

# MA 312 Commutative Algebra / January-April 2015

(Int PhD. and Ph. D. Programmes)

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**Lectures :** Monday and Thursday ; 11:00–12:30

**Venue:** MA LH-3 ( if LH-1 is not free ) / LH-1

**Midterms :** Monday, Feb 16, 2015, 2PM–5PM

**Final Examination :**

**Evaluation Weightage : Exercises :** 10%

**Seminar :** 10%

**Midterms :** 30%

**Final Examination :** 50%

| Range of Marks for Grades (Total 100 Marks) |         |         |         |         |         |         |
|---|---------|---------|---------|---------|---------|---------|
| Marks-Range                                 | Grade S | Grade A | Grade B | Grade C | Grade D | Grade F |
|   | > 90    | 76–90   | 61–75   | 46–60   | 35–45   | < 35    |

## 3. Free Modules

**3.1** Every  $\mathbb{Q}$ -vector space  $V \neq 0$  is not free over the subring  $\mathbb{Z}$  of  $\mathbb{Q}$ .

**3.2** Let  $V$  be a free module over a ring  $A$  and let  $a \in A$  be an element which is not a left zero-divisor in  $A$ . Then the homothety  $\vartheta_a : V \rightarrow V, x \mapsto ax$  by  $a$  is injective.

**3.3** Let  $B$  be a ring and  $A$  be a subring of  $B$  such that  $B$  is a free  $A$ -module. Then :

(a) An element  $a \in A$  is not a left zero-divisor in  $A$  if and only if  $a$  is not a left zero-divisor in  $B$ .

(b)  $(aB) \cap A = aA$  for every left-ideal  $a \subseteq A$ .

(c)  $A^\times = A \cap B^\times$ . Moreover, if  $B$  is a field, then so is  $A$ . (**Hint :** If  $a \in A \cap B^\times$ , then  $B = aB$ .)

**3.4** Let  $U$  and  $W$  be free  $A$ -submodules of an arbitrary  $A$ -module  $V$  with bases  $x_i, i \in I$  and  $y_j, j \in J$ , respectively. Show that  $x_i, y_j, i \in I, j \in J$ , together form a basis of  $U + W$  if and only if  $U \cap W = 0$ .

**3.5** Let  $A$  be a non-zero commutative ring. Show that  $A$  is a principal ideal domain if and only if every ideal in  $A$  is a free  $A$ -submodule of  $A$ .

**3.6** Let  $K$  be a division ring and let  $A$  be a commutative subring of  $K$  such that  $K$  is a finite  $A$ -module. Show that  $A$  itself is a field. (**Hint :** This is a generalisation of the Exercise T2.4. Note that  $K$  contains a quotient field  $Q(A)$  of  $A$ . Let  $x_1, \dots, x_m$  be a  $A$ -generating system of  $K$  and let  $y_1, \dots, y_n$  be a  $Q(A)$ -basis of  $K$  with  $y_1 = 1$ . Then  $y_1^*(x_1), \dots, y_1^*(x_m)$  is an  $A$ -generating system of  $Q(A)$ , where  $y_1^*$  is the first coordinate function with respect to the basis  $y_1, \dots, y_n$ . Now use the Exercise T2.4.)

**3.7** Let  $x_i, i \in I$ , be a family of  $n$ -tuples from  $\mathbb{Z}^n$ . For a prime number  $p$ , let  $\mathbf{F}_p (= \mathbb{Z}/\mathbb{Z}p)$  denote the prime field of characteristic  $p$ . Show that the following statements are equivalent:

(i) The  $x_i, i \in I$ , are linearly independent over  $\mathbb{Z}$ .

(ii) The images of  $x_i, i \in I$ , in  $\mathbb{Q}^n$ , are linearly independent over  $\mathbb{Q}$ .

(iii) There exists a prime number  $p$  such that the images of  $x_i, i \in I$ , in  $\mathbf{F}_p^n$ , are linearly independent over  $\mathbf{F}_p$ .

