

## 10.B Polynomials in several variables

Let  $K$  be a field. A polynomial function on  $K^I$ , where  $I$  is an arbitrary set, is a function  $K^I \rightarrow K$  with  $t \mapsto \sum a_\nu t^\nu$ , where the (constant) coefficients  $a_\nu \in K$  and are 0 for almost all  $\nu = (\nu_i)_{i \in I} \in \mathbb{N}^{(I)}$  and  $t^\nu$  denote the power-product  $t^\nu := \prod_{i \in I} t_i^{\nu_i}$  for an  $I$ -tuple  $t = (t_i) \in K^{(I)}$  (in this product almost all factors are 1).

Like in the case of one variable (see 10.A) also in the case of several variables, it is often comfortable to consider polynomials  $P = \sum_{\nu \in \mathbb{N}^{(I)}} a_\nu X^\nu$  instead

of polynomial functions which can be identified with its coefficient-tuples  $(a_\nu)_{\nu \in \mathbb{N}^{(I)}}$ . Note that in every polynomial almost all coefficients  $a_\nu \in K$  are 0 and  $X^\nu$  denote the monomial  $X^\nu = \prod_{i \in I} X_i^{\nu_i}$ ,

in the indeterminates or variables  $X_i, i \in I$ .

Sometimes we <sup>also</sup> choose another notations like  $Y_i, Z_i$  or similar for the indeterminates. In the case of finitely many variables, i.e. in the case of a finite indexed set  $I$ , often we <sup>also</sup> denote the variables by isolated letters, e.g. by  $X, Y, Z$  in the case  $\#I=3$ .

The set of all polynomials over  $K$  in the variables  $X_i, i \in I$ , is denoted by  $K[X_i]_{i \in I}$  or  $K[X_i | i \in I]$ .

If  $I = \{1, 2, \dots, n\}$ , then we also use the notation  $K[X_1, \dots, X_n]$ . In any case every polynomial  $P$  only finitely many indeterminates  $X_i$  appear. Therefore often one can reduce the investigation of polynomials in finitely many variables.

Polynomials  $P = \sum_{\nu} a_{\nu} X^{\nu}$  and  $Q = \sum_{\nu} b_{\nu} X^{\nu}$  from  $K[X_i]_{i \in I}$  can be formally added and multiplied:

$$P+Q := \sum_{\nu} (a_{\nu} + b_{\nu}) X^{\nu}; \quad PQ := \sum_{\nu} c_{\nu} X^{\nu}, \quad c_{\nu} := \sum_{\mu+\alpha=\nu} a_{\mu} b_{\alpha}.$$

Also the multiplication with constant  $a \in K$  is defined coefficientwise. With these binary operations  $K[X_i]_{i \in I}$  is a commutative  $K$ -algebra; the monomials  $X^{\nu}$ ,  $\nu \in \mathbb{N}^{(I)}$  form its  $K$ -basis. We define the degree of a monomial  $X^{\nu}$  as  $|\nu| := \sum_{i \in I} \nu_i$ . The degree

of a polynomial  $P = \sum_{\nu} a_{\nu} X^{\nu} \neq 0$  is by definition the maximum of the degrees of the monomials  $X^{\nu}$  whose coefficients  $a_{\nu}$  are non-zero. We denote it by  $\deg P$ . The degree of the zero-polynomial is by definition  $-\infty$ . For  $n \in \mathbb{N}$ , the sum  $P_m := \sum_{\nu \in \mathbb{N}^{(I)}, |\nu|=m} a_{\nu} X^{\nu}$

is called the  $m$ -th homogeneous component of  $P$ . Then  $P = \sum_{m \in \mathbb{N}} P_m$  is the canonical decomposition of

$P$  into its homogeneous components. If  $P = P_m$ , then  $P$  is called homogeneous of degree  $m$ ; accordingly the zero-polynomial is homogeneous of every degree.



