## **D**<sub>M</sub>-07 MA-217 Discrete Mathematics /Jan-Apr 2007 Lectures : Tuesday/Thursday 15:45–17:15 ; Lecture Hall-1, Department of Mathematics

## 2. Finite Sets — Elementary counting techniques

**2.1.** Let  $X_1, \ldots, X_n$  be finite subsets of a finite set  $\Omega$ . For  $\emptyset \neq J \subseteq \{1, \ldots, n\}$ , let  $X_J := \bigcap_{i \in J} X_i$ and  $X := X_{\emptyset} := \bigcup_{i=1}^n X_i$ . Further, for  $j = 1, \ldots, n$ , put  $\xi_j := \sum_{J \in \mathfrak{P}_j(\{1, \ldots, n\})} |X_J|$  and  $\xi_0 := |\Omega|$ . Prove that  $\left|\bigcap_{i=1}^{n} \left(\Omega \setminus X_{i}\right)\right| = \sum_{i=0}^{n} \left(-1\right)^{j} \xi_{j}.$ (Hint: By Sylvester's sieve formula (Exercise 1.1-a)), a).  $|X| = \sum_{i=1}^{n} (-1)^{j-1} \xi_j$ . Since  $\bigcap_{i=1}^{n} (\Omega \setminus X_i) = \Omega \setminus \bigcup_{i=1}^{n} X_i = \Omega \setminus X$ , we get  $|\bigcap_{i=1}^{n} (\Omega \setminus X_i)| = |\Omega| - |X|$ .) **b).** For k = 1, ..., n, let  $Y_k$  be the set of all those elements in X which belongs to exactly k of the subsets  $X_1, \ldots, X_n$ . Then  $|Y_m| = \sum_{r=m}^n (-1)^{r-m} \binom{r}{m} \xi_r$  for all  $1 \le m \le n$ . (Hint: Let  $1 \le k, m \le n$ and let *m* be fixed. Suppose that  $x \in Y_k$  and (may) assume that  $x \in X_1, \ldots, X_k$  and  $x \notin X_i$  for all  $k < i \le n$ . If k < m, then  $x \notin Y_m$  and hence x does not contribute anything to  $\xi_r$  for  $r \ge m$ . If k = m, then  $x \in Y_m$  and in the sum on the LHS it contributes only to one term, namely, to  $\binom{m}{m}\xi_m$ , since  $\xi_m := \sum_{J \in \mathfrak{P}_m(\{1,\dots,n\})} |X_J|$  and only one of these intersections, namely,  $X_1 \cap \cdots \cap X_m$  contains x. In the remaining case k > m,  $x \notin Y_m$  and hence x contributes nothing. On the other hand its contribution to  $\xi_r$  is  $\binom{k}{r}$  (one in each  $J \in \mathfrak{P}_r(\{1, \ldots, k\})$ ). Therefore if we let j = r - m, then the problem redusces to prove the identity  $\sum_{j=0}^{k-m} (-1)^j {\binom{m+j}{m}} {\binom{k}{m+j}} = 0$  which is stated in Exercise T2.1-b)-2).) **2.2.** <sup>1</sup>) Let  $\Omega$  be a finite set and let  $f : \Omega \times \mathfrak{P}(\Omega) \to \mathbb{R}$  be the map defined by  $(x, A) \mapsto$  $\int 0$ , if  $x \notin A$ , Show that:  $\begin{bmatrix} 1, & \text{if } x \in A \end{bmatrix}$ . **a).** For each  $A \in \mathfrak{P}(\Omega)$ , the map f(-, A) is the indicator function  $e_A$  of A. In particular, for any two subsets  $A, B \in \mathfrak{P}(\Omega)$ , we have: (1)  $f(x, \Omega \setminus A) = 1 - f(x, A)$ ; (2)  $f(x, A \cap B) =$  $f(x, A) \cdot f(x, B)$ ; (3)  $f(x, A \cup B) = f(x, A) + f(x, B) - f(x, A \cap B)$ ; (4)  $|A| = \sum_{x \in \Omega} f(x, A)$ . (**Hint :** See the Exercise T1.2.) **b).** Let  $I := \{1, 2, ..., n\}$  and let  $X_1, ..., X_n \in \mathfrak{P}(\Omega)$  and for each  $J \in \mathfrak{P}(I)$ , let  $X_J := \bigcap_{j \in J} X_j$ (and  $X_{\emptyset} := \Omega$ ). Then  $\sum_{J \in \mathfrak{P}_j(I)} |X_J| = \sum_{x \in \Omega} \left(\sum_{J \in \mathfrak{P}_j(I)} f(x, X_J)\right)$ . (Hint: use the part a).) **c).** If an element  $x \in \Omega$  belongs to exactly k of the subsets  $X_1, \ldots, X_n$ , then  $\sum_{I \in \mathfrak{N}_r(I)} f(x, X_J) = \binom{k}{r}$ .

(Here we use the understanding that  $\binom{0}{0} = 1$ . — **Hint**: We may assume that  $x \in X_1 \cap \cdots \cap X_k$  and  $x \notin X_i$  for all  $k < i \le n$ . For every  $J \in \mathfrak{P}_r(\{1, \ldots, n\})$ ,  $f(x, X_J) = \prod_{j \in J} f(x, X_j) = 1$  if and only if  $J \subseteq \{1, \ldots, k\}$ , i.e.,  $J \in \mathfrak{P}_r(\{1, \ldots, k\})$ . This proves that LHS is equal to the cardinality  $|\mathfrak{P}_r(\{1, \ldots, k\})| = \binom{k}{r}$ .

**d).** For every 
$$x \in \Omega$$
,  $f(x, \bigcap_{i=1}^{n} (\Omega \setminus X_i)) = \sum_{j=0}^{n} (-1)^j \left(\sum_{J \in \mathfrak{P}_j(I)} f(x, X_J)\right)$ . (Hint: For  $i \in I :=$ 

 $\{1, \ldots, n\}$ , put  $X'_i := \Omega \setminus X_i$ . Then by a)-1),2) LHS =  $\prod_{i=1}^n f(x, X'_i) = \prod_{i=1}^n (1 - f(x, X_i)) = 1 + \sum_{j=1}^n (-1)^j \sum_{J \in \mathfrak{P}_j(I)} (\prod_{k \in J} f(x, X_k)) = 1 + \sum_{j=1}^n (-1)^j \sum_{J \in \mathfrak{P}_j(I)} f(x, X_J))$ . —**Remark:** Suming over the two sides of this formula as x varies over  $\Omega$  and using the parts a) and b), we get the proof of the formula give in the Exercise 2.1-b).)

**2.3.** For  $k \in \mathbb{N}^+$ , a k-ary sequence is a sequence with values in a finite set with k elements (generally in the set  $\{0, 1, \dots, k-1\}$ ), i.e. a k-ary sequence is an element in the set  $\{0, 1, \dots, k-1\}^{\mathbb{N}}$ . For k = 2, 3, 4, 5 these sequences are also called binary, ternary, quaternary, quintnary sequences. (See also Exercise T2.1-c).)

<sup>&</sup>lt;sup>1</sup>) The purpose of this Exercise is to give an alternative proof of the Exersicse 2.1-b).