(iv) For almost all prime numbers  $p$ , the images of  $x_i, i \in I$ , in  $\mathbf{F}_p^n$ , are linearly independent over  $\mathbf{F}_p$ .

Moreover, if  $|I| = n$ , then the above statements are further equivalent to the following statement:

(v) There exists a non-zero integer  $m$  such that  $m\mathbb{Z}^n \subseteq \sum_{i \in I} \mathbb{Z}x_i$ .

**3.8** Let  $x_i, i \in I$ , be a family of  $n$ -tuples from  $\mathbb{Z}^n$ . For every prime number  $p$  let  $\mathbf{F}_p$  denote a field with  $p$  elements. Show that the following statements are equivalent:

(i) The  $x_i, i \in I$ , generate (the  $\mathbb{Z}$ -module)  $\mathbb{Z}^n$ . (ii) For every prime number  $p$ , the images of

$x_i, i \in I$ , in  $\mathbf{F}_p^n$ , generate the  $\mathbf{F}_p$ -vector space  $\mathbf{F}_p^n$ . (**Hint :** ((ii)  $\Rightarrow$  (i): Let  $U := \sum_{i \in I} \mathbb{Z}x_i$ . Note that by Exercise 3.7, there exists a non-zero integer  $m$  with  $m\mathbb{Z}^n \subseteq U$ . Further: to every prime number  $p$  and every

$x \in \mathbb{Z}^n$  there exist  $x' \in U, y \in \mathbb{Z}^n$  such that  $x = x' + py$ , i.e.  $\mathbb{Z}^n \subseteq U + p\mathbb{Z}^n$  for every prime number  $p$ . From this deduce that  $U = \mathbb{Z}^n$ .)

**3.9** Let  $K$  be a field and let  $b_0, \dots, b_m$  be elements of  $K$ , all of which are not equal to 0. Then there exist at most  $m$  distinct elements  $x \in K$ , which satisfy the equation

$$0 = b_0 \cdot 1 + b_1x + \dots + b_mx^m.$$

(**Hint** : If  $x_1, \dots, x_{m+1}$  are distinct elements in  $K$ , then by Exercise T3.2 and Exercise T3.6, the elements  $h_j := (x_1^j, \dots, x_{m+1}^j), 0 \leq j \leq m$ , are linearly independent over  $K$ . — **Remark** : The same result is also true for integral domains, since every integral domain is contained in a field, for example, in its quotient field. With the help of concept of polynomials the above assertion can be formulated as : *A non-zero polynomial of degree  $\leq m$  over a field (or an integral domain)  $K$  has at most  $m$  zeros in  $K$ .*)

**3.10** Let  $A$  be an integral domain and let  $Q$  be a field which contains  $A$ . Show that:

(a) Every subgroup  $U$  of the unit group  $A^\times$  of  $A$  with a positive exponent<sup>1</sup> is cyclic (and finite). In particular, every finite subgroup of  $A^\times$  is cyclic.

(b) The unit group of every finite field is cyclic.) (**Hint** : The equation  $x^m = 1$  has at most  $m$  solutions in  $A$  by Exercise 3.9. Now use the following Exercise on groups : *Let  $G$  be a finite group with neutral elements  $e$ . Suppose that for every divisor  $d \in \mathbb{N}^*$  of the order  $\text{Ord}G$  there are at most  $d$  elements  $x \in G$  such that  $x^d = e$ . Then  $G$  is a cyclic group.*)

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**Below one can see some Supplements / Test-Exercises to the results proved in the class.**