The set of homogeneous polynomials of degree  $m$  form a  $K$ -subspace  $A_m$  of  $A := K[X_i]_{i \in I}$  and  $A$  is the direct sum of  $A_m, m \in \mathbb{N}$ :

$$A = \sum_{m \in \mathbb{N}}^{\oplus} A_m.$$

Clearly  $A_m \cdot A_n \subseteq A_{m+n}$ , i.e. the product of a homogeneous polynomial of degree  $m$  and a homogeneous polynomial of degree  $n$  is a homogeneous polynomial of degree  $m+n$ . Let  $j \in I$  be fixed. Then every polynomial  $P \in K[X_i]_{i \in I}$  can be written in the form  $P = \sum_{k \in \mathbb{N}} P_k X_j^k$  with uniquely

determined polynomials  $P_k$  in the indeterminates  $X_i, i \in I \setminus \{j\}$ ; the indeterminate  $X_j$  does not appear in them explicitly. If  $P \neq 0$  and  $P_n \neq 0$ , but  $P_k = 0$  for all  $k > n$ , then  $n$  is called the partial degree of  $P$  with respect to the indeterminate  $X_j$  and is denoted by  $\deg_{X_j} P$ .

The product  $PQ$  of two non-zero polynomials  $P, Q \in K[X_i]_{i \in I}$  is again non-zero. Since  $P$  and  $Q$  only finitely indeterminate appear, for the proof we may assume that  $I$  is finite, say  $I = \{1, 2, \dots, n\}$ . We prove the assertion by induction on  $n$ . The cases  $n=0$  and  $n=1$  are trivial, see 10.A.1. For the inductive step from  $n$  to  $n+1$ , we write  $P$  and  $Q$  in the form:  $P = \sum_{i=0}^r P_i X_{n+1}^i$  and  $Q = \sum_{j=0}^s Q_j X_{n+1}^j$  with

polynomials  $P_i, Q_j \in K[X_1, \dots, X_n]$  and  $P_r \neq 0, Q_s \neq 0$ .

Then  $PQ = \sum_{k=0}^{r+s} \left( \sum_{i+j=k} P_i Q_j \right) X_{n+1}^k = P_r Q_s X_{n+1}^{r+s} + \dots$

By induction hypothesis  $P_r \cdot Q_s \neq 0$  and hence  $PQ \neq 0$ .  
 From this the degree-formula analogous to 10.A.1 also follows: If  $P \neq 0$  and  $Q \neq 0$  have the decompositions  $P = P_0 + \dots + P_m$  and  $Q = Q_0 + \dots + Q_t$  into homogeneous components,  $P_m, Q_t \neq 0$ , then  $PQ = P_0 Q_0 + \dots + P_m Q_t$  is the corresponding decomposition for the product  $PQ$  with  $P_m Q_t \neq 0$ . Therefore  $\deg PQ = m + t = \deg P + \deg Q$ .

The definition of a prime polynomial or irreducible polynomial is analogous to 10.A.7. Once again we have the theorem on the unique prime factorisation, see 10.A.9. But for polynomials in several variables a division with remainder holds only in a restricted form and its proof is more complicated.

We now discuss the substitution homomorphisms. Let  $A$  be a  $K$ -algebra and let  $x_i, i \in I$ , be a family of pairwise commuting elements in  $A$ . For  $\nu \in \mathbb{N}^{(I)}$ , the monomial  $x^\nu := \prod_{i \in I} x_i^{\nu_i} \in A$  in  $x_i, i \in I$ ,

is well-defined and in the same way, for every polynomial  $F = \sum_{\nu \in \mathbb{N}^{(I)}} a_\nu X^\nu$ , the value  $F(x) =$

$$F(x_i | i \in I) = \sum_{\nu \in \mathbb{N}^{(I)}} a_\nu x^\nu \text{ of } F \text{ at the place } x = (x_i)_{i \in I}.$$

The assignment  $F \mapsto F(x)$  is a  $K$ -algebra homomorphism from  $K[X_i]_{i \in I}$  into  $A$ ; it is called the substitution homomorphism  $X_i \mapsto x_i, i \in I$  and its image is the smallest  $K$ -subalgebra  $K[X_i]_{i \in I} = K[x_i | i \in I]$  of  $A$  which contains all  $x_i, i \in I$ . Its elements are also called polynomials in the  $x_i, i \in I$ . If the substitution homomorphism  $X_i \mapsto x_i, i \in I$ , is injective, then we say that the family  $x_i, i \in I$ , is algebraically independent; otherwise the  $x_i, i \in I$ , are algebraically dependent (over  $K$ ).