**a).** The number of binary sequences of length *n* in which the digit 1 occurs even number of times is  $2^{n-1}$ . This is also the number of binary sequences of length *n* in which the digit 1 occurs odd number of times. (**Hint:** Let  $X := \{0, 1\}^{\{1, \dots, n\}}$  be the set of all binary sequences of length *n* and let  $X_{\text{even}}(1)$  (respectively,  $X_{\text{odd}}(1)$  be the set of all binary sequences of length *n* in which the digit 1 occurs even (respectively, odd) number of times. Then clearly  $X = X_{\text{even}}(1) \uplus X_{\text{odd}}(1)$ . First assume that *n* is odd. Then the map  $f: X \to X$  defined by  $f((a_1, \dots, a_n) = (a'_1, \dots, a'_n)$ , where  $a'_i = 0$  or 1 according as  $a_i = 1$  or 0 for all  $i = 1, \dots, n$ , is a bijection. Moreover, if *n* is odd, then  $f(X_{\text{even}}(1)) = X_{\text{odd}}(1)$  and  $f(X_{\text{odd}}(1)) = X_{\text{even}}(1)$ . Therefore  $|X_{\text{even}}(1)| = |X_{\text{odd}}(1)|$  and  $2^n = |X| = |X_{\text{even}}(1)| + |X_{\text{odd}}(1)| = 2 \cdot |X_{\text{even}}(1)| = 2 \cdot |X_{\text{odd}}(1)|$ . Now, if *n* is even, then one can reduce the computation to the case when *n* is odd: Let  $A := \{(a_1, \dots, a_n, a_{n+1}) \in X \mid a_{n+1} = 1$  and  $B := \{(a_1, \dots, a_n, a_{n+1}) \in X \mid a_{n+1} = 0$ . Then  $|A| = |B| = 2^{n-1}$  and hence  $X = A \uplus B$ . Further,  $X_{\text{even}}(1) = (A \cap X_{\text{even}}(1)) \uplus (B \cap X_{\text{even}}(1))$  and hence  $|X_{\text{even}}(1)| = |(A \cap X_{\text{even}}(1))| + |(B \cap X_{\text{even}}(1))| = 2^{n-2} + 2^{n-2} = 2^{n-1}$ , since n-1 is odd. Finally,  $|X_{\text{odd}}(1)| = |X| - |X_{\text{even}}(1)|| = 2^n - 2^{n-1} = 2^{n-1}$ .

**b).** The number of *k*-ary sequences of length *n* in which the digit 1 occurs even number of times is  $\frac{k^n + (k-2)^n}{2}$ . (Hint: Let  $Y := \{2, 3, ..., k-1\}^{\{1,...,n\}}$  denote the set of all those *k*-ary sequences of length *n* which do not contain 0 or 1 and let  $Z : X \setminus Y$ . Classify the sequences in *Z* by their pattern, i.e., consider the equivalence classes  $\sim$  (see Exercise T2.3-g))  $Z_1, ..., Z_s$  with respect to the equivalence relation on *Z*. Then  $|Z| = |Z_1| + \cdots + |Z_s|$ . Note that by definition  $Z_i$  is the set of all *k*-ary sequences of length *n* which have the same pattern of the symbols 2, 3, ..., k-1 and hence  $|Z_i| = 2^{n-r}$ , where *r* is the number of places filled by the symbols 2, 3, ..., k-1. Now by part a) half of these sequences have even number of 1's and this is true for all i = 1, ..., s. This proves that  $|Z_{\text{even}}(1)| = \sum_{i=1}^s \frac{1}{2}|Z_i| = \frac{1}{2}(k^n - (k-2)^n)$ . Therefore, since  $X_{\text{even}}(1) = Y \uplus Z_{\text{even}}(1)$ , we get  $|X_{\text{even}}| = |Y| + |Z_{\text{even}}(1)| = (k-2)^n + \frac{1}{2}(k^n - (k-2)^n)$ .

**c).** For positive natural numbers  $n, k \in \mathbb{N}^+$ ,  $k \ge 2$ , prove the formula:

$$\sum_{r \in \mathbb{N}} \binom{n}{2r} (k-1)^{n-2r} = \frac{k^n + (k-2)^n}{2}.$$
 (Hint: Follows from the part b), since the

sum on the left is the number of k-ary sequences of length n in which the digit 1 occurs even number of times.) **d).** The number of k-ary sequences of length n in which both 0 and 1 occur even number of times is  $\frac{k^{n} + 2(k-2)^{n} + (k-4)^{n}}{4}, \quad k \ge 2.$  (**Hint:** Let 1 occur 2r times in a k-ary sequences of length n. Then the remaining (k-1)-ary sequence is of length n-2r. If 0 occur in an even number of times, then by part b), there are  $\frac{(k-1)^{n-2r}+(k-3)^{n-2r}}{2}$  such sequences. Now the assertion follows by applying the part c) twice (once for k and then for k-2) and adding.)

**e).** Find the number of *k*-ary sequences of length *n* in which the digit 1 occurs even number of times and the digit 0 occurs odd number of times. (**Hint**: The answer is  $\frac{k^n - (k-4)^n}{4}$  — From the *k*-ary sequences of length *n* in which the digit 1 occur even number of times, remove the *k*-ary sequences of length *n* in which the digit 0 occur even number of times, i.e., compute  $\sum_{r \in \mathbb{N}} {n \choose 2r} [(k-1)^{n-2r} - \frac{(k-1)^{n-2r} + (k-3)^{n-2r}}{2}]$ .)

**2.4.** Prove the following (marriage<sup>2</sup>)) theorem : Let  $Y_x$ ,  $x \in X$ , be a finite family of sets. For every subset N of X assume that the set  $Y_N := \bigcup_{x \in N} Y_x$  has at least |N| elements, i. e.,  $|Y_N| \ge |N|$  for every  $N \in \mathfrak{P}(X)$ . Then there exists an injective map  $f : X \to Y_X$  with  $f(x) \in Y_x$  for every  $x \in X$ . (**Proof**: Proof by induction on n = |X|. The case of n = 1 and a single pair liking each other requires a mere

technicality to arrange a match. For the inductive step consider two cases : Case 1:  $|Y_N| > |N|$  for every subset

<sup>&</sup>lt;sup>2</sup>) This theorem is popularly known as the  $(m \operatorname{arriage-theorem})$  and it provides the solution for the m arriage problem which requires to match n girls with the set of n boys. Each girl (after a long and no doubt exhausting deliberation) submits a list of boys she likes. We also make an assumption that being of noble character no boy will break a heart of a girl who likes him by turning her down. Sometimes all the girls can be given away, sometimes no complete match is possible. Therefore for a complete match a (marriage) condition is necessary; the marriage condition can be formulated in several equivalent ways, for example, For each  $r = 1, \ldots, n$  every set of r girls likes at least r boys. (or equivalently, For each  $r = 1, \ldots, n$  every set of r boys likes at least r girls.) The marriage condition and the marriage theorem are due to the English mathematician PHILIP HALL (1935). Therefore Marriage theorem is precisely: Hall's marriage condition is both sufficient and necessary for a complete match. The necessecity is obvious. The sufficient part is shown by induction on n = |X|.

