

## 9.C Determinant - Functions

As in earlier subsection, let  $I$  be a finite indexed set. For a finite dimensional  $K$ -vector space  $V$ , by 9.B.6 only interesting alternating multilinear maps  $V^I \rightarrow W$  are with  $\#I \leq \text{Dim}_K V$ . In this subsection, we will study the case  $\#I = \text{Dim}_K V$ .

9.C.1 Definition Let  $V$  be a finite dimensional  $K$ -vector space. An alternating  $I$ -multi-linear form  $V^I \rightarrow K$  is called a determinant-function on  $V$  if  $\#I = \text{Dim}_K V$ .

Before we describe the determinant function, we mention the following useful Lemma, which follows directly from the general distributive law for multilinear maps:

9.C.2 Lemma Let  $v_i, i \in I$ , be a system of elements in a  $K$ -vector space  $V$ . For an alternating multi-linear map  $f: V^I \rightarrow W$  and an  $I$ -tuple  $(x_j)_{j \in I}$  of linear combinations  $x_j =$

$\sum_{i \in I} a_{ij} v_i$ , we have:

$$f\left((x_j)_{j \in I}\right) = \sum_{\sigma \in \mathcal{S}(I)} \left( \text{Sign } \sigma \prod_{j \in I} a_{\sigma(j), j} \right) f\left(\left(v_j\right)_{j \in I}\right)$$

Proof It follows from the general distributive law:

$$f((x_j)_{j \in I}) = \sum_{\substack{(i_j)_{j \in I} \\ j \in I}} \left( \prod_{j \in I} a_{i_j, j} \right) f((v_{i_j})_{j \in I})$$

Since  $f$  is alternating,  $f((v_{i_j})_{j \in I}) = 0$  for all  $(i_j)_{j \in I} \in I^I$  which are not permutations of  $I$ .

But, if  $(i_j)_{j \in I}$  is a permutation  $\sigma \in \mathcal{S}(I)$ , i.e.  $\sigma(j) = i_j$  for all  $j \in I$ , then (by 9.B.4)

$$f((v_{i_j})_{j \in I}) = f((v_{\sigma(j)})_{j \in I}) = \text{Sign } \sigma f((v_j)_{j \in I}).$$

Now, the assertion follows by substitution.

The following theorem is the Main Theorem on the Determinant-theory.

9.C.3 Theorem Let  $\underline{v} = (v_i)_{i \in I}$  be a basis of the finite dimensional  $K$ -vector space  $V$ .

Then there exists a unique determinant-function  $\Delta_{\underline{v}}: V^I \rightarrow K$  such that  $\Delta_{\underline{v}}(\underline{v}) = 1$ .

Moreover, for an arbitrary  $I$ -tuple  $(x_j)_{j \in I}$  with  $x_j = \sum_{i \in I} a_{ij} v_i$ ,  $j \in I$ , we have:

$$\begin{aligned} \Delta_{\underline{v}}((x_j)_{j \in I}) &= \sum_{\sigma \in \mathcal{S}(I)} (\text{Sign } \sigma) \prod_{j \in I} a_{\sigma(j), j} \\ &= \sum_{\sigma \in \mathcal{S}(I)} (\text{Sign } \sigma) \prod_{j \in I} a_{i_j, \sigma(i_j)}. \end{aligned}$$

Proof The uniqueness and the first equality directly follows from 9.C.2.

For a proof of uniqueness, let  $v_i^*$ ,  $i \in I$ , be the dual basis of  $\underline{v}$  and let  $f := \bigotimes_{i \in I} v_i^*$  be the product of  $v_i^*$ ,  $i \in I$ , i.e. the map  $f: V^{\pm} \rightarrow K$  defined by  $f((x_i)_{i \in I}) := \prod_{i \in I} v_i^*(x_i)$ . Then by 9.B.7 the map

$$\Delta_{\underline{v}} := Af = \sum_{\sigma \in \mathcal{S}(I)} (\text{Sign } \sigma) \sigma f$$

is alternating  $\pm$ -multilinear and

$$\begin{aligned} \Delta_{\underline{v}}((x_j)_{j \in I}) &= \sum_{\sigma \in \mathcal{S}(I)} (\text{Sign } \sigma) \prod_{i \in I} v_i^*(x_{\sigma i}) \\ &= \sum_{\sigma \in \mathcal{S}(I)} (\text{Sign } \sigma) \prod_{i \in I} a_{i, \sigma i} \end{aligned}$$

