

E0 219 Linear Algebra and Applications / August-December 2011

(ME, MSc. Ph. D. Programmes)

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Lectures : Monday and Wednesday ; 11:30–13:00 **Venue:** CSA, Lecture Hall (Room No. 117)

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1-st Midterm : Saturday, September 17, 2011; 15:00 -17:00

2-nd Midterm : Saturday, October 22, 2011; 10:30 -12:30

Final Examination : December ??, 2011, 10:00 -13:00

Evaluation Weightage : Assignments : 20%

Midterms (Two) : 30%

Final Examination : 50%

2. Vector Spaces

Submit a solution of the *-Exercise ONLY

Due Date : Monday, 22-08-2011 (Before the Class)

2.1 Let K be a field and let I be an index set.

(a) The set of all functions $f: I \rightarrow K$ with finite image i.e. $f(I)$ is a finite subset of K , is a K -subspace of the vector space K^I .

(b) The set of all functions $f: I \rightarrow K$ with countable image i.e. $f(I)$ is a countable subset of K , is a K -subspace of the vector space K^I .

(c) The set $B_{\mathbb{K}}(I)$ of all bounded functions $f: I \rightarrow \mathbb{K}$ is a \mathbb{K} -subspace of \mathbb{K}^I .

(d) The set W_{even} (resp. W_{odd}) of all even (resp. odd) functions¹ $\mathbb{R} \rightarrow \mathbb{K}$ is a \mathbb{K} -subspaces of $\mathbb{K}^{\mathbb{R}}$. Further, show that $W_{\text{even}} \cap W_{\text{odd}} = 0$ and $W_{\text{even}} + W_{\text{odd}} = \mathbb{K}^{\mathbb{R}}$.

(e) The set of all functions $f: \mathbb{C} \rightarrow \mathbb{C}$ with $\lim_{z \rightarrow \infty} f(z) = 0$ is a \mathbb{C} -subspace of the vector space $\mathbb{C}^{\mathbb{C}}$ of all \mathbb{C} -valued functions on \mathbb{C} .

***2.2** Let V be a vector space over a field K with a field with $|K| \geq n$ and let V_1, \dots, V_n be K -subspaces of V . If $V_i \neq V$ for every $1 \leq i \leq n$ then show that $V_1 \cup V_2 \cup \dots \cup V_n \neq V$. Show by an example that the condition $|K| \geq n$ is necessary. (**Hint :** By induction on n , assume that $V_1 \cup V_2 \cup \dots \cup V_{n-1} \neq V$. Choose $x \in V_n$ with $x \notin V_1 \cup \dots \cup V_{n-1}$ and $y \in V$ with $y \notin V_n$. Now consider the set $\{ax + y \mid a \in K\}$ which has at least n distinct elements.)

2.3 For subspaces U, U', W, W' of a vector space V over a field K , show that :

(a) The subset $V \setminus (U \setminus W)$ is a subspace of V if and only if $U = V$ or $U \subseteq W$.

(b) $U + (U' \cap W) \subseteq (U + U') \cap (U + W)$.

(c) $U \cap (U' + W) \supseteq (U \cap U') + (U \cap W)$.

(d) (Modular law) If $U \subseteq U'$, then $U + (U' \cap W) = U' \cap (U + W)$.

(e) Suppose that $U \cap W = U' \cap W'$. Then $U = (U + (W \cap U')) \cap (U + (W \cap W'))$.

2.4 Let K be a field and let $K[X]$ be the set of polynomials with coefficients in K . Let Φ denote the (evaluation) map $\Phi: K[X] \rightarrow K^K$ defined by $F(X) \mapsto (a \mapsto F(a))$. Show that

(a) Φ is injective if and only if K is not finite. (**Hint :** Use T2.6-(d).)

(b) Φ is surjective if and only if K is finite. (**Hint :** Remember *Polynomial interpolation!* See T2.8)

On the other side one can see auxiliary results and (simple) test-exercises.

¹A function $f: \mathbb{R} \rightarrow \mathbb{K}$ is called even (respectively, odd) if $f(-x) = f(x)$ (respectively, $f(-x) = -f(x)$) for all $x \in \mathbb{R}$. For example, the sine $\sin: \mathbb{R} \rightarrow \mathbb{R}$ (respectively, cosine $\cos: \mathbb{R} \rightarrow \mathbb{R}$) function is an odd (respectively, even) function.