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<sup>1</sup> **Exponent of a group.** Let  $G$  be a group with neutral element  $e$ . Then the set of integers  $n$  with  $a^n = e$  for all  $a \in G$  forms a subgroup  $U_G$  of the additive group of  $\mathbb{Z}$ , i.e.  $U_G := \{n \in \mathbb{Z} \mid a^n = e \text{ for all } a \in G\}$  and hence there is a unique  $m \in \mathbb{N}$  such that  $U_G = \mathbb{Z}m$ . This natural number  $m$  is called the **exponent** of  $G$  and usually denoted by  $\text{Exp}G$ . For example, if  $G$  is a finite cyclic group, then  $\text{Exp}G = \text{Ord}G$ ;  $\text{Exp}\mathfrak{S}_3 = \text{Ord}\mathfrak{S}_3$ ; In general :  *$\text{Exp}G$  and  $\text{Ord}G$  have the same prime divisors.* (proof!).

## Supplements / Test-Exercises

**T3.1** An element  $a$  in a ring  $A$  is a basis of the  $A$ -module  $A$ , if and only if  $a$  is a unit in  $A$ .

**T3.2 (a)** The elements  $1, a \in \mathbb{R}$  are linearly independent over  $\mathbb{Q}$ , if and only if  $a$  is irrational (i.e. not rational). (**Remark :** Two real numbers  $b, c$ , which are linearly independent over  $\mathbb{Q}$  are called incommensurable. Classical example: the length of the side and the length of the diagonal of a square are incommensurable, since the real number  $\sqrt{2} \in \mathbb{R}$  is irrational.)

**(b)** Let  $\mathbb{P}$  be the set of all prime numbers  $p \in \mathbb{N}^*$ . Show that the family  $(\log p)_{p \in \mathbb{P}}$  is linearly independent over  $\mathbb{Q}$ .

**T3.3** Let  $a, b \in \mathbb{N}^*$  and  $d := \gcd(a, b)$ . Then the relation submodule  $\text{Rel}_{\mathbb{Z}}(a, b)$  of  $\mathbb{Z}^2$  is generated by  $(bd^{-1}, -ad^{-1}) \in \mathbb{Z}^2$ .

**T3.4** In the subspace  $U$  of the  $\mathbb{R}$ -vector space  $\mathbb{R}^{\mathbb{R}}$  of all functions from  $\mathbb{R}$  into itself, generated by the functions  $x \mapsto \sin(x+a)$ ,  $a \in \mathbb{R}$ , show that the two functions  $x \mapsto \sin x$ ,  $x \mapsto \cos x (= \sin(x + \pi/2))$  form a basis of  $U$ .

**T3.5** Let  $x_1, \dots, x_{n+1}$ ,  $n \in \mathbb{N}$ , be linearly independent elements of a vector space  $V$  over the division ring  $K$ . Suppose that  $n$  elements among  $x_1, \dots, x_{n+1}$  are linearly independent over  $K$ . Then show that  $\text{Dim}_K(\text{Rel}_K(x_1, \dots, x_{n+1})) = 1$ .

**T3.6** Let  $K$  be a division ring,  $V$  be a finite dimensional  $K$ -vector space and let  $V_i, i \in I$ , be a family of subspaces of  $V$ . Then there exists a finite subset  $J$  of  $I$  such that  $\bigcap_{i \in I} V_i = \bigcap_{i \in J} V_i$  and  $\sum_{i \in I} V_i = \sum_{i \in J} V_i$ .

**T3.7** Let  $K$  be a division ring and let  $V$  be not finite dimensional  $K$ -vector space. Construct an infinite sequences  $U_0 \subset U_1 \subset \dots \subset U_i \subset \dots$  and  $W_0 \supset W_1 \supset \dots \supset W_i \supset \dots$  of subspaces of  $V$ .

**T3.8** Let  $I$  be a non-empty open interval in  $\mathbb{R}$  and let  $C_{\mathbb{R}}^0(I)$  be the  $\mathbb{R}$ -vector space of all continuous real-valued functions on  $I$ . Show that  $|C_{\mathbb{R}}^0(I)| = |\mathbb{R}|$ . (**Hint :** The map  $C_{\mathbb{R}}^0(I) \rightarrow \mathbb{R}^{\mathbb{Q}}$  defined by  $f \mapsto f|_{\mathbb{Q}}$  is injective.)