For example, if  $(a_i) \in K^{(I)}$  is arbitrary then the substitution homomorphism  $X_i \mapsto X_i - a_i$ ,  $i \in I$ , defined from  $K[X_i]_{i \in I}$  into itself is bijective. Its inverse is again the substitution homomorphism  $X_i \mapsto X_i + a_i, i \in I$ . In particular, the polynomials

$$(X-a)^\nu = \prod_{i \in I} (X_i - a_i)^{\nu_i}, \quad \nu = (\nu_i) \in \mathbb{N}^{(I)},$$

form a  $K$ -basis of  $K[X_i]_{i \in I}$ , since it is the image



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of the  $K$ -basis  $X^\nu, \nu \in \mathbb{N}^{(I)}$  of  $K[X_i]_{i \in I}$ . The representation

$$P = \sum_{\nu \in \mathbb{N}^{(I)}} b_\nu (X-a)^\nu$$

of a polynomial  $P \in K[X_i]_{i \in I}$  with (uniquely determined) coefficients  $b_\nu \in K, \nu \in \mathbb{N}^{(I)}$ , is called the Taylor-expansion of  $P$  at  $a = (a_i)_{i \in I}$ . The substitution homomorphism  $X_i \mapsto p_i, i \in I$ , where  $p_i: K^I \rightarrow K$  is the  $i$ -th projection

$(t_j)_{j \in I} \mapsto t_i$ , associates a polynomial  $F =$

$$\sum_{\nu} a_\nu X^\nu \text{ to the polynomial function } K^I \rightarrow K, \\ \nu \quad t = (t_i)_{i \in I} \mapsto F(t) = F(t_i | i \in I) = \sum_{\nu \in \mathbb{N}^{(I)}} a_\nu t^\nu.$$

If  $K$  is infinite then this substitution homomorphism is injective; therefore the polynomial  $F$  is uniquely determined by the corresponding polynomial function. This follows from the following more general theorem:

### 10.B.1 Identity Theorem for polynomials Let

$F \in K[X_1, \dots, X_n]$  be a polynomial with the partial degree with respect to the indeterminate  $X_i$  is at most  $d_i, i=1, \dots, n$ . Suppose that  $N_i \subseteq K$  are subsets with  $\#N_i > d_i, i=1, \dots, n$  and  $F$  vanishes on all  $t = (t_1, \dots, t_n) \in N_1 \times \dots \times N_n$ . Then  $F$  is the zero polynomial, i.e.  $F=0$ .

Proof By induction on  $n$ . Suppose  $n \geq 1$  and  $F = \sum_{k=0}^{d_n} F_k(X_1, \dots, X_{n-1}) X_n^k$ . Let  $(a_1, \dots, a_{n-1}) \in N_1 \times \dots \times N_{n-1}$ .

For all  $a_n \in N_n$ , the polynomial  $F(a_1, \dots, a_{m-1}, X_m) = \sum_{k=0}^{d_n} F_k(a_1, \dots, a_{m-1}) X_n^k \in K[X_n]$  vanishes at  $a_n$ . Since

$\#N_n > d_n$ , the polynomial  $F(a_1, \dots, a_{m-1}, X_n)$  is the zero polynomial and hence  $F_k(a_1, \dots, a_{m-1}) = 0$  for all  $(a_1, \dots, a_{m-1}) \in N_1 \times \dots \times N_{m-1}$  and for all  $k = 0, \dots, d_n$ .

Therefore by induction hypothesis  $F_k = 0$  for all  $k = 0, \dots, d_n$ , and hence  $F = 0$ .

The  $K$ -algebra of fractions  $F/G$  with  $F, G \in K[X_i]_{i \in I}$ ,  $G \neq 0$ , constructed as in the case of one variable, is a field. It is called the field of rational functions in the indeterminates  $X_i, i \in I$ . We denote this field by  $K(X_i)_{i \in I} = K(X_i | i \in I)$ .