Auxiliary Results/Test-Exercises

T2.1 Let V be a vector space over a field K .

(a) (General Distributive law) For arbitrary finite families $a_i, i \in I$, in K and $x_j, j \in J$, in V , show that

$$\left(\sum_{i \in I} a_i\right) \left(\sum_{j \in J} x_j\right) = \sum_{(i,j) \in I \times J} a_i x_j.$$

(b) (Sign Rules) For arbitrary elements $a, b \in K$ and arbitrary vectors $x, y \in V$. Prove that :

- (1) $0 \cdot x = a \cdot 0 = 0$. (2) $a(-x) = (-a)x = -(ax)$. (3) $(-a)(-x) = ax$.
 (4) $a(x - y) = ax - ay$ and $(a - b)x = ax - bx$.

(c) (Cancellation Rule) Let $a \in K$ and let $x \in V$. If $ax = 0$ then $a = 0$ or $x = 0$.

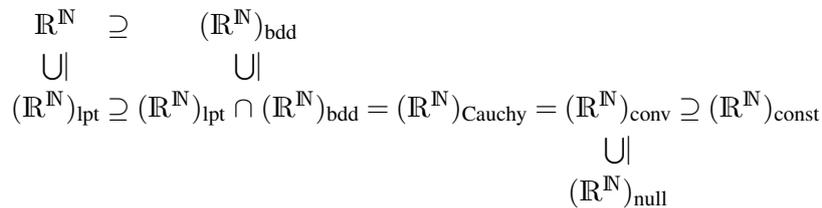
T2.2 Let V be a vector space over a field and let X be any set with a bijection $f : X \rightarrow V$. Then X has a K -vector space structure with $f^{-1}(0)$ as a zero element and for $a \in K, x, y \in X, x + y := f^{-1}(f(x) + f(y))$ and $ax := f^{-1}(af(x))$.

T2.3 Let X be any set. Then the set-ring $(\mathfrak{P}(X), \Delta, \cap)$ of X (see exercise 1.5) has a natural structure of a vector space over the field \mathbb{Z}_2 . (Hint : The map $\mathfrak{P}(X) \rightarrow \mathbb{Z}_2^X$ defined by $A \mapsto e_A$ is a bijective, where e_A denote the indicator function of A . See Test-Exercise T1.5.)

T2.4 Recall the concepts *convergent sequence, null- sequence, Cauchy sequence, bounded sequence and limit point of a sequence*.²

(a) Let $(\mathbb{R}^{\mathbb{N}})_{\text{conv}}$ (respectively, $(\mathbb{R}^{\mathbb{N}})_{\text{null}}, (\mathbb{R}^{\mathbb{N}})_{\text{Cauchy}}, (\mathbb{R}^{\mathbb{N}})_{\text{bdd}}, (\mathbb{R}^{\mathbb{N}})_{\text{lpt}}, (\mathbb{R}^{\mathbb{N}})_{\text{const}}$) denote the set of all convergent (respectively, null-sequences, Cauchy sequences, bounded sequences, sequences with exactly one limit point). Which of these are subspaces of the \mathbb{R} -vector space $\mathbb{R}^{\mathbb{N}}$ of all sequences of real numbers?

(b) Verify the inclusions and equalities in the following diagram :



T2.5 (Function Spaces) Let \mathbb{K} be either \mathbb{R} or \mathbb{C} and let $D \subseteq \mathbb{K}$ be an arbitrary subset.

(a) The set

$$C_{\mathbb{K}}^0(D) := \{f : D \rightarrow \mathbb{K} \mid f \text{ is continuous}\}$$

of all \mathbb{K} -valued continuous functions on D is a \mathbb{K} -subspace of all \mathbb{K} -valued functions \mathbb{K}^D on D .