In particular,  $\Delta_{\underline{v}}((v_j)_{j \in I}) = 1$  and  $\Delta_{\underline{v}} = Af$  is the required determinant-function.

The equality of the both representations of  $\Delta_{\underline{v}}((x_j)_{j \in I})$  in 9.C.3 also follows directly from the equalities:

$$\prod_{j \in I} a_{\sigma j, j} = \prod_{i \in I} a_{i, \sigma^{-1} i} \text{ and } \text{Sign } \sigma = \text{Sign } \sigma^{-1}$$

for every permutation  $\sigma \in \mathcal{S}(I)$ .

9.C.4 Corollary Let  $\underline{v} = (v_i)_{i \in I}$  be a basis

of the finite dimensional  $K$ -vector space  $V$  and let  $\Delta_{\underline{v}} : V^{\mathbb{I}} \rightarrow K$  be the corresponding determinant-function as in 9.C.3. Then for every  $\mathbb{I}$ -multi-linear alternating map  $f : V^{\mathbb{I}} \rightarrow W$  and every  $\mathbb{I}$ -tuple  $(x_j)_{j \in \mathbb{I}} \in V^{\mathbb{I}}$ , we have:

$$f\left((x_j)_{j \in \mathbb{I}}\right) = \Delta_{\underline{v}}\left((x_j)_{j \in \mathbb{I}}\right) f\left((v_j)_{j \in \mathbb{I}}\right)$$

Proof The assertion follows directly from 9.C.2 and 9.C.3.

Therefore, with the notations as in 9.C.4, the map  $f \mapsto f\left((v_j)_{j \in \mathbb{I}}\right)$  from the space

$\text{Alt}(\mathbb{I}; V, W)$  of alternating  $\mathbb{I}$ -multi-linear maps  $V^{\mathbb{I}} \rightarrow W$ , onto  $W$ , is an isomorphism.

In particular,

9.C.5 Corollary Let  $\underline{v} = (v_i)_{i \in \mathbb{I}}$  be a basis of the finite dimensional  $K$ -vector space  $V$ . Then  $\Delta_{\underline{v}}$  is a basis of the 1-dimensional  $K$ -vector space of the determinant-functions  $V^{\mathbb{I}} \rightarrow K$ .

We apply 9.C.3 to the  $K$ -vector space  $K^{\mathbb{I}}$  and the standard basis  $e_i, i \in \mathbb{I}$ . The elements of  $K^{\mathbb{I}}$  are interpreted as column-vectors. An  $\mathbb{I}$ -tuple  $(x_j)_{j \in \mathbb{I}}$  of vectors  $x_j \in K^{\mathbb{I}}, j \in \mathbb{I}$ , is therefore an  $\mathbb{I} \times \mathbb{I}$ -matrix  $\mathcal{A} = (a_{ij}) \in M_{\mathbb{I}}(K)$  whose columns

are  $x_j = \sum_{i \in I} a_{ij} e_i$ ,  $j \in I$ .

Now, let  $\Delta_{\underline{e}}$  be the determinant-function corresponding to the standard basis  $\underline{e} = (e_i)_{i \in I}$  of  $K^I$  as in 9.C.3 and therefore  $\Delta_{\underline{e}}(\underline{e}) = 1$ .