10.B.2 Remark The polynomial rings  $A[X_i]_{i \in I}$  for arbitrary rings  $A$  can be constructed analogously as in the case when  $A$  is a field. In particular, for commutative base rings  $A$  play a fundamental role in the entire algebra. We shall use this sometimes, see for example the next example.

The polynomial rings  $A[X_1, \dots, X_n]$ ,  $n \in \mathbb{N}$ , can be

The proof of 10.B.1 can also be formulated as follows:  
The functions  $t_i^{u_i}$ ,  $u_i = 0, \dots, d_i$ , are linearly independent  $K$ -valued functions on  $N_i$ ,  $i = 1, \dots, n$ , by 10.A.12. Then the functions  $t_1^{u_1} \otimes \dots \otimes t_n^{u_n}$ ,  $0 \leq u_i \leq d_i$ ,  $i = 1, \dots, n$ , are also linearly independent on  $N_1 \times \dots \times N_n$  and this is the assertion.



recursively constructed by adjoining only one indeterminate at a time:

$$A, A[x_1], A[x_1, x_2] = A[x_1][x_2], A[x_1, x_2, x_3] = A[x_1, x_2][x_3], \dots$$

For induction-proofs this view-point may be very useful.

### 10.B.3 Example (Method of indeterminates -- Determinants over arbitrary commutative rings)

The polynomial rings  $A[x_i | i \in I]$  are used for the proofs of general known identities. For this basic ingredients are the substitution homomorphisms:

Let  $\varphi: A \rightarrow B$  be a homomorphism of commutative rings and  $x_i, i \in I$ , be elements in  $B$ . Then there exists a unique ring homomorphism

$$A[x_i | i \in I] \rightarrow B, x_i \mapsto b_i, i \in I, \text{ i.e.}$$

$$\sum_{\nu \in \mathcal{N}(I)} a_\nu x^\nu \mapsto \sum_{\nu \in \mathcal{N}(I)} \varphi(a_\nu) x^\nu \text{ which extends } \varphi$$

to the polynomial rings  $A[x_i | i \in I]$  uniquely.

Whenever one would like to prove an (algebraic) equation for the elements  $x_i \in B, i \in I$ , most often, it is enough to prove the corresponding identity for the indeterminates  $x_i, i \in I$ , (over  $A$ ) and then transport this identity to  $B$  by using the substitution homomorphism. This process is called the Kronecker's method of indeterminates.

For example, the binomial equation

$$(1+x)^n = \sum_{k=0}^n \binom{n}{k} x^k$$



11.B.7 Definition An operator  $f: V \rightarrow V$  on a finite dimensional  $K$ -vector space  $V$  is called triagonalisable if there exists a basis  $\underline{v} = \{v_i \mid i \in I\}$  of  $V$  such that the matrix  $M_{\underline{v}}^{\underline{v}}(f)$  of  $f$  is an upper-triangular matrix, i.e. there exists a flag  $0 = V_0 \subsetneq V_1 \subsetneq \dots \subsetneq V_n = V$ ,  $n = \dim_K V$  of  $f$ -invariant subspaces  $V_i$ ,  $i = 1, \dots, n$ .

11.B.8 Theorem Let  $f: V \rightarrow V$  be an operator on the finite dimensional  $K$ -vector space  $V$ . Then the following statements are equivalent:

- (1)  $f$  is triagonalisable.
- (2) The characteristic polynomial  $\chi_f$  of  $f$  splits into linear factors in  $K[X]$ .
- (3) The minimal polynomial  $\mu_f$  of  $f$  splits into linear factors in  $K[X]$ .

Proof The equivalence of (2) and (3) follows from the fact that  $\chi_f$  and  $\mu_f$  have the same prime factors, see 11.A.14. The implication (1)  $\Rightarrow$  (2) is trivial.

