

# FACTORIAL MONOIDS

L4/1

≠ A ring  $(A, +, \cdot)$  has the following properties

- 1)  $(A, +)$  is an abelian group
- 2)  $(A^*, \cdot)$  is a monoid
- 3) The binary operations  $\cdot$  and  $+$  satisfy distributive properties

Note that a multiplicative identity exists because  $(A, \cdot)$  is a monoid.

Examples:  $(\mathbb{Z}, +, \cdot)$ ,  $(\mathbb{R}, +, \cdot)$ ,  $(\mathbb{Q}, +, \cdot)$ ,  $(\mathbb{C}, +, \cdot)$  are rings.

For a monoid  $M$ , the set of elements in  $M$  which are invertible is denoted by  $M^*$ . Note that  $M^*$  is a group. Remark: If  $(A^*, \cdot)$  is a monoid then  $(A, +, \cdot)$  is an ~~comm~~ integral domain. Also,  $(A^*, \cdot)$  is also a cancellatory monoid.

Example: For the monoid  $(\mathbb{Z}, \cdot)$ ,  $(\mathbb{Z}, \cdot)^* = \{\pm 1\}$ .

Let  $M$  be a commutative and cancellatory monoid. On  $M$  define a relation  $\sim$ :  $a \sim b \stackrel{\text{def}}{\iff} b = ua$  for some  $u \in M^*$ . Such pairs of  $a$  and  $b$  are called associates. Verify that  $\sim$  is an equivalence relation. Let the quotient set generated by  $\sim$  be denoted as  $M/\sim = \bar{M}$ .

Let  $\bar{a}, \bar{b} \in \bar{M}$ . We can define a binary operation  $\cdot$  on the quotient set  $\bar{M}$ :  $\bar{a} \cdot \bar{b} = \overline{ab}$ .

Verify that this operation is well-defined.

By this operation the set of equivalence classes  $\bar{M}$  becomes a monoid. Show that  $(\bar{M})^* = \{\bar{e}\}$ .

Definition:  $a \in M$  is called irreducible if  $a \notin M^*$  and the only divisors of  $a$  are units and associates of  $a$ .  
 $p \in M^*$  is irreducible if  $p > 1$  and the only divisors of  $p$  are  $1$  and  $p$ .

$p \in M$  is called prime if  $p \notin M^\times$ , and whenever  $p|ab$  for any  $a, b \in M$ , then either  $p|a$  or  $p|b$ .

4.1 Lemma: If  $p \in M$  is prime, then  $P$  is irreducible.

Remark: The converse holds for  $(\mathbb{N}^\times, \cdot)$ .

Proof: Let  $p \in M$  be prime  $\Rightarrow p \notin M^\times$ .

To show that if  $a|p$ , then  $a \in M^\times$  or  $a = up, u \in M^\times$   
 $a|p \Rightarrow p = ab$  for some  $b \in M$ . Since  $p$  is prime  
 either  $p|a$  or  $p|b$ .  $\Rightarrow$  Either  $a = up$  or  $b = vp, u, v \in M$ .

Consider the case  $b = vp$ . Then  $p = avp$ .

If  $M$  is cancellatory,  $1 = av \Rightarrow a \in M^\times$  ( $a$  is a unit)

Consider the case  $a = up$ . Then  $p = upb$ .

If  $M$  is commutative and cancellatory,  $e = ub$ .

$\Rightarrow b \in M^\times$ . Therefore  $p = ab$  implies  $p$  and  $a$  are associates.

Therefore, prime numbers are irreducibles.

Illustrative Examples:  $M = \{2^v \mid v \neq 1, v \in \mathbb{N}\}$

$4|8 \cdot 8$  but  $4 \nmid 8$ . Therefore, 4 is not prime.

Example: Fix prime  $p \in \mathbb{N}$ .  $M = \mathbb{N}^\times - \{p\}$

$M$  is a multiplicative monoid, and  $p^2, p^3$  are irreducible.

$p^2 | p^2 \cdot p^2 \cdot p^2 \Rightarrow p^2 | p^6$ . However  $p^6 = p^2 \cdot p^3 \cdot p^3$

But  $p^2 \nmid p^3$ . Therefore,  $p^2$  is irreducible but not prime. As a result,  $M$  is not a factorial.

4.1 Theorem (Gauss): Fundamental theorem of Arithmetic

Let  $m \in \mathbb{N}^\times$ , then (1)  $m$  is a product of irreducible elements, (2) the product representation of  $m$  is unique.

Proof: By induction. Theorem is true for  $m=1$ .

Assume the hypothesis for  $n < m$ , with the representation  $m = q_1 q_2 q_3 \dots q_s = p_1 p_2 \dots p_r$

Let  $m' = p_1 p_2 \dots p_r - p_1 q_2 \dots q_s$  (assume  $p_1 < q_1$ )

$$m' = (p_1 - q_1) q_2 \dots q_s$$

Also

$$m' = p_1 (p_2 \dots p_r - q_2 \dots q_s)$$

Since  $p_1$  divides  $m'$ , and  $m' < n$ , it must occur in the representation of  $m'$  at least.  $\Rightarrow b p_1 = (p_1 - q_1)$

$\Rightarrow q_1 = (1+b)p_1$ , which is a contradiction  $\Rightarrow p_1 \nmid q_1$ .

Consider the case  $q_1 < p_1$ . By arriving at a similar contradiction, we will conclude  $p_1 = q_1$ . Factoring out  $p_1$  from  $m$ ,  $\frac{m}{p_1}$  must have a unique representation

Therefore, theorem is proved.

4.2 Theorem: Let  $M$  be a monoid. Then the following are equivalent.

(1) Every  $a \notin M^\times$  is a product of irreducible elements and this product is unique upto associates and its permutation. i.e.,  $a = p_1 p_2 \dots p_r = q_1 q_2 \dots q_s$ , then  $r=s$ ,  $\exists \sigma \in S(r)$  such that  $q_i = u_i p_{\sigma(i)}$   $u_i \in M^\times$ .

Such a monoid is called a factorial. Ex:  $\mathbb{N}^\times$ .

(2) Every  $a \notin M^\times$  is a product of irreducible elements and every irreducible element is a prime.

(3) Every  $a \notin M^\times$  is a product of primes in  $M$ .

It is not necessary that every element of a monoid is a product of irreducible elements. For example, consider a infinite set  $X$ , The monoid is  $(\mathcal{P}(X), \cup)$  where  $\mathcal{P}(X)$  is the power set of  $X$  and  $\cup$  is the union operation.

$A|B \Rightarrow A \subseteq B$ . The irreducible elements are singletons.