

# DIMENSION OF VECTOR SPACES

L27/1

27.1 Theorem:  $A$  is a ring and  $V$  is a  $A$ -module.  
Suppose  $\underline{y}$  is an infinite generating system for  $V$ .  
Then every generating system  $\{x_i \mid i \in I\}$  contains  
a generating system  $\{x_j \mid j \in J, J \subseteq I\}$  with  
 $|J| \leq |\underline{y}|$ .

Proof: Proved earlier in class 22 (Theorem 22.1)

We have already shown that for a division ring  $K$ , a  $K$ -vector space  $V$  has a basis.

Dimension of  $V$ : All  $K$ -bases of  $V$  have the same cardinality. This cardinality is called the dimension of  $V$ , and is denoted by  $\text{Dim}_K V$ .

Proof: Case 1:  $V$  is infinite.

Let  $\{x_i \mid i \in I\} = \underline{x}$  and  $\underline{y} = \{y_j \mid j \in J\}$  be two bases of  $V$  and  $I$  is infinite. To prove that (TPT)  $|I| = |J|$ . From the above theorem  $|J| \leq |I|$ .

Interchange the roles of  $I$  and  $J$ , and we get  $|I| \leq |J|$   
 $\Rightarrow |I| = |J|$ .

Case 2:  $V$  is finite. Let  $\{x_1, x_2, \dots, x_n\}$ ,  $\{y_1, y_2, \dots, y_m\}$  be two bases, ETPT  $m \leq n$ . (Interchange  $\underline{x}$  and  $\underline{y}$ ).

27.2 Theorem (Steinitz's Exchange Theorem).

Let  $V$  be a  $K$ -vector space, and  $\{x_1, x_2, \dots, x_n\}$  be a basis of  $V$  and  $\{y_1, y_2, \dots, y_m\}$  be a linearly independent set. Then  $m \leq n$ , and  $\exists$   $n-m$  elements from  $\{x_1, x_2, \dots, x_n\}$  such that  $\{y_1, y_2, \dots, y_m\}$  together with these  $(n-m)$  elements form a basis of  $V$ .

Proof of Steinitz's theorem: proof by induction on  $m$ .

$m=0$ : Nothing to prove. Assume the hypothesis to be true upto  $m-1$ . Then  $m-1 \leq n$  and there are  $n-m+1$  elements from  $\{x_1, x_2, \dots, x_n\}$  so that (without loss of generality)

$\{y_1, y_2, \dots, y_{m-1}, x_m, x_{m+1}, \dots, x_n\}$  is a basis of  $V$ .

Now consider two cases. Case 1:  $m-1 = n$

This would imply that  $y_m$  is linearly dependent on  $\{y_1, y_2, \dots, y_{m-1}\}$  which is a contradiction.  $\nRightarrow m-1 \neq n$

Case 2:  $m-1 < n \Rightarrow m < n+1 \Rightarrow m \leq n$ .

This is sufficient to prove that the cardinality of two bases of a finite dimensional vector space is the same.

Since  $\{y_1, y_2, \dots, y_{m-1}, x_m, x_{m+1}, \dots, x_n\}$  forms a basis,  $y_m = a_1 y_1 + a_2 y_2 + \dots + a_{m-1} y_{m-1} + b_m x_m + \dots + b_n x_n$

Without loss of generality, <sup>let</sup>  $b_m \neq 0$ . Since  $K$  is a division ring

$$x_m = b_m^{-1} (y_m - a_1 y_1 - a_2 y_2 - \dots - b_{m+1} x_{m+1} - \dots - b_n x_n)$$

$$\Rightarrow x_m \in \{K y_1 + K y_2 + \dots + K y_{m-2} + K y_{m-1} + K y_m + K x_{m+1} + \dots + K x_n\}$$

Since  $\{y_1, y_2, y_{m-2}, y_{m-1}, y_m, x_m, x_{m+1}, \dots, x_n\}$  belong to the above sub-module,

$$K y_1 + K y_2 + \dots + K y_{m-1} + K y_m + K x_{m+1} + \dots + K x_n = V$$

To prove that they are linearly independent.