We shall prove the implication (2)  $\Rightarrow$  (1) by induction on  $n := \dim_K V$ . The cases  $n \leq 1$  are trivial. Now, assume  $n \geq 1$ . By 11.A.26 there exists an  $f$ -invariant hyperplane  $V_{n-1}$  in  $V$ . Since the characteristic polynomial  $\chi_{f|_{V_{n-1}}}$  divides  $\chi_f$  by 11.A.8 (1),  $\chi_{f|_{V_{n-1}}}$  also splits into linear factors in  $K[X]$  and hence by induction hypothesis, there exists an  $f$ -inv.

invariant flag  $0 = V_0 \subsetneq V_1 \subsetneq \dots \subsetneq V_{n-1}$  of  $V_{n-1}$ .  
Then  $0 = V_0 \subsetneq V_1 \subsetneq \dots \subsetneq V_{n-1} \subsetneq V_n = V$  is the  
required  $f$ -invariant flag of  $V$ .

One can also prove: Let  $x \in V$  be an eigenvector  
of  $f$  (exists by (1)) and  $V_1 := Kx$ . Further, let  
 $\bar{f}: V/V_1 \rightarrow V/V_1$  be the induced operator by  $f$  on  $\bar{V} :=$   
 $V/V_1$ . Since  $\chi_{\bar{f}}$  divides  $\chi_f$  by 11.A.8(1), it follows  
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11.B.9 Corollary Let  $K$  be an algebraically  
closed field. Then every operator on an finite  
dimensional  $K$ -vector space is triangonalisable.  
In particular, every operator on a finite dimen-  
sional  $\mathbb{C}$ -vector space is triangonalisable.

A matrix  $M \in M_n(K)$ ,  $K$  an arbitrary field, is  
called triangonalisable if the corresponding operator  
 $f_M: K^n \rightarrow K^n$  is triangonalisable, i.e. if it is similar  
to an upper triangular matrix. Theorem 11.B.8 also  
hold for matrices.

11.B.10 Example We modify the second proof  
in 11.B.8 with the following formulation:  
Suppose that  $\chi_f = (X - \lambda_1) \dots (X - \lambda_n)$  where



$\lambda_1, \dots, \lambda_n$  are the zeros of  $\chi_f$  counted with their multiplicities. Let  $U_j = \text{Ker}((f - \lambda_1 \text{id}) \dots (f - \lambda_j \text{id}))$ ,  $j = 0, \dots, n$ . Then  $0 = U_0 \subseteq U_1 \subseteq \dots \subseteq U_n = V$  and  $(f - \lambda_j \text{id}) U_j \subseteq U_{j-1}$ ,  $j = 1, \dots, n$ . But in general,  $U_j$  do not form a flag. ~~∴~~ Now, we choose a basis  $x_1, \dots, x_n$  of  $V$  such that the part  $x_1, \dots, x_{n_j}$ ,  $n_j = \text{Dim}_K U_j$  form a basis of  $U_j$  and the matrix of  $f$  with respect to this basis is an upper triangular matrix. Numbering the zeros  $\lambda_1, \dots, \lambda_n$  such that the equal zeros are consecutively numbered so that  $\lambda_1, \dots, \lambda_n$  is also the sequence on the main diagonal of this upper triangular matrix.

The first implication (2)  $\Rightarrow$  (1) in 11.B.8 lead to the following process for constructing an  $f$ -invariant flag of  $V$ . Consider the sequence of images  $W_j := \text{Im}((f - \lambda_1 \text{id}) \dots (f - \lambda_j \text{id}))$ ,  $j = 0, \dots, n$ , where  $\chi_f = (X - \lambda_1) \dots (X - \lambda_n)$ . Then  $0 = W_0 \subseteq W_1 \subseteq \dots \subseteq W_n = V$  and every flag of  $V$  containing these subspaces  $W_j$  is invariant under  $f$ .

11.B.11 Example Nilpotent operators and matrices are diagonalisable and hence 11.B.8 holds for them.

11.B.12 Example Let  $f: V \rightarrow V$  be a diagonalisable operator on the finite dimensional  $K$ -vector space  $V$ .