 $N \subseteq X$ ,  $N \neq \emptyset$ ,  $N \neq X$ . In this case for  $x \in X$ , choose  $y \in Y_x$  and consider  $X' := X \setminus \{x\}$  and  $Y'_{x'} := Y_x \setminus \{y\}$ ,  $x' \in X$ . Then clearly the marriage condition still holds and hence by the inductive hypothesis, there is an injective map  $f' : X' \to Y'_{X'}$  with  $f'(x') \in Y'_{x'}$ . Now, define  $f : X \to Y_X$  by f(x) = y and f(x') = f'(x').

Case 2: There exists a subset  $\emptyset \neq N \subset X$ , with  $|Y_N| = |N|$ . In this case, by the inductive hypothesis, there exists an injective (in fact bijective) map  $g: N \to Y_N$ . The trick is to show that  $X'' := X \setminus N$  and  $Y''_{x''} := Y_{x''} \setminus Y_N$ ,  $x'' \in X''$  satisfy the marriage condition, then by the inductive hypothesis, there is an injective map  $X'' \to Y''_{X''}$ with  $f''(x'') \in Y''_{x''}$ . Now, define  $f: X \to Y_X$  by f(x) = g(x) for  $x \in N$  and f(x'') = f''(x'') for  $x'' \in X''$ . •)

**a).** Let  $\mathfrak{p} = (X_1, \ldots, X_r)$  and let  $\mathfrak{q} = (Y_1, \ldots, Y_r)$  be partitions of the set X into r pairwise disjoint subsets each of them with  $n \ge 1$  elements. Show that  $\mathfrak{p}$  and  $\mathfrak{q}$  has a common representative system, i.e. there exist r distinct elements  $x_1, \ldots, x_r$  in X such that each  $x_i$  belongs to exactly one of the subset  $X_1, \ldots, X_r$  and exactly one of the subset  $Y_1, \ldots, Y_r$ . (Hint: Using the above Marriage-theorem find a permutation  $\sigma \in \mathfrak{S}_r$  such that  $X_i \cap Y_{\sigma(i)} \ne \emptyset$  for every  $1 \le i \le r$ . — **Remark**: The assumption that  $|X_i| = |Y_i| = n$  for all  $i = 1, \ldots, r$  can be replaced by some what weaker condition: for every subset  $J \subseteq \{1, \ldots, r\}$ , the subset  $X_J := \bigcup_{j \in J} X_j$  contains at most |J| components  $Y_1, \ldots, Y_r$  of  $\mathfrak{q}$ .)

**b).** Let  $\mathfrak{A}$  be the  $n \times r$  integral matrix

$$\mathfrak{A} = \begin{pmatrix} 1 & 2 & \cdots & r \\ r+1 & r+2 & \cdots & 2r \\ \vdots & \vdots & \ddots & \vdots \\ (n-1)r+1 & (n-1)r+2 & \cdots & nr \end{pmatrix}$$

and let  $\mathfrak{B}$  be another  $n \times r$  integeral with entries  $1, 2, \ldots, nr$  (at arbitrary positions). Show that there exists a permutation  $\sigma \in \mathfrak{S}_r$  such that for every  $i = 1, \ldots, r$ , the *i*-th column of  $\mathfrak{A}$  and the  $\sigma(i)$ -th column of  $\mathfrak{B}$  contain at least one element in common. (Hint: Use the part a).)

**c).** Let *G* be a finite group and let *H* be a subgroup of *G*. Let  $G = Hy_1 \cup \cdots \cup Hy_r$  (respectively,  $G = z_1 H \cup \cdots \cup z_r H$ ) be a right-coset (respectively, left-coset) decomposition for *G*. Show that there exist elements  $x_1, \ldots, x_r \in G$  such that  $G = Hx_1 \cup \cdots \cup Hx_r = x_1 H \cup \cdots \cup x_r H$ . (Hint: Use the part a).)

**d).** Let *X* be a finite set with *n* elements. For  $i \in \mathbb{N}$ , let  $\mathfrak{P}_i(X)$  be the set of all subsets *Y* of *X* with |Y| = i. Show that: If  $i \in \mathbb{N}$  with  $0 \le i < n/2$  (resp. with  $n/2 < i \le n$ ), then there exists an injective map  $f_i : \mathfrak{P}_i(X) \to \mathfrak{P}_{i+1}(X)$  such that  $Y \subseteq f_i(Y)$  for all  $Y \in \mathfrak{P}_i(X)$  (resp. an injective map  $g_i : \mathfrak{P}_i(X) \to \mathfrak{P}_{i-1}(X)$  such that  $g_i(Y) \subseteq Y$  for all  $Y \in \mathfrak{P}_i(X)$ ). (Hint: Let  $0 \le i < n/2$ . A pair  $(Y, Y') \in \mathfrak{P}_i(X) \times \mathfrak{P}_{i+1}(X)$  is called *amicable* if  $Y \subseteq Y'$ . Let  $\mathfrak{R}$  be a subset of  $\mathfrak{P}_i(X)$  with  $|\mathfrak{R}| =: r$ . Further, let  $\mathfrak{R}'$  be the set of all those  $Y' \in \mathfrak{P}_{i+1}(X)$  which are amicable to at least one  $Y \in \mathfrak{R}$ . Put  $s := |\mathfrak{R}'|$ . Then  $r(n-i) \le s(i+1)$  and hence  $r \le s$ . Now use the marriage-theorem.)

**2.5.** Let *X* be a finite set with *n* elements.

**a).** Let  $(m_1, \ldots, m_r) \in \mathbb{N}^r$  be such that  $m_1 + \cdots + m_r = n$ . Show that the number of partitions  $\mathfrak{p} = (X_1, \ldots, X_r)$  of X with  $|X_i| = m_i$ , for all  $i = 1, \ldots, r$ , is the polynomial coefficient

$$\binom{n}{m} := \frac{n!}{m!} = \frac{n!}{m_1! \cdots m_r!} \,.$$

(**Hint**: Fix a partition  $q = (Y_1, \ldots, Y_r)$  of X with  $|Y_i| = m_i$ ,  $i = 1, \ldots, r$  and define a map  $\mathfrak{S}(X) \longrightarrow \mathfrak{Z} := \{\mathfrak{p} = (X_1, \ldots, X_r) \in \mathfrak{Par}_r(X) \mid |X_i| = m_i, i = 1, \ldots, r\}$ , be the map defind by  $f \mapsto \mathfrak{p}(f) := (f(X_1), \ldots, f(X_r))$ . Show that all the fibres of this map have the same cardinality  $= m! = m_1! \cdots m_r!$ . Now use the Shepherd-rule.

**b).** (Stirling numbers of second kind<sup>3</sup>)) For  $n, r \in \mathbb{N}$  with  $0 \le r \le n$ , put  $S(n, r) := |\mathfrak{Par}_r(X)|$ , where  $\mathfrak{Par}_r(X)$  is the set of all partitions  $\mathfrak{p} = (X_1, \ldots, X_r)$  of X into r subsets. For all other pairs  $(n, r) \in \mathbb{Z}^2$ , we put S(n, r) = 0. Show that

(1) For  $n \ge 1$ ,  $S(n, 2) = 2^{n-1} - 1$ .