(b) Let $I \subseteq \mathbb{R}$ be an interval in \mathbb{R} with more than one point and let $n \in \mathbb{N}$. The set

$$C_{\mathbb{K}}^n(I) := \{f : I \rightarrow \mathbb{K} \mid f \text{ is } n\text{-times continuously differentiable}\}$$

²A sequence $(x_n) = (x_n)_{n \in \mathbb{N}}$ of elements of \mathbb{K} is called **convergent** (in \mathbb{K}) if there exists an element $x \in \mathbb{K}$ which satisfy the following property : For every positive (however small) real number $\varepsilon \in \mathbb{R}$ there exists a natural number $n_0 \in \mathbb{N}$ such that $|x_n - x| \leq \varepsilon$ for all natural numbers $n \geq n_0$. This element x is uniquely determined by the sequence (x_n) and is called the **limit** of the sequence (x_n) ; usually denoted by $\lim_{n \rightarrow \infty} x_n = \lim_{n \rightarrow \infty} x_n$. If x is the limit of (x_n) , then this is also shortly written as $x_n \rightarrow x$ or $x_n \xrightarrow{n \rightarrow \infty} x$ and say that (x_n) **converges** to x . The sequence (x_n) converges to x if and only if the sequence $(x_n - x)$ converges to 0. A convergent sequence with limit 0 is called a **null- sequence**. A sequence that is not convergent is called **divergent**.

A sequence $(x_n) = (x_n)_{n \in \mathbb{N}}$ of elements of \mathbb{K} is called **bounded sequence** if there exists an element S in \mathbb{R} such that $|x_n| \leq S$ for all $n \in \mathbb{N}$.

A sequence $(x_n) = (x_n)_{n \in \mathbb{N}}$ of elements of \mathbb{K} is called a **Cauchy sequence** if for every $\varepsilon \in \mathbb{R}, \varepsilon > 0$, there exists a natural number $n_0 \in \mathbb{N}$ $|x_m - x_n| \leq \varepsilon$ for all natural numbers $m, n \geq n_0$.

An element $x \in \mathbb{K}$ is called a **limit point** of the sequence $(x_n) = (x_n)_{n \in \mathbb{N}}$ of elements of \mathbb{K} if it is a limit point of the set $\{x_n \mid n \in \mathbb{N}\}$, i.e. every (however small) neighbourhood of x contain infinitely many terms of the sequence.

of all \mathbb{K} -valued n -times continuously differentiable functions on I is a \mathbb{K} -subspace the \mathbb{K} -vector space $C_{\mathbb{K}}^0(D)$.

(c) The \mathbb{K} -subspaces $C_{\mathbb{K}}^n(I)$, $n \in \mathbb{N}$ form a descending chain

$$C_{\mathbb{K}}^0(I) \supseteq C_{\mathbb{K}}^1(I) \supseteq C_{\mathbb{K}}^2(I) \supseteq \cdots \supseteq C_{\mathbb{K}}^n(I) \supseteq C_{\mathbb{K}}^{n+1}(I) \supseteq \cdots$$

where all inclusions are proper. The intersection of these K -subspaces is the K -subspace

$$C_{\mathbb{K}}^{\infty}(I) = \bigcap_{n \in \mathbb{N}} C_{\mathbb{K}}^n(I)$$

of all infinitely many times differentiable \mathbb{K} -valued functions on I .

(d) The set

$$C_{\mathbb{K}}^{\omega}(I) := \{f : I \rightarrow \mathbb{K} \mid f \text{ is analytic}\}$$

of all \mathbb{K} -valued analytic functions on I is a \mathbb{K} -subspace the \mathbb{K} -vector space $C_{\mathbb{K}}^{\infty}(I)$. Moreover, the inclusion $C_{\mathbb{K}}^{\omega}(I) \subsetneq C_{\mathbb{K}}^{\infty}(I)$ is proper. (This follows from the existence of a “flat functions”)

(e) Let $I \subseteq \mathbb{R}$ be an interval with more than one point and let a_0, \dots, a_{n-1} be complex valued continuous functions on I . The set of all functions $y \in C_{\mathbb{C}}^n(I)$ satisfying the (homogeneous linear) differential equation

$$y^{(n)} + a_{n-1}y^{(n-1)} + \cdots + a_1y' + a_0y = 0$$

is a \mathbb{C} -subspace of $C_{\mathbb{C}}^n(I)$.