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Then there is a basis  $\underline{v} = \{v_1, \dots, v_n\}$ ,  $n = \dim_K V$ , of  $V$  such that the matrix  $M_{\underline{v}}^{\underline{v}}(f)$  of  $f$  with respect to  $\underline{v}$  is an upper triangular matrix

$$M_{\underline{v}}^{\underline{v}}(f) = \begin{pmatrix} \lambda_1 & * & \dots & * \\ 0 & \lambda_2 & \dots & * \\ & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & \lambda_n \end{pmatrix}$$

Therefore the characteristic polynomial of  $f$  is  $\chi_f = (X - \lambda_1) \dots (X - \lambda_n)$ . Let  $F \in K[X]$  be an arbitrary polynomial, then the matrix of  $F(f)$  with respect to the same basis  $\underline{v}$  is:

$$M_{\underline{v}}^{\underline{v}}(F(f)) = F(M_{\underline{v}}^{\underline{v}}(f)) = \begin{pmatrix} F(\lambda_1) & * & \dots & * \\ 0 & F(\lambda_2) & \dots & * \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & F(\lambda_n) \end{pmatrix}$$

In particular, the operator  $F(f)$  is also triangularisable and its characteristic polynomial  $\chi_{F(f)}$  is

$$\chi_{F(f)} = (X - F(\lambda_1)) \dots (X - F(\lambda_n)).$$

In particular,

$$\det F(f) = \prod_{i=1}^n F(\lambda_i), \quad \text{Tr } F(f) = \sum_{i=1}^n F(\lambda_i).$$

Note that  $\chi_f = X^n - s_1 X^{n-1} + s_2 X^{n-2} - \dots + (-1)^n s_n$ , where  $s_i := S_i(\lambda_1, \dots, \lambda_n)$  are the  $i$ -th elementary symmetric function in elements  $\lambda_1, \dots, \lambda_n$ . The sums of powers  $p_m = \sum_{i=1}^n \lambda_i^m$  are the  $\text{Tr } f^m$ ,  $m \in \mathbb{N}^*$ .

The Newton's formulas:

$$\text{Tr } f^{m+1} + \sum_{k=1}^m (-1)^k s_k \text{Tr } f^{m+1-k} + (m+1)(-1)^{m+1} s_{m+1} = 0,$$

$m \geq 0$  and  $s_m = 0$  for  $m > n$ .

These equations between the coefficients of  $\chi_f$  and the traces  $\text{Tr} f^m$ ,  $m \in \mathbb{N}^*$ , also hold for not necessarily diagonalisable operators  $f$ .

By 10.A.30 there exists a (finite) field extension  $L|K$  such that  $\chi_f$  splits into linear factors in  $L[X]$ . Now, if  $\mathcal{L} \in M_n(K)$  is the matrix of  $f$  with respect to an arbitrary basis  $\underline{v}$  of  $V$ , then  $\mathcal{L}$  is diagonalisable over  $L$ , since  $\chi_{\mathcal{L}} = \chi_f$ .

We now go on to prove some results on simultaneous diagonalisability and triangularisability.

Let  $f$  and  $g$  be diagonalisable operators on the vector space  $V$  with the spectral-decompositions

$$f = \sum_{\lambda} \lambda p_f(\lambda) \quad \text{and} \quad g = \sum_{\lambda} \lambda p_g(\lambda).$$

Then  $f$  and  $g$  are said to be simultaneously diagonalisable if there is a basis of  $V$  consisting of common eigen-vectors for  $f$  and  $g$ .

We put

$$V(\lambda, \lambda') := V_f(\lambda) \cap V_g(\lambda'), \quad \lambda, \lambda' \in K.$$

Then  $f$  and  $g$  are simultaneously diagonalisable if and only  $V = \sum_{\lambda, \lambda' \in K} V(\lambda, \lambda')$ . This sum is obviously

direct. Simultaneous diagonalisable operators  $f, g$  are always commuting, since for  $x \in V(\lambda, \lambda')$ , we have  $f g(x) = f(\lambda' x) = \lambda' f(x) = \lambda' \lambda x = \lambda \lambda' x = g(\lambda x) = g f(x)$ . The converse is also true, for this



We need the following lemma:

11.B.14 Lemma Let  $f$  and  $g$  be diagonalisable operators on the  $K$ -vector space  $V$  with the spectral-decomposition  $f = \sum_{\lambda} \lambda p_f(\lambda)$  and  $g = \sum_{\lambda'} \lambda' p_g(\lambda')$ .