<sup>&</sup>lt;sup>3</sup>) JAMES STIRLING (1692-1770) was a Scottish mathematician whose most important work Methodus Differentialis in 1730 is a treatise on infinite series, summation, interpolation and quadrature.

k=1

(2) 
$$S(n,r) = \frac{1}{r!} |Maps_{surj}(X, \{1, ..., r\})| = \frac{1}{r!} \sum_{k=0}^{r} (-1)^k {r \choose k} (r-k)^n = \sum_{k=0}^{r} \frac{(-1)^k (r-k)^{n-1}}{k! \cdot (r-k-1)!}$$
  
In particular,  $r! = \sum_{i=0}^{r} (-1)^k {r \choose k} (r-k)^r$ .  
(3)  $\sum_{i=1}^{n} k! \cdot {r \choose k} \cdot S(n,k) = r^n$ .

(**Hint**: To prove (1) show that each fibre of the map  $\mathfrak{P}(X) \setminus \{\emptyset, X\} \to \mathfrak{Par}_2(X)$  defined by  $Y \mapsto (Y, X \setminus Y)$  has cardinality 2 and hence  $2^n - 2 = |\mathfrak{P}(X) \setminus \{\emptyset, X\}| = 2 \cdot |\mathfrak{Par}_2(X)|$  by Shepherd-rule. To prove (2) show that each fibre of the map  $\operatorname{Maps}_{\operatorname{surj}}(X, \{1, \ldots, r\}) \to \operatorname{\mathfrak{Par}}_r(X)$  defined by  $f \mapsto (f^{-1}(1), \ldots, f^{-1}(r))$  has cardinality r! and hence by the Shepherd-rule and Exercise 1.2-b), we have  $r! \cdot |\operatorname{\mathfrak{Par}}_r(X)| = |\operatorname{Maps}_{\operatorname{surj}}(X, \{1, \ldots, r\})|$ . The last part follows from the equality  $\pi(r, r) = 1$ . For the proof of (3), compute the cardinality of each

fibre of the map Maps $(X, \{1, ..., r\}) \rightarrow \bigoplus_{k=1}^{n} \mathfrak{P}_k(\{1, ..., r\}) \times \mathfrak{Par}_k(X))$  defined by  $f \mapsto (f(X), \mathfrak{p}(f))$ , where

 $\mathfrak{p}(f) := (f^{-1}(i))_{i \in f(X)}$  and then use (2). — **Remarks :** The Stirling numbers appear in many other problems. Clearly S(n, r) = 0 for r > n, S(n, n) = 1, S(n, 1) = 1;  $S(n, n-1) = \binom{n}{2}$ ; a less trivial result is the formula for S(n, 2) given in the part (1). For r > 2, there is no easy formula for S(n, r). For small values of n and r one can find S(n, r) by actually considering all partitions of a set with n elements. For higher values this becomes impracticable and also unreliable. The important recurrence relation given below in c) which allows us to compute a Stirling numbers by first computing the lower Stirling numbers.

Consider the polynomial 
$$F(T) := T^n - \sum_{k=0}^n k! \cdot S(n,k) \cdot {T \choose k}$$
, where  ${T \choose k} := \frac{T(T-1) \cdots (T-k+1)}{k!}$  are the bin o micel no lynomials of degree k. Then since  $F(T)$  is a nelynomial of degree  $< n$  with integer coefficients

binomial polynomials of degree k. Then, since F(T) is a polynomial of degree  $\leq n$  with integer coefficients and by (3), the integers  $0, 1, \ldots, n$  are n + 1 distinct zeroes of F, we conclude that F = 0 and therefore the

Stirling numbers of second kind are also defined by the polynomial equation  $T^n = \sum_{k=0}^n k! \cdot S(n,k) \cdot {T \choose k}$ . If

one takes this as the definition of the Stirlings numbers S(n, r) of second kind, then (1) and (3) are immediate by putting T = 2 and T = r respectively.

This also leads to the definition of the Stirling numbers of first kind: For  $r, n \in \mathbb{N}$  with  $0 \le r \le n$ , let  $s(n,r) \in \mathbb{Z}$  be defined by the polynomial equation:  $\binom{T}{n} = \frac{1}{n!} \cdot \sum_{r=0}^{n} (-1)^{n-r} \cdot s(n,r) \cdot T^{r}$ . (Put s(n,r) = 0

otherwise. For the existence of the numbers s(n, r) use the fact that  $1, T, \ldots, T^n$  and  $\binom{T}{0}, \binom{T}{1}, \ldots, \binom{T}{n}$  are two bases of the Q-vector space  $\mathbb{Q}[T]_n$  of polynomials with rational coefficients of degree  $\leq n$ .))

c). The Stirling numbers of second kind satisfy the recursion relations :

$$S(0, r) = \delta_{0r}$$
, and  $S(n + 1, r) = rS(n, r) + S(n, r - 1)$ ,

( **Hint**: From  $\binom{T}{k+1} = T \cdot \binom{T}{k} - k \cdot \binom{T}{k}$ , we get where  $\delta_{ij}$  denote the *Kronecker's delta*.  $T^{n+1} = \sum_{k=0}^{n} k! \cdot \mathbf{S}(n,k) \cdot T \cdot {T \choose k} = \sum_{k=0}^{n+1} k! \cdot [k \cdot \mathbf{S}(n,k) + \mathbf{S}(n,k-1)] \cdot {T \choose k}.$  **Remark :** The Stirling numbers of first kind satisfy the recursion relations :  $\mathbf{s}(0,r) = \delta_{0r}$ , and  $\mathbf{s}(n+1,r) = n \cdot \mathbf{s}(n,r) + \mathbf{s}(n,r-1)$ .)

**d).** Prove that  $\beta_n = \sum_{r=0}^{\infty} S(n, r)$  for every  $n \in \mathbb{N}$ . (Hint: See Exercise T2.4-k). Use Exercise T2.5-b).)

**e).** Prove that  $S(n + 1, r) = \sum_{k=1}^{n} {n \choose k} S(k, r - 1) = \sum_{k=0}^{n} r^{n-k} S(k, r - 1)$ . (**Hint:** The second equality is proved by induction and using recursion relations (see part c)):  $S(n + 1, r) = rS(n, r) + S(n, r - 1) = \sum_{k=r-1}^{n-1} r \cdot r^{n-1-k}S(k, r-1) + S(n, r-1) = \sum_{k=r-1}^{n} r^nS(k, r-1) = \sum_{k=0}^{n} r^nS(k, r-1).$ 

For the first equality consider the map  $\bigcup_{k=0}^{k} ( \bigcup_{I \in \mathfrak{P}_{k}(X)} \{I\} \times \mathfrak{Par}_{r-1}(I)) \longrightarrow \mathfrak{Par}_{k}(X \sqcup \{y\})$  defined by  $(I, (I_1, \ldots, I_{r-1})) \mapsto ((X \setminus I) \uplus \{y\}, I_1, \ldots, I_{r-1}).)$ 

On the other side one can see (simple) test-exercises.

## Test-Exercises — Relations, Equivalence relations, Partitions

**T2.1.** a). Let X, Y be finite sets and  $Z := X \times Y$ . For  $x \in X$ , let  $P_x := \{y \in Y \mid (x, y) \in Z\}$  and for  $y \in Y$ , let  $Q_y := \{x \in X \mid (x, y) \in Z\}$ . Then show that  $\sum_{x \in X} |P_x| = \sum_{y \in Y} |Q_y|$ .

