Math.*, in press)**

*Given integers  $0 \leq n_0 < \dots < n_{N-1}$  and  $0 \leq m_0 < \dots < m_{N-1}$ , the above inequality holds for all  $\mathbf{u} \in [1, \infty)^N$ , if and only if  $\mathbf{m}$  weakly majorizes  $\mathbf{n}$ .*

(Recall: this means  $m_{N-1} + \dots + m_j \geq n_{N-1} + \dots + n_j$  for all  $j$ .)

This problem was studied originally by Skandera and others in 2011, on the entire positive orthant  $(0, \infty)^N$ :

## Cuttler–Greene–Skandera conjecture

Theorem (Cuttler–Greene–Skandera, *Eur. J. Comb.*, 2011)

Given integers  $0 \leq n_0 < \dots < n_{N-1}$  and  $0 \leq m_0 < \dots < m_{N-1}$  such that

$$\frac{s_{\mathbf{m}}(\mathbf{u})}{s_{\mathbf{n}}(\mathbf{u})} \geq \frac{s_{\mathbf{m}}(1, \dots, 1)}{s_{\mathbf{n}}(1, \dots, 1)}, \quad \forall \mathbf{u} \in (0, \infty)^N,$$

we have that  $\mathbf{m}$  majorizes  $\mathbf{n}$ .

Majorization = (weak majorization) +  $\left(\sum_j m_j = \sum_j n_j\right)$ .

# Cuttler–Greene–Skandera conjecture

Theorem (Cuttler–Greene–Skandera, *Eur. J. Comb.*, 2011)

Given integers  $0 \leq n_0 < \dots < n_{N-1}$  and  $0 \leq m_0 < \dots < m_{N-1}$  such that

$$\frac{s_{\mathbf{m}}(\mathbf{u})}{s_{\mathbf{n}}(\mathbf{u})} \geq \frac{s_{\mathbf{m}}(1, \dots, 1)}{s_{\mathbf{n}}(1, \dots, 1)}, \quad \forall \mathbf{u} \in (0, \infty)^N,$$

we have that  $\mathbf{m}$  majorizes  $\mathbf{n}$ .

Majorization = (weak majorization) +  $\left(\sum_j m_j = \sum_j n_j\right)$ .

**Conjecture (C–G–S, 2011):** The converse also holds.

# Cuttler–Greene–Skandera conjecture

Theorem (Cuttler–Greene–Skandera, *Eur. J. Comb.*, 2011)

Given integers  $0 \leq n_0 < \dots < n_{N-1}$  and  $0 \leq m_0 < \dots < m_{N-1}$  such that

$$\frac{s_{\mathbf{m}}(\mathbf{u})}{s_{\mathbf{n}}(\mathbf{u})} \geq \frac{s_{\mathbf{m}}(1, \dots, 1)}{s_{\mathbf{n}}(1, \dots, 1)}, \quad \forall \mathbf{u} \in (0, \infty)^N,$$

we have that  $\mathbf{m}$  majorizes  $\mathbf{n}$ .

Majorization = (weak majorization) +  $\left(\sum_j m_j = \sum_j n_j\right)$ .

**Conjecture (C–G–S, 2011):** The converse also holds.

Theorem (Sra, *Eur. J. Comb.*, 2016)

*The Cuttler–Greene–Skandera conjecture is true.*

These results provide novel characterizations of (weak) majorization, through Schur polynomials and through proof-techniques originating in total positivity.



## Open question: Optimizing over $[-1, 1]^N$ ?

- Our work with Tao (2017) concerned entrywise operations preserving positive semidefiniteness in a fixed dimension.
- The maximization of  $s_m(\mathbf{u})/s_n(\mathbf{u})$  over  $(0, 1]^N$  reveals tight bounds on certain classes of polynomial preservers, acting on correlation matrices with non-negative entries. (By homogeneity and continuity, maximize only over the cube-boundary  $(0, 1]^N \cap \partial(0, 1]^N$ .)