Then  $f$  and  $g$  commute if and only if for all  $\lambda, \lambda' \in K$ , the projections  $p_f(\lambda)$  and  $p_g(\lambda')$  commute.

Proof Since the projections of the spectral-decompositions of  $f$  and  $g$  commute, we have

$$fg = \sum_{\lambda, \lambda'} \lambda \lambda' p_f(\lambda) p_g(\lambda') = \sum_{\lambda, \lambda'} \lambda' \lambda p_g(\lambda') p_f(\lambda) = gf.$$

Conversely, suppose that  $f$  and  $g$  commute. Then the eigen-spaces  $V_f(\lambda)$  are invariant under  $g$  and the eigen-spaces  $V_g(\lambda')$  are invariant under  $f$ . Since if  $x \in V_f(\lambda)$ , then  $f(x) = \lambda x$  and hence  $f(g(x)) = g(f(x)) = g(\lambda x) = \lambda g(x)$ , i.e.  $g(x) \in V_f(\lambda)$ . Now, it follows that the eigen-spaces  $V_f(\lambda)$  of  $f$  are also invariant under all projections  $p_g(\lambda')$ ,  $\lambda' \in K$ . For, if  $x = \sum_{\lambda'} x_{\lambda'}$ ,  $x_{\lambda'} \in V_g(\lambda')$  and  $f(x) = \lambda x$ ,

$$\text{then } f(x) = \sum_{\lambda'} \lambda x_{\lambda'} = \sum_{\lambda'} f(x_{\lambda'}) \text{ and hence } f(x_{\lambda'}) = \lambda x_{\lambda'}, \text{ i.e. } f(p_g(\lambda')(x)) = \lambda p_g(\lambda')(x).$$

Finally, if  $\lambda, \lambda' \in K$  and  $x = \sum_{\alpha} x_{\alpha} \in V$ ,  $x_{\alpha} \in V_f(\alpha)$ .

$$\begin{aligned} \text{Then } p_f(\lambda) p_g(\lambda')(x) &= \sum_{\alpha} p_f(\lambda) p_g(\lambda')(x_{\alpha}) = p_g(\lambda')(x_{\lambda}) \\ &= p_g(\lambda') p_f(\lambda)(x) \text{ and hence } p_f(\lambda) p_g(\lambda') = p_g(\lambda') p_f(\lambda). \end{aligned}$$

Now we can <sup>easily</sup> prove:

11.B.15 Theorem Let  $f_1, \dots, f_r$  be diagonalisable operators on the  $K$ -vector space  $V$ . Then  $f_1, \dots, f_r$  are simultaneously diagonalisable if and only if  $f_1, \dots, f_r$  are pairwise commuting, i.e.  $f_i f_j = f_j f_i$  for all  $1 \leq i, j \leq r$ . Moreover, in this case we have

$$V = \sum_{\lambda_1, \dots, \lambda_r \in K}^{\oplus} V(\lambda_1, \dots, \lambda_r)$$

$$\begin{aligned} \text{with } V(\lambda_1, \dots, \lambda_r) &:= V_{f_1}(\lambda_1) \cap \dots \cap V_{f_r}(\lambda_r) \\ &= \text{Im } p_{f_1}(\lambda_1) \dots p_{f_r}(\lambda_r) \end{aligned}$$

Proof Suppose <sup>that</sup>  $f_1, \dots, f_r$  are pairwise commuting. For  $\lambda_1, \dots, \lambda_r \in K$ , the projections  $p_{f_1}(\lambda_1), \dots, p_{f_r}(\lambda_r)$  are pairwise commuting by 11.B.14. Therefore  $p_{f_1}(\lambda_1) \dots p_{f_r}(\lambda_r)$ ,  $\lambda_1, \dots, \lambda_r \in K$ , is a family of projections and this family satisfy the conditions of 5.F.9. The equation  $\sum_{\lambda_1, \dots, \lambda_r \in K} p_{f_1}(\lambda_1) \dots p_{f_r}(\lambda_r) = \text{id}_V$  directly follows

from the equations  $\sum_{\lambda_i \in K} p_{f_i}(\lambda_i) = \text{id}_V$ ,  $i=1, \dots, r$ , by

multiplying them. Therefore by 5.F.9 it follows that  $\text{Im } p_{f_1}(\lambda_1) \dots p_{f_r}(\lambda_r) = \bigcap_{i=1}^r \text{Im } p_{f_i}(\lambda_i) = \bigcap_{i=1}^r V_{f_i}(\lambda_i)$ .