**b).** Let  $r, k, n, m \in \mathbb{N}$ . **1).** If  $r \le k \le n$ , then  $\binom{n}{k}\binom{k}{r} = \binom{n}{r}\binom{n-r}{k-r}$ . (Hint: Just compute both sides!. Variant: Suppose from n objects we choose k and put a white tag on the selected objects. Then out of these k objects we select r objects and put a black tag on those selected. This is equivalent to selecting r objects (and putting white and a black tag on each) and then selecting k - r objects from the remaining n - r putting a white tag on the the selected objects.) **2).** If  $m \le k$ , then  $\sum_{j=0}^{k-m} (-1)^j \binom{m+j}{m} \binom{k}{m+j} = 0$ . (Hint:  $\sum_{j=0}^{k-m} (-1)^j \binom{m+j}{m} \binom{k}{m+j} = \sum_{j=0}^{k-m} (-1)^j \binom{k}{m} \binom{k-m}{j} = \binom{k}{m} \sum_{j=0}^{k-m} (-1)^j \binom{k-m}{j} = 0$  by Exercise T1.4-d).)

c). Let  $k \in \mathbb{N}^+$  be a positive natural number. 1). Find how many *palindromes*<sup>4</sup>) of length *n* can be formed with an alphabet of *k* letters. (Ans:  $k^m$  if n = 2m and  $k^{m+1}$  if n = 2m + 1.) 2). How many *k*-ary sequences of length *n* are there? (Ans:  $k^n = |\{0, 1, ..., k - 1\}^{\{1,...,n\}}|$ .) 3). How many *k*-ary sequences of length *n* are there in which no two consecutive entires are the same? (Ans:  $k(k-1)^{n-1}$ .) 4). How many ternary sequences of length *n* are there which either start with 012 or end with 012? (Ans: 0 if  $n \le 2$ ;  $2 \cdot 3^{m-3}$ , if  $3 \le n \le 5$ ; and  $2 \cdot 3^{n-3} - 3^{n-6}$ , if  $n \ge 6$ .)

**T2.2.** (Relations) Let X and Y be sets. A (binary) relation <sup>5</sup>) R from X and Y is a subset  $R \subseteq X \times Y$ , i.e. an element  $R \in \mathfrak{P}(X \times Y)$ . For the expression " $(x, y) \in R$ " we shall write "xRy" and say that "x is related to y with respect to R",  $x \in X$ ,  $y \in Y$ . The set of relations  $\mathfrak{P}(X \times Y)$  from X to Y is also denoted by Rel (X, Y) and its elements are also denoted by the symbols  $\sim, \cong \equiv, \leq, \leq \cdots$ . In the case Y = X, we put Rel  $(X) = \operatorname{Rel}(X, X) = \mathfrak{P}(X, X)$  and its elements are called relation on X.

a). The map  $\Gamma$  : Maps $(X, Y) \to \mathfrak{P}(X \times Y)$  defined by  $f \mapsto \Gamma_f := \{(x, f(x)) \mid x \in X\}$  the graph of f is injective. (Remark: Therefore (if we identify maps with its graphs) every map from X to Y is a relation from X to Y. Further, since the map  $\Gamma$  is not surjective if  $X \neq \emptyset$  and  $(|X|, |Y|) \neq (1, 1)$ , in this case there are relations from X to Y which are not maps from X to Y. For example, each of the relations  $\{(x, y), (x, y') \mid x \in X; y, y' \in Y, y \neq y'\}$  and (if |X| > 1)  $\{(x, y) \mid x \in X, y \in Y\}$  from X to Y is not a map from X to Y. The graph of the identity map  $\mathrm{id}_X : X \to X$  is the diagonal  $\Delta_X := \{(x, x) \mid x \in X\}$  and hence the diagonal relation  $\Delta_X$  from X to X is also called the identity relation on X. The relation  $R = \emptyset$  and  $R = X \times Y$  are called the empty-relation and the all-relation from X to Y, respectively. Furthermore, we can also define intersection and union of arbitrary family of relations.)

**b).** The map  $\mathfrak{P}(X \times Y) \to \mathfrak{P}(Y)^X$  defined by  $R \mapsto (x \mapsto \{y \in Y \mid xRy\})$  is bijective. What is the inverse of this map? (**Remark**: With this bijection, one can identify every relation  $R \subseteq X \times Y$  between X and Y as a map from X into  $\mathfrak{P}(Y)$ .)

c). (Inverse relation) If R is a relation from X to Y, then  $R^{-1} := \{(y, x) \in Y \times X \mid (x, y) \in R\}$  is a relation from Y to X and is called the inverse of the relation R. (**Remarks**: For example,  $(\Delta_X)^{-1} = \Delta_X$  and  $(X \times Y)^{-1} = Y \times X$ . Even if a relation R from X to Y is a map, i.e.,  $R = \Gamma_f$  for some  $f \in \text{Maps}(X, Y)$ , the inverse relation  $R^{-1}$  need not be a map from Y to X. For example, the inverse relation  $R_c^{-1} = \{(c, x) \mid x \in X\}$  of the constant relation  $R_c := \{(x, c) \mid x \in X\}, c \in Y \text{ is not a map from Y to X if either } |X| > 1 \text{ or } |Y| > 1$ . Further, see the part d) below.)

d). (Composition of relations) Let R be a relation from X to Y and let S be a relation from Y to Z. We may define the composition of these relations by

 $S \circ R = \{(x, z) \in X \times Z \mid \text{there exists } y \in Y \text{ such that } (x, y) \in R \text{ and } (y, z) \in S \}.$ 

which is a relation from X to Z. Show that

(1) If  $R = \Gamma_f$  and  $S = \Gamma_g$ , then  $S \circ R = \Gamma_{g \circ f}$ . (**Remarks**: This mean that we have extended the definition of the composition from the set of maps to the set of relations. If R is a relation from X to Y with  $R^{-1} \circ R \subseteq \Delta_X$  and if for every  $x \in X$ , there exists  $y \in Y$  with  $(x, y) \in R$ , then R is a map from X to Y.)

(2) (Associativity of composition) If furthermore T is a relation from Z to W, then

$$T \circ (S \circ R) = (T \circ S) \circ R$$

(3)  $(S \circ R)^{-1} = R^{-1} \circ S^{-1}$ .

 $<sup>\</sup>overline{(4)}$  A palindrome is a word which reads the same backward or forward, e.g., "MADAM", "ANNA".

<sup>&</sup>lt;sup>5</sup>) More generally, for every positive integer n, one can define n-ary relation as a subset of  $X^n := X \times \cdots \times X$  (*n*-times). We shall rarely consider n-ary relation for  $n \neq 2$  and so by relation from now on we shall mean a binary relation unless otherwise specified.

e). For any set X,  $(\operatorname{Rel}(X), \circ)$  is a monoid with neutral element  $\Delta_X$ . Moreover,  $(X^X, \circ)$  and  $(\mathfrak{S}(X), \circ)$  are submonoids of  $(\operatorname{Rel}(X), \circ)$ . What is the unit group  $(\operatorname{Rel}(X), \circ)^{\times}$ ? (Hint: Show that a relation R on X is a bijection if and only if  $R \circ R^{-1} = R^{-1} \circ R = \Delta_X$ .)

f). (Product relations) Let R be a relation from X to Y and let R' be a relation from X' to Y. We may define the product of these relations by

$$R \times R' := \{ ((x, x'), (y, y')) \in X \times X') \times (Y \times Y') \mid (x, y) \in R \text{ and } (x', y') \in R' \}.$$

which is a relation from  $X \times X'$  to  $Y \times Y'$ .