T2.6 (Polynomials – Polynomial ring) A polynomial (in one variable or indeterminate X) with coefficients in a commutative ring A is a formal expression of the form: $F = F(X) = a_0 + a_1X + \cdots + a_nX^n$, where $n \in \mathbb{N}$ and the coefficients $a_0, a_1, \dots, a_n \in A$. If $G = b_0 + b_1X + \cdots + b_mX^m$ is another polynomial, then $F = G$ if and only if $a_i = b_i$ for all $i \in \mathbb{N}$, where we put $a_i = 0$ for all $i > n$ and $b_j = 0$ for all $j > m$. The set of all polynomials with coefficients in a (given) ring A is denoted by $A[X]$, i. e.

$$A[X] := \{a_0 + a_1X + \cdots + a_nX^n \mid n \in \mathbb{N}, a_0, \dots, a_n \in A\}.$$

One can use addition, multiplication and distributive laws in the ring A to define addition and multiplication of polynomials:

$$F + G := (a_0 + b_0) + (a_1 + b_1)X + \cdots \quad \text{and} \quad F \cdot G := (a_0b_0) + (a_0b_1 + a_1b_0)X + (a_0b_2 + a_1b_1 + a_2b_0)X^2 + \cdots$$

The i -th coefficient of the polynomial $F + G$ (respectively, $F \cdot G$) is $a_i + b_i$ (respectively, $a_0b_i + a_1b_{i-1} + \cdots + a_ib_0 = \sum_{j=0}^i a_jb_{i-j}$). With these addition and multiplication $A[X]$ is again a commutative ring with identity $1_{A[X]} = 1_A$; this ring is called the polynomial ring in one indeterminate X over A .

Let $F = \sum_{i=0}^n a_iX^i \in A[X]$ be a non-zero polynomial over a commutative ring A . The biggest natural number $n \in \mathbb{N}$ with $a_n \neq 0$ is called the degree of F and is denoted by $\deg F$. The corresponding coefficient a_n is called the leading coefficient of F . A polynomial with leading coefficient 1 is called a monic polynomial. For $F \in A[X]$, if $\deg F = 0$, then $F = a \in A$, $a \neq 0$ is a non-zero constant polynomial. Below we record some computational rules for the degrees of polynomials:

(a) Let $F, G \in A[X]$ be non-zero polynomials. Then:

$$(1) \deg(FG) \begin{cases} \leq \max\{\deg F, \deg G\}, & \text{if } F + G \neq 0, \\ = \max\{\deg F, \deg G\}, & \text{if } F + G = 0. \end{cases}$$

$$(2) \deg(FG) \begin{cases} \leq \deg F + \deg G & \text{if } FG \neq 0 \\ = \deg F + \deg G & \text{if one of the leading coefficient of } F \text{ or } G \text{ is a non-zero divisor in } A. \end{cases}$$

(Recall that an element $a \in A$ in a (commutative) ring A is called a zero divisor if there exists $b \in A$, $b \neq 0$ with $ab = 0$; An element which is not a zero divisor is called a non-zero divisor in A . For example, in the ring \mathbb{Z}_n residue classes of divisors of n are precisely zero divisors. In the ring of integers \mathbb{Z} every non-zero element is a non-zero divisor. In a field every non-zero element is a non-zero divisor. More generally, every invertible element in any ring is a non-zero divisor. A commutative ring which does not have any non-zero zero divisors is called an integral domain. For example, the ring of integers \mathbb{Z} is an integral domain and every field K is an integral domain.)

(3) If A is an integral domain, then the invertible elements in the polynomial ring $A[X]$ are precisely the invertible elements in A , i. e. $A[X]^{\times} = A^{\times}$. In particular, a non-zero polynomial $F \in K[X]$ over a field K is

invertible in $K[X]$ if and only if it is a non-zero constant polynomial. In particular, X is never an invertible element in $K[X]$ and hence $K[X]$ is never a field.

(b) (Division algorithm for polynomials) Let F and $G \neq 0$ be polynomials over a field K . Then there exist unique polynomials Q and R over K such that

$$F = QG + R \quad \text{and} \quad \deg R < \deg G.$$

In particular, if $a \in K$, then $F = F(a) + Q(X - a)$, where Q is a polynomial over K . (**Remark :** More generally, one can perform division with remainder over arbitrary commutative ring by the polynomial G with an invertible leading coefficient.)

(c) (Zeros of polynomials) Let K be a field. An element $a \in K$ is called a zero of the polynomial $F \in K[X]$ if $F(a) = 0$. Therefore $a \in K$ is a zero of F if and only if $X - a$ divide F (in $K[X]$), i. e. $X - a$ is a linear factor of F .