Consider

$$0 = a_1 y_1 + a_2 y_2 + \dots + a_m y_m + b_{m+1} x_{m+1} + \dots + b_n x_n$$

If all  $a_i$  are 0, then all  $b_j$  are 0 ( $x_i$  are LI)

Similarly if all  $b_i = 0$ , then  $a_i = 0$  ( $y_i$  are LI)

Assume  $a_m \neq 0$ , then  $y_m \in Ky_1 + \dots + Ky_{m-1} + Kx_{m+1} + \dots + Kx_n$

$$\Rightarrow Ky_1 + \dots + Ky_{m-1} + \dots + Kx_{m+1} + \dots + Kx_n = V. \text{ But } \{$$

This contradicts the hypothesis  $\{y_1, y_2, \dots, y_{m-1}, x_m, \dots, x_n\}$  is a basis and therefore a minimal generating system.

Therefore,  $a_m = 0$ .

Corollary 1: Let  $V$  be a  $K$ -vector space,  $\text{Dim}_K V = n$ , and  $\{x_1, x_2, \dots, x_n\}$  be elements in  $V$ . The following are equivalent (a)  $\{x_1, x_2, \dots, x_n\}$  is a basis, (b)  $\{x_1, x_2, \dots, x_n\}$  is a generating system, and (c)  $\{x_1, x_2, \dots, x_n\}$  is linearly independent.

Proof: (a)  $\Rightarrow$  (b), (a)  $\Rightarrow$  (c). Use the Exchange Theorem to show that (c)  $\Rightarrow$  (a) and (b)  $\Rightarrow$  (c)

Corollary 2: Let  $V$  be a  $K$ -vector space. Suppose

$$\{x_1, x_2, \dots, x_n\} \subseteq V, \text{ and } W = Kx_1 + Kx_2 + \dots + Kx_n.$$

Then  $\text{Dim}_K W =$  maximum no. of elements in  $\{x_1, \dots, x_n\}$  which are linearly independent.

Corollary 3: Let  $V$  be a finite dimensional  $K$ -vector space.  $W$  is a  $K$ -subspace. Then  $\text{Dim}_K W \leq \text{Dim}_K V$ . Moreover, it is an inequality iff  $W = V$ .

Proof: Let  $\{x_1, x_2, \dots, x_n\} \in W$  be a basis of  $W$ . It can be extended to  $\{x_1, x_2, \dots, x_m, x_{m+1}, \dots, x_n\}$  as a basis of  $V$ . Therefore,

$$\text{Dim}_K W = m \leq n = \text{Dim}_K V.$$

If it is equal, then no extension is necessary.

If  $V$  is a  $K$ -vector space, &  $U$  and  $W$  are  $K$ -subspaces of  $V$ ,  $U$  and  $W$  are finite, and  $U \subseteq W$ .

$$\text{Then } U=W \iff \text{Dim}_K U = \text{Dim}_K W.$$

Illustrative Example: Let  $V$  be a countable infinite dimensional  $K$ -vector space, i.e.  $V$  has a countable basis. Let  $\{x_n \mid n \in \mathbb{N}\}$  be a basis of  $V$ .

The  $K$ -subspace generated by  $\{x_{2n} \mid n \in \mathbb{N}\}$ ,

$$\text{i.e., } W = \sum_{n \in \mathbb{N}} K x_{2n}.$$

It is clear that  $W \neq V$ , but  $\text{Dim}_K W = |\mathbb{N}| = \text{Dim}_K V$ .

Example:  $K$  is a division ring, and  $I$  any set.

$$K^{(I)} \subseteq K^I. \text{ For } K^{(I)} \text{ } e_i, i \in I \text{ is the standard}$$

basis. Every  $a \in K^{(I)}$  can be expressed as

$$a = (a_i) = \sum_{i \in I} a_i e_i \quad (\text{Note the summation is only for finite terms})$$

$$\text{Dim}_K K^{(I)} = |I|. \text{ In particular if } I = \{1, 2, \dots, n\}$$

$$\text{Dim}_K K^n = |I| = n.$$

However, it is not trivial for  $K^I$ . We have already shown that a basis exists. Example for  $K^I$  could be  $C_{\mathbb{R}}([0, 1])$ : set of continuous functions on  $[0, 1]$ .