**T2.3.** Let X be a set. A relation  $R \in \mathfrak{P}(X \times X)$  on X is called (1) reflexive if xRx for all  $x \in X$ ; (2) symmetric if for  $x, y \in X$ , xRy implies yRx; (3) transitive if for  $x, y, z \in X$ , xRy and yRz implies xRz; (4) anti-symmetric if for  $x, y \in X$ , xRy and yRx implies x = y.

a). (Equivalence relations) A relation R on X is called an equivalence relation if it is reflexive, symmetric and transitive. The identity relation  $\delta_X$  and the all-relation  $X \times X$  on X are clearly equivalence relations on X.

Let R be an equivalence relation on X. Then for  $x \in X$ , the subset  $[x]_R = [x] = \{a \in X \mid (a, x) \in R\}$  is called the equivalence class of x under R (sometimes equivalence classes are also denoted by  $\overline{a}$ ).

(1) For every  $x \in X$ ,  $x \in [x]$ . In particular,  $[x] \neq \emptyset$  for every  $x \in X$  and  $X = \bigcup_{x \in X} [x]$ .

(2) For all  $x, y \in X$ , the following statements are equivalent: (i) [x] = [y]. (ii)  $[x] \cap [y] \neq \emptyset$ . (iii)  $(x, y) \in R$ . (3) (Quotient set of an equivalence relation) The set of equivalence classes in X under the relation R is denoted by X/R (read: "X modulo R") and is called the quotient set of X with respect to R. The canonical map  $\pi : X \to X/R$ ,  $x \mapsto [x]_R$  is clearly surjective and is called c an onical projection of X onto X/R. The fibres of the canonical projection are precisely the equivalence classes (in X) under R. An element  $x \in X$  is called a representative of the equivalence class  $[x]_R$ ; any other element  $y \in$  is a representative of  $[x]_R$  if and only if  $y \in [x]_R$  or equivalently  $(x, y) \in R$ . A (full) representative system for the quotient set X/R is a family  $x_i$ ,  $i \in I$  of elements in X such that the map  $I \to X/R$  defined by  $i \mapsto [x_i]$  is bijective, i.e., every equivalence class in X is represented by a unique element  $x_i$ ,  $i \in I$ . In particular, a subset  $X \subseteq X$  is a representative system for X/R if and only if the restriction  $\pi |X': X' \to X/R$  of the canonical projective.

**b).** The restriction of the map  $\alpha : \mathfrak{P}(X \times X) \to \mathfrak{P}(\mathfrak{P}(X))$ ,  $R \mapsto \{\{y \in X \mid xRy\} \mid x \in X\}$  is injective on the subset  $\mathfrak{Eq}(X) \subseteq \mathfrak{P}(X \times X)$  of all equivalence relations on X.

c). On the set  $\mathbb{N}^*$  of poistive natural numbers, let | be the divisibility relation, i.e.,  $x \mid y$  if and only if x is a divisor of y. What is the inverse relation  $\mid^{-1}$  on  $\mathbb{N}$ ? Show that | is an order on  $\mathbb{N}^*$  and 1 is the smallest element. The minimal elements (with respect to |) in  $\mathbb{N}^* - \{1\}$  are precisely the prime numbers.

**d).** Let  $f: X \to Y$  be a map. The relation  $\sim$  defined by  $x \sim y$  if and only if f(x) = f(y), is an equivalence relation on X. The equivalence classes with respect to  $\sim$  are precisely the *non-empty* fibres of f.

e). Give examples of relations which satisfy the two of the three properties of the equivalence relations, but not the third one. How many relations are there on the set with n elements?

f). (Congruence relations) Let  $n \in \mathbb{N}^+$  be a positive natural number Two integers a and b are called congruent modulo n, if their difference is divisible by n. In this we write  $a \equiv b \mod n$  or  $a \equiv b(n)$ . This relation on the set of integers  $\mathbb{Z}$  is an equivalence relation. Two integers are congruent modulo n if and only if their remainders (between 0 and n - 1) after the division by n are equal. Therefore the numbers  $0, \ldots, n-1$  form a full representative system for the quotient set  $\mathbb{Z}/\equiv$ ; there are exactly n equivalence classes these are called the residue classes modulo n. The set of these residue classes is usually denoted by  $\mathbb{Z}/\mathbb{Z}n$ . In the case n = 2, the residue class  $\overline{0} = [0]$  is the set of all even integers and the residue class  $\overline{1} = [1]$  is the set of all odd integers. <sup>6</sup>) More generally, For a real number  $T \neq 0$ , the relation on R defined by  $a \equiv b \mod T$  or  $a \equiv b(T)$  if the difference b-a is an *integral* multiple of T, is an equivalence relation on  $\mathbb{R}$ . For  $a \in \mathbb{R}$ , the equivalence class  $\overline{a} = a + \mathbb{Z}T$  of a is precisely the set of elements a + kT,  $k \in \mathbb{Z}$ . The real numbers T and |T| define the same relation. The numbers in the interval  $[0, |T|[ := \{x \in \mathbb{R} \mid 0 \le x < |T|\}$  form a full representantive system for the quotient set  $\mathbb{R}/\mathbb{Z}T$ . The unique representative of the equivalence class  $\overline{a} = a + \mathbb{Z}T$  in [0, |T|[ is  $a - [a/|T|] \cdot |T|$ , where [-] denote the Gauss-bracket. If  $T = n \in \mathbb{N}^*$ , then  $\mathbb{Z}/\mathbb{Z}n \subseteq \mathbb{R}/\mathbb{Z}n$  is the set of those equivalence classes which have an integral representative.

**g).** On the set  $X := \{0, 1, \dots, k-1\}^{\{1,\dots,n\}}$  of all k-ary sequences of length n define a relation  $\sim$  by:  $(a_1, \dots, a_n) \sim (b_1, \dots, b_n)$  if  $a_i = b_i$  whenever  $x_i \neq 0$  or 1,  $i = 1, \dots, n$ . For example, if k = 4,

<sup>&</sup>lt;sup>6</sup>) The congruence relations were first time systematically studied by von C. F. GAUSS in the "*Disquisitiones arithmeticae*" (1801).

then  $012311220330 \sim 112301220331$ . Show that  $\sim$  is an equivalence relation on X. The equivalence class with respect to  $\sim$  is called the pattern of the symbols 2, 3, ..., k - 1. Two k-ary sequences represent the same pattern of the symbols 2, 3, ..., n if and only if all the symbols 2, 3, ..., k - 1 appear exactly at the same positions in them.