This function  $\Delta_{\underline{e}}$  is called the standard-determinant function on  $K^I$ . By 9.C.3 we have  $\Delta_{\underline{e}}((x_j)_{j \in I}) = \text{Det } \mathcal{M}$ , where the determinant  $\text{Det } \mathcal{M}$  of the matrix  $\mathcal{M} \in M_I(K)$  is defined as follows:

9.C.6 Definition For a square  $I \times I$  matrix  $\mathcal{M} = (a_{ij}) \in M_I(K)$ , the element (of  $K$ )

$$\begin{aligned} |\mathcal{M}| := \text{Det } \mathcal{M} &:= \sum_{\sigma \in \mathcal{S}(I)} \text{Sign } \sigma \prod_{j \in I} a_{\sigma j, j} \\ &= \sum_{\sigma \in \mathcal{S}(I)} \text{Sign } \sigma \prod_{i \in I} a_{i, \sigma i} \end{aligned}$$

is called the determinant of the matrix  $\mathcal{M}$ . The function  $\text{Det}: M_I(K) \rightarrow K$ ,  $\mathcal{M} \mapsto \text{Det } \mathcal{M}$ , is called the determinant-function on  $M_I(K)$ .

The determinant  $\text{Det } \mathcal{E}_I$  of the unit-matrix  $\mathcal{E}_I \in M_I(K)$  is 1. From the equality of the both sum-formulas for  $\text{Det } \mathcal{M}$ , it follows that the determinant does not change by transition to the transpose of matrix. Therefore:

9.C.7 Theorem For every matrix  $A \in M_I(K)$ , we have  $\text{Det } A = \text{Det } {}^t A$ .

In the situation of 9.C.3, we have:

$$\Delta_{\underline{v}} \left( (x_j)_{j \in I} \right) = \text{Det} (a_{ij}) \text{ for } (x_j)_{j \in I} \in V^I,$$

where  $x_j = \sum_{i \in I} a_{ij} v_i$ ,  $j \in I$ . In particular:

9.C.8 Theorem Let  $\underline{v} = (v_i)_{i \in I}$  and  $\underline{v}' = (v'_i)_{i \in I}$  be two bases of the finite dimensional  $K$ -vector space  $V$  and let  $\mathcal{L} = (b_{ij}) \in GL_I(K)$  be the transition matrix from  $\underline{v}$  to  $\underline{v}'$ , i.e.

$$v_j = \sum_{i \in I} b_{ij} v'_i, \quad j \in I. \text{ Then}$$

$$\Delta_{\underline{v}'} = (\text{Det } \mathcal{L}) \cdot \Delta_{\underline{v}} \text{ or } \Delta_{\underline{v}} = (\text{Det } \mathcal{L})^{-1} \cdot \Delta_{\underline{v}'}$$

Proof It follows that

$$\Delta_{\underline{v}'} \left( (v'_j)_{j \in I} \right) = \text{Det } \mathcal{L} = (\text{Det } \mathcal{L}) \Delta_{\underline{v}} \left( (v_j)_{j \in I} \right)$$

and hence  $\Delta_{\underline{v}'} = (\text{Det } \mathcal{L}) \cdot \Delta_{\underline{v}}$ .

9.C.9 Theorem Let  $\Delta: V^I \rightarrow K$  be a non-zero determinant-function. Then  $(x_i)_{i \in I} \in V^I$  is a basis of  $V$  if and only if  $\Delta \left( (x_i)_{i \in I} \right) \neq 0$ .

Proof If  $(x_i)_{i \in I}$  are linearly dependent, then  $\Delta \left( (x_i)_{i \in I} \right) = 0$  by 9.B.5. Now, let  $\underline{x} = (x_i)_{i \in I}$  be a

basis of  $V$ . Then  $\Delta = a \Delta_{\underline{x}}$  with  $a = \Delta((x_i)_{i \in I})$  (by 9.C.5). Since  $\Delta \neq 0$ , it follows that  $a \neq 0$  also.

9.C.10 Corollary Let  $\mathcal{A} = (a_{ij}) \in M_{\mathbb{I}}(K)$  be a square  $\mathbb{I} \times \mathbb{I}$  matrix over  $K$ . Then  $\mathcal{A}$  is invertible if and only if  $\text{Det } \mathcal{A} \neq 0$ .