(1) Let $F \in K[X]$ be a non-zero polynomial over a field K . Then there exist distinct elements $a_1, \dots, a_r \in K$, $r \geq 0$, non-zero natural numbers $n_1, \dots, n_r \in \mathbb{N}^+$ and a polynomial $G \in K[X]$ which does not have a zero in K , i. e. $G(a) \neq 0$ for every $a \in K$, such that

$$F(X) = (X - a_1)^{n_1} \cdots (X - a_r)^{n_r} \cdot G.$$

Moreover, the factors $(X - a_i)^{n_i}$, $i = 1, \dots, r$ and G are uniquely (up to a permutation) determined by F . The elements a_1, \dots, a_r are (distinct) all zeros of F in K and the exponents n_1, \dots, n_r are called their multiplicities (or orders). The sum $n_1 + \dots + n_r$ is the number of zeros of F in K counted with multiplicities. Naturally, $n_1 + \dots + n_r + \deg G = \deg F$. In particular:

(2) Every polynomial F of degree $n \geq 0$ over a field K has at most n zeros in K (even if we count them with multiplicities). How many zeros the polynomial $X^2 + X$ has in the ring \mathbb{Z}_4 ? The polynomial $X^3 + X^2 + X + 1$ in $\mathbb{Z}_4[X]$ is a multiple of $X + 1$ and $X + 3$, but not of $(X + 1)(X + 3)$. Give an example of a polynomial $F \in A[X]$ over a commutative ring A such that F has infinitely many zeros in A .

(3) In the case $K = \mathbb{R}$ in general the polynomial G in (1) above can have positive degree. For example, the polynomial $X^2 + 1$ and its power have no zero in \mathbb{R} . However, a polynomial $F \in \mathbb{R}[X]$ of odd degree has at least one zero in \mathbb{R} , since $f(x) < 0$ (respectively, $f(x) > 0$) for large negative (respectively, positive) x .

(d) (Identity Theorem) Let $F, G \in K[X]$ be two polynomials with coefficients in K of degrees $\leq n$. Suppose that there exist distinct $t_1, \dots, t_{n+1} \in K$ such that $F(t_i) = G(t_i)$ for all $i = 1, \dots, n + 1$. Then $F = G$. (**Hint :** Since $t_1, \dots, t_{n+1} \in K$ are zeros of the polynomial $F - G$ of degree $\deg(F - G) \leq n$, it follows that $F - G = 0$ by (c) (2).)

T2.7 (Horner's scheme) Let K be a field and let $F = a_0 + a_1X + \dots + a_nX^n \in K[X]$. To compute the value of F at a point a one can apply the well-known Horner's scheme. For this define a sequence of polynomials recursively as follows :

$$\begin{aligned} F_0 &:= a_n \\ F_1 &:= a_{n-1} + XF_0 = a_{n-1} + a_nX \\ &\dots \quad \dots \quad \dots \quad \dots \quad \dots \quad \dots \\ F_{k+1} &:= a_{n-k-1} + F_kX = a_{n-k-1} + \dots + a_{n-1}X^k + a_nX^{k+1} \\ &\dots \quad \dots \\ F_n &:= a_0 + F_{n-1}X = F. \end{aligned}$$

These polynomials are called the Ruffini's polynomials corresponding to F . The value $F(a) = F_n(a)$ is then obtained by the recursion-scheme:

$$F_0(a) = a_n, \quad F_{k+1}(a) = a_{n-k-1} + F_k(a)a, \quad k = 0, \dots, n - 1$$

The values $F_0(a), \dots, F_n(a)$ can be easily computed one after the another and the division algorithm by $X - a$ is given by

$$F = Q \cdot (X - a) + F(a) \quad \text{where} \quad Q = F_0(a)X^{n-1} + F_1(a)X^{n-2} + \dots + F_{n-1}(a), \quad F(a) = F_n(a).$$

With this process also one can easily compute all coefficients b_v in the Taylor's expansion :

$$F = b_0 + b_1(X - a) + \dots + b_n(X - a)^n, \quad b_k = F^{(k)}(a)/k!,$$

for this one has to repeat the above process for the polynomial Q instead of F and hence $b_1 = Q(a)$, and so on. For example, the polynomial $F = 2X^3 + 2X^2 - X + 1$ and $a = -2$ we have the following scheme :

	2	2	-1	1	
-2	2	-2	3	-5(= b_0)	
-2	2	-6	15(= b_1)		
-2	2	-10(= b_2)			
-2	2	2(= b_3)			

Therefore $F = 2(X + 2)^3 - 10(X + 2)^2 + 15(X + 2) - 5$.