**h).** Let  $\leq$  be a reflexive and transitive relation on the set A. Then the relation  $\sim$  defined by  $a \sim b$  if and only if  $a \leq b$  and  $b \leq a$ , is an equivalence relation on A. On the set  $\overline{A}$  of the equivalence classes of A with respect to  $\sim$  the relation defind by  $[a] \leq [b]$  if and only if  $a \leq b$ , is a well-defined relation and is an order. (**Remark :** It is to be shown in particular that the  $\leq$ -relationship for two equivalence classes does not depend on the representatives used for the definition. The problem to verify such independence from the choice of the representatives is typical for computation of equivalence classes.)

**T2.4.** Let X be a set and let R be a relation on X. Then:

a). 1).  $(R^{-1})^{-1} = R$ . 2). *R* is reflexive if and only if  $R \supseteq \Delta_X$ . 3). *R* is reflexive (respectively symmetric, transitive, equivalence relation) if and only if  $R^{-1}$  is reflexive (respectively symmetric, transitive, equivalence relation). 4). *R* is symmetric if and only if  $R = R^{-1}$ . 5).  $R \cup R^{-1}$  is the smallest symmetric relation containing *R*. It is therefore called the symmetric closure of *R*. 6). If *R* is reflexive then *R* is an equivalence relation. 7). If *R* is reflexive and transitive then  $R \cap R^{-1}$  is an equivalence relation. 8). Intersections of equivalence relations is also an equivalence relation on *X*, but unions of equivalence relation on *X* (respectively *Y*) then the product relation  $R \times S$  is an equivalence relation on *X* what do the equivalence classes under the product relation  $R \times S$  looks like?

**b).** In each of the following cases : Is *R* reflexive ? symmetric ? transitive ? antisymmetric ? an equivalence relation ? **1).** Let *X* be a set of books and (i)  $R := \{(a, b) \in X \times X \mid a \text{ cost more and contains fewer pages than }b\}$ . (ii)  $R := \{(a, b) \in X \times X \mid a \text{ cost more or contains fewer pages than }b\}$ . **2).** Let  $X := \mathbb{Z}^+$  and (i)  $R := \{(a, b) \in X \times X \mid a - b \text{ is an odd integer}\}$  (ii)  $R := \{(a, b) \in X \times X \mid a - b \text{ is an odd integer}\}$  (ii)  $R := \{(a, b) \in X \times X \mid a = b^2\}$ . **3).** Let *X* be the set of all living people and (i)  $R := \{(a, b) \in X \times X \mid a \text{ is a brother of }b\}$ . (ii)  $R := \{(a, b) \in X \times X \mid a \text{ is a father of }b\}$ . **4).** On the power set  $\mathfrak{P}(X)$  of a set *X*, the relation  $R := \{(A, B) \mid A \neq \emptyset, B \neq \emptyset, A \cap B = \emptyset\}$ .

c). Suppose that X is a finite set with n elements. How many reflexive (respectively, , symmetric, reflexive and symmetric) relations on X are there? (Ans:  $2^{n(n-1)}$ ,  $2^{\binom{n+1}{2}}$  and  $2^{\binom{n}{2}}$ .)

**d).** Suppose that R is symmetric and transitive. Then: **1).** If for every  $x \in X$  there exists  $y \in X$  such that  $(x, y) \in R$ , then R is an equivalence relation on X. **2).** Let S be a relation on X such that  $(x, y) \in S$  if and only if (x, y) and  $(y, x) \in R$ . Show that S is an equivalence relation on X. **3).** Let S be a relation on X such that  $(x, y) \in S$  if and only if there exists  $z \in X$  with (x, z) and  $(z, x) \in R$ . Show that if R is an equivalence relation on X. Moreover,  $S \subseteq R$ . **4).** Prove that there exists a subset Y of X such that  $R \subseteq Y \times Y$  and R regarded as a relation on Y is an equivalence relation. **e).** Suppose that R is reflexive. Then R is an equivalence relation on X if and only if  $(x, y), (x, z) \in R$  implies

that  $(y, z) \in R$ .

f). In each of the following cases show that the relation  $\sim$  is an equivalence relation :

**1).** On  $X = \mathbb{Z} \times \mathbb{Z} \setminus \{0\}$ , let ~ be the relation on X defined by  $(a, b) \sim (c, d)$  if and only if ad = bc.

2). On  $X = \mathbb{R}$ , let ~ be the relation on X defined by  $x \sim y$  if and only if |x - y| is a rational number.

**g).** Let *R* and *R'* be relations on a set *X*. **1).** If *R* and *R'* are reflexive and symmetric, then show that the following statements are equivalent: (i)  $R \circ R'$  is symmetric. (ii)  $R \circ R' = R' \circ R$ . (iii)  $R \circ R' = R \cup R'$ . **2).** If *R* and *R'* are equivalence relations, then show that the following statements are equivalent: (i)  $R \circ R' = R' \circ R$ . (ii) is an equivalence relation. (ii)  $R \circ R' = R' \circ R$ . (iii)  $R \circ R' = R \cup R'$ .

**h).** (Transitive closure of a relation) For  $n \in \mathbb{N}$  we define the powers  $\mathbb{R}^n$  of  $\mathbb{R}$  recursively as:  $\mathbb{R}_0 := \Delta_X$  and  $\mathbb{R}^{n+1} := \mathbb{R} \circ \mathbb{R}^n$ . Then the relation  $\mathbb{R}^+ := \bigcup_{n=1}^{\infty} \mathbb{R}^n$  is called the transitive closure of  $\mathbb{R}$ , and the relation  $\mathbb{R}^* := \bigcup_{n=0}^{\infty} \mathbb{R}^n$  is called the reflexive-transitive closure of  $\mathbb{R}$ .

**1).** If  $x, y \in X$  then  $(x, y) \in R^*$  is either x = y or there exist  $x_1, x_2, \ldots, x_n \in X$  such that  $(x, x_1), (x_1, x_2), \ldots, (x_{n-1}, x_n)$  are all in R. (Hint: By induction. In fact  $n \le 2^i - 1$ ) **2).** If R is symmetric then so is  $R^*$ . **3).**  $R^+$  is the smallest transitive relation containing R. **4).**  $R^*$  is the smallest reflexive and transitive relation containing R. **5).** If R is symmetric then  $R^*$  is the smallest equivalence relation containing R. **6).** Let  $R_1$  be the symmetric closure of the reflexive-transitive closure of R and let  $R_2$  be the reflexive-transitive closure of the symmetric closure R. Then show that  $R_1 \subseteq R_2$  and give an example showing that the reverse inclusion does not hold in general. **7).** Let M be the set of all males and let F be a relation "being a father of ..." Then F is not transitive and the transitive closure of F describes the ancestor-descendant relationship

among the males. **8).** Is the transitive closure of an antisymmetric relation is always antisymmetric?