Proof Note that  $\mathcal{A}$  is invertible if and only if the columns  $x_j, j \in \mathbb{I}$ , of  $\mathcal{A}$  form a basis of  $K^{\mathbb{I}}$ . Now, since  $\text{Det } \mathcal{A} = \Delta_{\underline{e}}((x_j)_{j \in \mathbb{I}})$ , the assertion follows from 9.C.9.

The rank of a matrix can also be characterized by using determinants. We consider an  $m \times n$  matrix

$$\mathcal{A} = \begin{pmatrix} a_{11} & \cdots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{m1} & \cdots & a_{mn} \end{pmatrix}$$

For subsets  $I = \{i_1, \dots, i_r\}$  and  $J = \{j_1, \dots, j_r\}$  of  $\{1, \dots, m\}$  and  $\{1, \dots, n\}$  respectively with  $1 \leq i_1 < \dots < i_r \leq m$  and  $1 \leq j_1 < \dots < j_r \leq n$ , the determinant of the  $r \times r$ -submatrix

$$\mathcal{A}_{I,J} = \mathcal{A}(i_1, \dots, i_r; j_1, \dots, j_r) := \begin{pmatrix} a_{i_1, j_1} & \cdots & a_{i_1, j_r} \\ \vdots & \ddots & \vdots \\ a_{i_r, j_1} & \cdots & a_{i_r, j_r} \end{pmatrix}$$

of  $\mathcal{A}$ , is called a  $r$ -minor or a minor of order  $r$  of  $\mathcal{A}$ . The diagonal-submatrices  $\mathcal{A}_{I,I} = \mathcal{A}(i_1, \dots, i_r; i_1, \dots, i_r)$  are simply denoted by  $\mathcal{A}_I = \mathcal{A}(i_1, \dots, i_r)$ . Minors

of the type  $\text{Det } \alpha(i_1, \dots, i_r)$  are called diagonal-minors and the determinants of the matrices  $\alpha(1, \dots, r)$ ,  $r \leq \text{Min}(m, n)$  are called principal-minors.

9.C.11 Minor-Criterion for the Rank Let  $\alpha \in M_{m,n}(K)$  be a matrix of rank  $r$  ( $\leq \text{Min}(m, n)$ ).

Then all minors of an order  $> r$  of  $\alpha$  are 0 and there exists a minor of order  $r$  of  $\alpha$  which is not 0.

Proof Let  $s > r$  and  $1 \leq i_1 < \dots < i_s \leq m$ ,  $1 \leq j_1 < \dots < j_s \leq n$ .

The submatrix

$$\alpha(i_1, \dots, i_s; j_1, \dots, j_s) = \begin{pmatrix} a_{i_1, j_1} & \dots & a_{i_1, j_s} \\ \vdots & \ddots & \vdots \\ a_{i_s, j_1} & \dots & a_{i_s, j_s} \end{pmatrix}$$

of  $\alpha$ , has rank at most  $r$  and hence is not invertible. Therefore, its determinant is 0 and hence all minors of order  $> r$  of  $\alpha$  are 0.

There exist  $r$  linearly independent columns of  $\alpha$ , say of indices,  $j_1 < \dots < j_r$ . The matrix with these columns is then has the maximal rank  $r$ .

Among the  $m$  rows of this matrix, there are  $r$  linearly independent rows, say of indices,  $i_1 < \dots < i_r$ . Then the matrix  $\alpha(i_1, \dots, i_r; j_1, \dots, j_r) =$

$$\begin{pmatrix} a_{i_1, j_1} & \dots & a_{i_1, j_r} \\ \vdots & \ddots & \vdots \\ a_{i_r, j_1} & \dots & a_{i_r, j_r} \end{pmatrix} \text{ (which is a } r\text{-minor of } \alpha \text{)}$$

determinant is not 0 by 9.C.10.



9.C.12 Example Let  $K \subseteq L$  be an extension of fields and let  $A \in M_{m,n}(K)$ . From 9.C.11 it follows that the rank of  $A$ , considered as matrix over  $K$  or as matrix over  $L$  are equal. This was already applied in Exercise