## Open question: Optimizing over $[-1, 1]^N$ ?

- Our work with Tao (2017) concerned entrywise operations preserving positive semidefiniteness in a fixed dimension.
- The maximization of  $s_{\mathbf{m}}(\mathbf{u})/s_{\mathbf{n}}(\mathbf{u})$  over  $(0, 1]^N$  reveals tight bounds on certain classes of polynomial preservers, acting on correlation matrices with non-negative entries. (By homogeneity and continuity, maximize only over the cube-boundary  $(0, 1]^N \cap \partial(0, 1]^N$ .)
- What about on *all* correlation matrices? Need to bound  $s_{\mathbf{m}}(\mathbf{u})/s_{\mathbf{n}}(\mathbf{u})$  over all of  $[-1, 1]^N \setminus \{0\}$ .
- For this, need to ensure  $s_{\mathbf{n}}(\mathbf{u})$  does not vanish except at 0. **Facts:**
  - (1) The only such  $\mathbf{n} = (0, 1, \dots, N-2, N-1+2r)$  for  $r \in \mathbb{Z}^{\geq 0}$ .
  - (2) All such  $s_{\mathbf{n}}(\mathbf{u})$  are *complete symmetric homogeneous polynomials*  $h_{2r}(\mathbf{u})$ , and they are positive on  $\mathbb{R}^N \setminus \{0\}$ .

# Open question: Optimizing over $[-1, 1]^N$ ?

- Our work with Tao (2017) concerned entrywise operations preserving positive semidefiniteness in a fixed dimension.
- The maximization of  $s_{\mathbf{m}}(\mathbf{u})/s_{\mathbf{n}}(\mathbf{u})$  over  $(0, 1]^N$  reveals tight bounds on certain classes of polynomial preservers, acting on correlation matrices with non-negative entries. (By homogeneity and continuity, maximize only over the cube-boundary  $(0, 1]^N \cap \partial(0, 1]^N$ .)
- What about on *all* correlation matrices? Need to bound  $s_{\mathbf{m}}(\mathbf{u})/s_{\mathbf{n}}(\mathbf{u})$  over all of  $[-1, 1]^N \setminus \{0\}$ .
- For this, need to ensure  $s_{\mathbf{n}}(\mathbf{u})$  does not vanish except at 0. **Facts:**
  - (1) The only such  $\mathbf{n} = (0, 1, \dots, N-2, N-1+2r)$  for  $r \in \mathbb{Z}^{\geq 0}$ .
  - (2) All such  $s_{\mathbf{n}}(\mathbf{u})$  are *complete symmetric homogeneous polynomials*  $h_{2r}(\mathbf{u})$ , and they are positive on  $\mathbb{R}^N \setminus \{0\}$ .

**Question:** Say  $m_j \geq j$  for  $j = 0, 1, \dots, N-2$ , and  $m_{N-1} \geq N-1+2r$ .

Maximize  $\frac{s_{\mathbf{m}}(\mathbf{u})}{h_{2r}(\mathbf{u})}$  on  $[-1, 1]^N \setminus \{0\}$  – or just on its cube-boundary.

## References

- [1] A. Khare, 2020+.  
*Matrix analysis and preservers of (total) positivity.*  
Lecture notes (website); forthcoming book with *Cambridge Univ. Press + TRIM.*

---

- [2] G. Pólya and I. Schur, 1914.  
Über zwei Arten von Faktorenfolgen in der Theorie der algebraischen Gleichungen. *J. reine angew. Math.*
- [3] M. Aissen, I.J. Schoenberg, and A.M. Whitney, 1952.  
On the generating functions of totally positive sequences I. *J. d'Analyse Math.*
- [4] A. Edrei, 1952.  
On the generating functions of totally positive sequences I. *J. d'Analyse Math.*
- [5] I.J. Schoenberg, 1955.  
On zeros of generating functions of PF sequences and functions. *Ann. of Math.*
- [6] A. Cuttler, C. Greene, and M. Skandera, 2011.  
Inequalities for normalized Schur functions. *Eur. J. Comb.*
- [7] S. Sra, 2016.  
On inequalities for normalized Schur functions. *Eur. J. Comb.*
- [8] A. Khare and T. Tao, 2020+.  
On the sign patterns of entrywise positivity preservers in fixed dimension.  
*Amer. J. Math.*, in press. (Also published in FPSAC 2018 proceedings.)