Conversely, if  $f_1, \dots, f_r$  are simultaneously diagonalisable, then  $f_1, \dots, f_r$  are pairwise commutative.



11.B.16 Example One can prove 11.B.15 in some what more general situation: If  $f_j, j \in J$  is a family of diagonalisable operators, then it is simultaneously diagonalisable if the family  $f_j, j \in J$ , is pairwise commuting and they generate finite dimensional subspace. For, if  $x_i, i \in I$ , is a basis consisting of common eigen-vectors for a family  $f_j, j \in J$  of operators, then  $x_i, i \in I$  is also a basis consisting of eigen-vectors for every linear combination of these operators, moreover, even for every polynomial in  $f_j, j \in J$ . In particular, if  $f_1, \dots, f_r$  are pairwise commuting diagonalisable operators on the  $K$ -vector space  $V$ , then every polynomial in the operators  $f_1, \dots, f_r$  is also diagonalisable.

For matrices the formulation of 11.B.15 is: If  $A_j, j \in J$ , is a family of diagonalisable matrices in  $M_I(K)$ ,  $I$  finite set and if  $A_j, j \in J$ , are pairwise commuting, then  $A_j, j \in J$ , are simultaneously diagonalisable, i.e. there exists a  $L \in GL_I(K)$  such that all the matrices  $L A_j L^{-1}, j \in J$ , are diagonal matrices.

Pairwise commuting triangularisable operators are also simultaneously triangularisable:

11.B.17 Theorem Let  $f_1, \dots, f_r$  be triangularisable operators on the  $n$ -dimensional  $K$ -vector space  $V$ . If  $f_1, \dots, f_r$  are pairwise commuting, then they are

simultaneously diagonalisable, i.e. there exists a flag  $0 = V_0 \subsetneq V_1 \subsetneq \dots \subsetneq V_n = V$  of  $V$  such that each  $V_i, i=1, 2, \dots, n$ , is invariant under all  $f_1, \dots, f_r$ .

Proof The proof is completely analogous to the proof of the implication (2)  $\Rightarrow$  (1) in 11.B.9. For  $V \neq 0$ , it is enough to construct a common eigen-vector for all  $f_1, \dots, f_r$ . This is done by induction on  $r$ . For the inductive step from  $r$  to  $r+1$ , let  $x$  be an eigen-vector of  $f_1, \dots, f_r$  corresponding to eigen-values  $\lambda_1, \dots, \lambda_r \in K$ . Then  $x \in U := V_{f_1}(\lambda_1) \cap \dots \cap V_{f_r}(\lambda_r)$ . Since  $f_{r+1}$  commutes with all  $f_1, \dots, f_r$ ,  $U$  is  $f_{r+1}$ -invariant. The restriction  $f_{r+1}|_U$  is also diagonalisable since the characteristic polynomial of  $f|_U$  is a divisor of the characteristic polynomial of  $f_{r+1}$ . In particular,  $U$  contains an eigen-vector  $y$  of  $f_{r+1}$ . Then  $y$  is an eigen-vector for all  $f_1, \dots, f_r, f_{r+1}$ .

11.B.17 also hold for an arbitrary family  $f_j, j \in J$ , of pairwise commuting diagonalisable operators on the finite dimensional  $K$ -vector space see the first part of the Example 11.B.16. Further: <sup>It follows that</sup> If  $f_1, \dots, f_r$  are pairwise commuting diagonalisable operators on the (finite dimensional)  $K$ -vector space  $V$ , then every polynomial in  $f_1, \dots, f_r$  is also diagonalisable, see Example 11.B.13. One can also give a matrix-theoretic formulation of 11.B.17 which we leave it to the reader.