**T3.9** Let  $K$  be a division ring and let  $M$  be a maximal  $K$ -linear independent subset in the set of 0-1-sequences from  $K^{\mathbb{N}}$ . Show that  $M$  has the cardinality of the continuum. (**Hint :** We may assume that  $K$  is the quotient field of its prime ring  $\mathbb{Z} \cdot 1_K$ . Using cardinality arguments show that the dimension of the subspace generated by the 0-1-sequences in  $K^{\mathbb{N}}$  is the cardinality of the continuum.)

**T3.10** Let  $I$  be a non-empty open interval in  $\mathbb{R}$  and let  $C_{\mathbb{R}}^{\omega}(I)$  (respectively,  $C_{\mathbb{R}}^0(I)$ ) be the  $\mathbb{R}$ -vector space of all real-analytic<sup>2</sup> (respectively, continuous) real-valued functions on  $I$ . Then  $C_{\mathbb{R}}^{\omega}(I) \subseteq C_{\mathbb{R}}^0(I)$  and if  $U$  is a  $\mathbb{R}$ -subspace of  $C_{\mathbb{R}}^0(I)$  with  $C_{\mathbb{R}}^{\omega}(I) \subseteq U$ , then show that  $\text{Dim}_{\mathbb{R}} U$  has the cardinality of the continuum. (**Hint :** Without loss of generality let  $I = ]-1, 1[$ . Let  $(a_{ij})_{i \in \mathbb{N}, j \in J}$ , be a linearly independent family of 0-1-sequences in  $\mathbb{R}^{\mathbb{N}}$ , where  $|J| = \aleph := |\mathbb{R}|$ , see T3.11. Then the functions  $t \mapsto \sum_{i \geq 0} a_{ij} t^i$ ,  $j \in J$ , in  $C_{\mathbb{R}}^{\omega}(I)$  are linearly independent over  $\mathbb{R}$ . **Alternative hint :** the family of the functions  $t \mapsto \exp(at)$ ,  $a \in \mathbb{R}$ , on  $I$  is linearly independent. Similarly, the rational functions  $t \mapsto 1/(t-a)$ ,  $a \in \mathbb{R}$ ,  $|a| \geq 1$ , are linearly independent in  $C_{\mathbb{R}}^{\omega}(]-1, 1[)$ .) Prove the analogous results for the complex vector space  $H(U)$  of holomorphic functions defined on a domain  $U \subseteq \mathbb{C}$ .

**T3.11** For a given  $n \in \mathbb{N}$ , let  $a_1, \dots, a_n \in K$  be  $n$  distinct elements in a field  $K$ . Then the sequences  $g_i := (a_i^v)_{v \in \mathbb{N}} \in K^{\mathbb{N}}$ ,  $i = 1, \dots, n$ , are linearly independent over  $K$ . (**Hint :** Suppose that the  $g_i$  are linearly dependent. Without loss of generality we may assume that  $\text{Dim}_K(\text{Rel}_K(g_1, \dots, g_n)) = 1$ , see T3.4. Let  $(b_1, \dots, b_n)$  be a basis element of relations. Then the element  $(b_1 a_1, \dots, b_n a_n)$  is also a relation of the  $g_i$ . This is a contradiction.)

**T3.12** Let  $K$  be a field and let  $I$  be an infinite set. Then  $\text{Dim}_K(K^I) = |K^I|$ . (**Hint :** In view of<sup>3</sup>, it is

<sup>2</sup> A function  $f: I \rightarrow \mathbb{R}$  is called real-analytic at  $a \in I$ , if there exist a open neighbourhood  $U$  of  $a$  and a convergent power series  $\sum_{i=0}^{\infty} a_i(x-a)^i$  such that  $f(x) = \sum_{i=0}^{\infty} a_i(x-a)^i$  for all  $x \in U \cap I$ . A function  $f: I \rightarrow \mathbb{R}$  is called real-analytic if it is real-analytic at every  $a \in I$ .