**9).** On

 $\mathbb{Z}$  let *R* be the relation defined by  $(x, y) \in R$  if y = x + n for some fixed  $n \in \mathbb{Z}$ . What is the equivalence relation on  $\mathbb{Z}$  generated by *R*?

i). (Relation Matrix) Let  $X := \{x_1, \ldots, x_m\}$ ,  $Y := \{y_1, \ldots, y_m\}$  be finite sets and let R be a relation from X to Y. Then R can be specified by a matrix whose rows are labled by the elements of X and whose columns are labeled by the elements of Y. In the *i*-th row and *j*-th column we write the entry 1 if  $(x_i, y_j) \in R$  and 0 if  $(x_i, y_j) \notin R$ . This matrix is called a relation matrix of R and is usually denoted by  $\mathfrak{A}(R)$ . For example, if  $X = \{a, b\}$ ,  $Y = \{c, d, e\}$  and  $R = \{(a, c), (a, d), (b, e)\}$ ,  $R' = \{(b, c), (b, d), (a, e)\}$ . Then  $\mathfrak{A}(R) = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$  and  $\mathfrak{A}(R') = \begin{pmatrix} 0 & 0 & 1 \\ 1 & 1 & 0 \end{pmatrix}$ . Conversely, each  $m \times n$  matrix  $\mathfrak{A} = (a_{ij})$  of 0's and 1's defines a relation R from the set X to the set Y by the rule  $(x_i, y_j) \in R$  if and only if  $a_{ij} = 1$ .

Compute the matrices of the following relations: (i) = and  $\leq$  on the sets  $\{-1, 0, 1\}, \{-2, -1, 0, 1, 2\}$ .

(ii) = and "negative of" on the sets  $\{-1, 0, 1\}, \{-2, -1, 0, 1, 2\}$ .

**j).** Show that the following statements are equivalent: (i) R is both symmetric and anti-symmetric. (ii) The matrix  $\mathfrak{A}(R) = (a_{ij})$  is diagonal, that is,  $a_{ij} = 0$  whenever  $i \neq j$ . (iii)  $R \subseteq \Delta_X$ .

**k).** (Bell's numbers <sup>7</sup>)) Let X be a finite set with n elements. The number of equivalence relations on X is called the n-Bell number  $\beta_n$ , i.e.,  $|\mathfrak{Eq}(X)| = \beta_n$ .

**1).** The numbers  $\beta_n$  staisfy the recursion relations  $\beta_0 = 1$  and  $\beta_{n+1} = \sum_{k=0}^n {n \choose k} \beta_k$  for all  $n \in \mathbb{N}$ .

**2).** Let  $m, n \in \mathbb{N}$  with  $m \le n$  and let  $\beta_{m,n} := \sum_{i=0}^{m} {m \choose i} \beta_{n-i}$ . Then  $\beta_{0,n} = \beta_n$ ,  $\beta_{0,n+1} = \beta_{n,n}$  and  $\beta_{m+1,n+1} = \beta_{m,n} + \beta_{m,n+1}$  for all  $m, n \in \mathbb{N}$  with  $m \le n$ .

3). Using the above formulas we have the following table :

п	0	1	2	3	4	5	6	7	8	9	10	
$\beta_n$	1	1	2	5	15	52	203	877	4140	21147	115975	

**T2.5.** (Partitions of a set) Let X be a set. A partition or decomposition  $\mathfrak{p}$  of the set X is a subset  $\mathfrak{p} \subseteq \mathfrak{P}(X)$  of non-empty disjoint subsets of X such that their union is  $\bigcup_{Y \in \mathfrak{p}} Y = X$ . In particular, a partition  $\mathfrak{p}$  of X is an element of the set  $\mathfrak{P}(\mathfrak{P}(X))$ . More generally, an arbitrary family  $X_i$ ,  $i \in I$  of non-empty pairwise disjoint subsets  $X_i$  of X with  $\bigcup_{i \in I} X_i = X$  is called a partition of X (parametrized by the index set I); in this we write  $X = \bigoplus_{i \in I} X_i$ . If  $X = \bigcup_{i \in I} X_i$  without necessarily the condition of pairwise disjointness of  $X_i$ ,  $i \in I$ , then the family  $X_i$ ,  $i \in I$ , is called the covering of X.

**a).** The partition  $X_i$ ,  $i \in I$  of X corresponds to the surjective map  $f : X \to I$ . (The partition  $X_i$ ,  $i \in I$ , defines the map f(x) := i, if  $x \in X_i$  and conversely the map f defines the partition  $X_i := f^{-1}(i)$ ,  $i \in I$ , of X.) Therefore partitions are the fibres of the surjective maps. If X is a finite set, then clearly every partition  $\mathfrak{p}$  of X is finite a finite set and  $|\mathfrak{p}| \le |X|$ .

The set of all partitions of X is denoted by  $\mathfrak{Par}(X)$ ; this is a subset of the set  $\mathfrak{P}(\mathfrak{P}(X))$ . As usual for  $n \in \mathbb{N}$ , we put  $\mathfrak{Par}_n(X) = \{\mathfrak{p} \in \mathfrak{Par}(X) \mid |\mathfrak{p}| = n\}$ . Clearly the family  $\mathfrak{Par}_n(X)$ ,  $n \in \mathbb{N}$  is pairwise disjoint and  $\bigcup_{n \in \mathbb{N}} \mathfrak{Par}_n(X) = \mathfrak{Par}(X)$ .

**b).** The map  $\alpha : \mathfrak{P}(X \times X) \to \mathfrak{P}(\mathfrak{P}(X)), R \mapsto \{\{y \in X \mid xRy\} \mid x \in X\}$  (see T2.2-e)) maps  $\mathfrak{Eq}(X)$  bijectively onto  $\mathfrak{Par}(X)$ , i.e. to each equivalence relation R on X,  $\alpha$  associates a unique partition  $\alpha(R)$  of X and conversely. The partition corresponding to the equivalence relation R on X is denoted by  $\mathfrak{p}_R$  and the equivalence relation corresponding to the partition  $\mathfrak{p}$  is denoted by  $\mathcal{R}_p$ , i.e., the maps  $\mathfrak{P}(X) \to \mathfrak{Eq}(X)$ ,  $\mathfrak{p} \mapsto \mathfrak{p}_R$  and  $\mathfrak{Eq}(X) \to \mathfrak{Par}(X), R \mapsto R_p$  are bijective and are inverses of each other. Moreover, if  $\mathfrak{Eq}_r(X)$  is the set of all equivalence relations on X with exactly r equivalence classes. Then  $|\mathfrak{Eq}_r(X)| = |\mathfrak{Par}_r(X)|$  and  $\mathfrak{Eq}(X) = \bigoplus_{r=0}^n \mathfrak{Eq}_r(X)$ .

What are the coarest and the finest partitions of a given set *X*? What are the corresponding equivalence relations? What are the partitions corresponding to the equivalence relations  $\Delta_X$  and  $X \times X$ ?

<sup>&</sup>lt;sup>7</sup>) ERIC TEMPLE BELL (1883-1960) was a Scottish mathematician and attended Bedford Modern School where excellent mathematics teaching gave him his life-long interest in the subject. In particular, his interest in number theory came from this time. Bell wrote several popular books on the history of mathematics. He also made contributions to analytic number theory, Diophantine analysis and numerical functions. The American Mathematical Society awarded him the Bôcher Prize in 1924 for his memoir, Arithmetical paraphrases which had appeared in the Transactions of the American Mathematical Society in 1921. Although he wrote 250 research papers, including the one which received the Bôcher Prize, Bell is best remembered for his books, and therefore as an historian of mathematics. Bell did not confine his writing to mathematics and he also wrote sixteen science fiction novels under the name JOHN TAINE.