T2.8 (Polynomial interpolation) Let K be a field and let $m \in \mathbb{N}$. The existence of a polynomial $f \in K[X]$ of degree $\leq m$ which has given $m + 1$ values (in K) at distinct $m + 1$ places is called an interpolation problem.

(a) (Lagrange's interpolation formula) Let $a_0, \dots, a_m \in K$ be distinct and let $b_0, \dots, b_m \in K$ be given. Then

$$f := \sum_{i=0}^m \frac{b_i}{c_i} \prod_{j \in \{0, \dots, m\} \setminus \{i\}} (X - a_j), \quad c_i := \prod_{j \in \{0, \dots, m\} \setminus \{i\}} (a_i - a_j)$$

is the unique polynomial (by T2.6-(d)) of degree $\leq m$ such that $f(a_i) = b_i$ for all $i = 0, \dots, m$.

(b) (Newton's interpolation) Let $f_0 := 1, f_1 := X - a_0, f_2 := (X - a_0)(X - a_1), \dots, f_m := (X - a_0) \dots (X - a_{m-1})$. Then, since $f_j(a_j) \neq 0$, we can recursively find the coefficients $\alpha_0, \dots, \alpha_m \in K$ such that

$$\left(\sum_{j=0}^r \alpha_j f_j \right) (a_r) = b_r, \quad 0 \leq r \leq m.$$

The polynomials $\sum_{j=0}^r \alpha_j f_j$ have degree $\leq r$ and values b_i at the points a_i for all $i = 0, \dots, m$.

T2.9 (Polynomial functions) Let K be a field and let $D \subseteq K$ be a subset of K . A function $f : D \rightarrow K$ is called a polynomial function if it is of the form $t \mapsto a_0 + a_1 t + \dots + a_n t^n$ with fixed coefficients $a_0, a_1, \dots, a_n \in K$.

(a) The set of all polynomial functions $\text{Pol}_K(D)$ form a K -subspace of the K -vector space K^D . Moreover, if $K = \mathbb{K}$ and if $D = I \subseteq \mathbb{R}$ is an interval with more than one point, then $\text{Pol}_{\mathbb{K}}(I) \subseteq C_{\mathbb{K}}^{\omega}(I)$.

(b) If D is a finite subset of K , then every K -valued function on D is a polynomials function, i. e. $K^D = \text{Pol}_K(D)$.

(c) If D is an infinite set, then the coefficients $a_0, a_1, \dots, a_n \in K$ of the polynomial function $f : D \rightarrow K, t \mapsto a_0 + a_1 t + \dots + a_n t^n$ are uniquely determined by the function f .

(d) The functions $\mathbb{R} \rightarrow \mathbb{R}, x \mapsto |x|; x \mapsto \sin x; x \mapsto \cos x$ are not polynomial functions. Is the exponential function $x \mapsto e^x$ a polynomial function?

T2.10 (Rational functions) Let K be a field. The quotient of two polynomials over K are called the rational functions (in one variable X over K). Therefore a rational function is of the form F/G with $F, G \in K[X]$. The set of all rational functions is denoted by $K(X)$.

(a) Sum and product of rational functions are again rational functions and so $K(X)$ is a vector space over K and $K[X]$ is a K -subspace of $K(X)$. Further, $K(X)$ is a field and is called the rational function field (in one variable X over K).

(b) Every rational function F/G in one indeterminate X over K can also be represented as $F/G = Q + R/G$, where Q and R are polynomials over K with $\deg R < \deg G$.

(c) (Partial fraction decomposition) Let F and G be polynomials over K with $\deg F < \deg G$ and $G = (X - \alpha_1)^{n_1} \dots (X - \alpha_r)^{n_r}, \alpha_i \neq \alpha_j$ for $i \neq j, n_i \in \mathbb{N}^*$. Then there exists a unique representation

$$\frac{F}{G} = \frac{\alpha_{11}}{(X - \alpha_1)} + \frac{\alpha_{12}}{(X - \alpha_1)^2} + \dots + \frac{\alpha_{1n_1}}{(X - \alpha_1)^{n_1}} + \dots + \frac{\alpha_{r1}}{(X - \alpha_r)} + \frac{\alpha_{r2}}{(X - \alpha_r)^2} + \dots + \frac{\alpha_{rn_r}}{(X - \alpha_r)^{n_r}}.$$

with $\alpha_{ik} \in K, i = 1, \dots, r; k = 1, \dots, n_i$.