<sup>3</sup>Let  $A$  be a ring and let  $V$  be a free  $A$ -module of infinite rank. Then  $|V| = |A| \cdot \text{rank}_A V = \text{Sup}\{|A|, \text{rank}_A V\}$ .

enough to prove that  $|K| \leq \text{Dim}_K K^I$ . Let  $\sigma : \mathbb{N} \rightarrow I$  be injective and for  $a \in K$ , let  $g_a$  denote the  $I$ -tuple with  $(g_a)_{\sigma(v)} := a^v$  for  $v \in \mathbb{N}$  and  $(g_a)_i := 0$  for  $i \in I \setminus \text{im } \sigma$ . Then by T3.11,  $(g_a)_{a \in K}$  are linearly independent.) Deduce that  $\text{Dim}_K K^I > \text{Dim}_K K^{(I)}$ . – **Remark** : This dimension formula for  $K^I$  is also valid for division rings  $K$ . Proof!.)

**T3.13** Let  $K$  be a division ring. Further, let  $x_i = (a_{i1}, \dots, a_{in}) \in K^n$ ,  $i = 1, \dots, n$ . With the  $j$ -th components of this  $n$ -tuple we form the new  $n$ -tuples  $y_j := (a_{1j}, \dots, a_{nj})$ ,  $j = 1, \dots, n$ . Show that the elements  $x_1, \dots, x_n$  of the  $K$ -Left-vector space  $K^n$  are linearly independent if and only if the elements  $y_1, \dots, y_n$  of the  $K$ -right-vector space  $K^n$  are linearly independent. (**Hint** : Suppose that  $x_1, \dots, x_n$  are linearly independent and  $y_1 b_1 + \dots + y_n b_n = 0$ ,  $b_j \in K$ . Then  $x_1, \dots, x_n \in \text{Rel}_K(b_1, \dots, b_n)$ , and a dimension argument shows that  $\text{Rel}_K(b_1, \dots, b_n) = K^n$ , this means  $b_1 = \dots = b_n = 0$ .)

**T3.14** Let  $K$  be a division ring,  $I$  be a set and let  $f_1, \dots, f_n \in K^I$ ,  $n \in \mathbb{N}$ . The following statements are equivalent:

- (i) The  $f_1, \dots, f_n$  are linearly independent over  $K$ .
- (ii) There exists a subset  $J \subseteq I$  such that  $|J| = n$  and that the restrictions  $f_1|_J, \dots, f_n|_J \in K^J$  are linearly independent (and hence form a basis of  $K^J$ ).
- (iii) The value  $n$ -tuples  $(f_1(i), \dots, f_n(i)) \in K^n$ ,  $i \in I$ , generate  $K^n$  as a  $K$ -right-vector space. (**Hint** : The implication (i)  $\Rightarrow$  (ii) can be proved by induction on  $n$ : Suppose that there exists a subset  $J' \subseteq I$  with  $(n-1)$ -elements is found for  $f_1, \dots, f_{n-1}$  such that  $f_1|_{J'}, \dots, f_{n-1}|_{J'}$  are linearly independent over  $K$  and so form a basis of  $K^{J'}$ . Then  $f_n|_{J'} = a_1(f_1|_{J'}) + \dots + a_{n-1}(f_{n-1}|_{J'})$  with  $a_1, \dots, a_{n-1} \in K$ . Now, by (i) there exists an element  $j \in I \setminus J'$  such that  $f_n(j) \neq a_1 f_1(j) + \dots + a_{n-1} f_{n-1}(j)$ . Now, choose  $J := J' \cup \{j\}$ . — For the equivalence (ii)  $\Leftrightarrow$  (iii) use T3.13.)

**T3.15** Let  $K$  be a division ring and let  $a_1, \dots, a_n \in K$ . Let  $g_i := (a_i^v)_{v \in \mathbb{N}} \in K^{\mathbb{N}}$  and  $f_i := (1, a_i, \dots, a_i^{n-1}) \in K^n$ ,  $i = 1, \dots, n$ . Then  $g_1, \dots, g_n$  are linearly independent over  $K$  if and only if  $f_1, \dots, f_n$  are linearly independent over  $K$ . (**Hint** : Let  $h_j := (a_1^j, \dots, a_n^j) \in K^n$ ,  $j \in \mathbb{N}$ . Note that  $f_i = g_i|_{\{0, \dots, n-1\}}$  and  $(f_1(j), \dots, f_n(j)) = (g_1(j), \dots, g_n(j)) = h_j$  for all  $j = 1, \dots, n$ . Therefore by T3.14,  $g_1, \dots, g_n$  are linearly independent if and only if  $h_j$ ,  $j = 1, \dots, n$  generates the right-vector space  $K^n$ . Suppose that the elements  $h_0, \dots, h_m$  are linearly independent in the  $K$ -right-vector space  $K^n$ , but the elements  $h_0, \dots, h_{m+1}$  are not linearly independent, so  $h_{m+1}$  and hence  $h_j$  for every  $j \geq m+1$  is a linear combination of  $h_0, \dots, h_m$ . Now again use T3.14.)

**T3.16** Let  $A$  be a ring  $\neq 0$  with finitely many elements and let  $V$  be an  $A$ -module with a generating system of  $n$  elements,  $n \in \mathbb{N}$ . Show directly (without using the theorem) that every  $n+1$  elements of  $V$  are linearly dependent. (**Hint** : Proceed as in the Example given in the class which uses only cardinality argument.)

**T3.17** What is the rank of  $\mathbb{Q}$  as an abelian group?

**T3.18** Let  $K$  be a field,  $I$  be a set and let  $g \in K^I$  be a function on  $I$  into  $K$ , such that the image  $\text{im}(g)$  is an infinite subset of  $K$ . Then the powers  $g^v$ ,  $v \in \mathbb{N}$  of  $g$  are linearly independent over  $K$ . (For example from this it follows that: the functions  $t \mapsto \cos^v t$ ,  $v \in \mathbb{N}$ , from  $\mathbb{R}$  to itself are linearly independent; similarly, the functions  $x \mapsto x^v$ ,  $v \in \mathbb{N}$ , from  $K$  to itself for an arbitrary infinite field  $K$ , are linearly independent.)

**T3.19** Let  $L$  be a division ring,  $K$  be a subdivision ring of  $L$  and  $I$  be a set. For an arbitrary family  $(f_j)_{j \in J}$  of functions  $f_j \in K^I$  show that: the  $f_j$ ,  $j \in J$ , are linearly independent over  $K$  if and only if they are linearly independent over  $L$  as a family of functions in  $L^I$ . (Use the exercise 6 and exercise 4.11(a).)

**†T3.20** Let  $A$  be a ring and let  $J$  be an indexed set with cardinality of the continuum. Then there exists a family  $x_j$ ,  $j \in J$ , of  $A$ -linearly independent 0-1-sequences in  $A^{\mathbb{N}}$ . (**Hint** : (H. Brenner) Let  $\mathbb{P}$  be the set of prime numbers. For a subset  $R \subseteq \mathbb{P}$ , let  $N(R)$  be the set of those positive natural numbers whose prime divisors belong to  $R$ , i.e.  $N(R) = \{n \in \mathbb{N}^* \mid \text{prime divisors of } n \subseteq R\}$ . Then the family  $x_R$ ,  $R \in \mathfrak{P}(\mathbb{P})$ , is linearly independent, where  $x_R$  denote the indicator function of  $N(R)$ .)