

## NOTES FOR 18 SEPT (TUESDAY)

### 1. RECAP

- (1) Discussed the deleted comb space and the topologist's sine curve.
- (2) Defined connected components and proved that they are the largest connected subsets (and that they are closed).
- (3) Defined path connected components and stated a similar result.

### 2. COMPONENTS AND LOCAL CONNECTEDNESS

If you take the topologist's sine curve, it has only one connected component but 2 path connected components (the vertical line  $S$  and the curve  $\tilde{S} \ y = \sin(1/x)$ ). Now  $\tilde{S}$  is obviously open (why?) in the space and hence its complement  $S$  is closed in the space. But  $\tilde{S}$  is not closed and neither is  $S$  open. If one removes some points from  $S$  (namely the ones having rational  $y$ -coordinate), then the resulting space is still connected (because it is between  $\tilde{S}$  and its closure) but it has uncountably many path components.

Now we deal with local properties (and the important question of when path connected spaces are connected).

**Definition :** A space is said to be locally connected at  $x$  if for every neighbourhood  $U$  of  $x$ , there is a connected neighbourhood  $x \in V \subset U$ . Likewise for locally path connected.

The real line is (locally) path connected. However,  $(-1, 1) \cup (2, 3)$  is not connected but is locally connected. The topologist's sine curve is connected but not locally path connected. The rationals are neither connected nor locally so.

**Theorem 2.1.** *A space  $X$  is locally connected if and only if for every open set  $U \subset X$ , each component of  $U$  is open in  $X$ .*

*Proof.* If  $X$  is locally connected, then suppose  $C \subset U$  is a component of  $U$ . Then if  $x \in C$ , by local connectedness,  $x \in V \cap U$  is an open subset that is connected and hence  $V \subset C$ . This means that  $C$  is open in  $U$  and hence in  $X$ .

Conversely, suppose  $x \in U \subset X$ . Consider the component  $V \subset U$  containing  $x$ . Since  $V$  is open in  $X$  and connected in  $U$ , this means that it is connected in  $X$ .  $\square$

Likewise, one can prove that a space is locally path connected if and only if every path component is open. Since the complement of a path component is a union of path components (each of which is open), each path component is also closed in this case.

Finally, we have a relationship between path components and components.

**Theorem 2.2.** *Each path component of  $X$  lies in a component. If  $X$  is locally path connected, they coincide.*

*Proof.* A path component  $C$  is path connected and hence connected. Therefore it lies in a component. Suppose  $C$  is a component. Fix a point  $x \in C$ . Let  $P \subset C$  be the path component containing  $x$ . By local path connectedness,  $P, C$  are clopen in  $X$ . Now  $C = P \cup (P^c \cap C)$  is a separation.  $\square$

### 3. COMPACTNESS

One of the aims is to find a condition on  $X$  to generalise the extreme value theorem. Originally it was thought that “every sequence has a convergent subsequence” should be the correct property. Unfortunately, this is not general enough. By trial and error one comes up with the following (non-intuitive) definition.

**Definition 3.1.** A collection of subsets is said to *cover*  $X$  if the union of all its elements is  $X$ . If these subsets are open, such a cover is called an *open cover*. A collection of subsets of  $X$  is said to cover a *subspace*  $Y$  if their union *contains*  $Y$ .

A topological space  $X$  is said to be compact if every open cover has a finite subcover, i.e., a finite subcollection of open sets that continue to cover  $X$ .

Examples and non-examples :

- (1) Obviously any finite topology, i.e., having finitely many open sets is compact.
- (2) The real line is not compact because the sets  $(n - 1, n + 1)$  cover it but cannot have a finite subcover.
- (3) The subspace  $X = \{0\} \cup \{\frac{1}{n}\}$  where  $n \in \mathbb{Z}_+$  is compact. Indeed, given an open cover of  $X$ , there is an element  $U$  containing 0. Hence  $(-\frac{1}{N}, \frac{1}{N}) \subset U$  for some large  $N$ . Thus, all but finitely many  $\frac{1}{n}$  are in  $U$ . The remaining finitely many elements are in finitely many open sets.
- (4) The interval  $(0, 1]$  is not compact. Indeed, take the open cover  $(\frac{1}{n}, 1]$ . This cannot have a finite subcover. Likewise, neither is  $(0, 1)$ . But it turns out that  $[0, 1]$  is compact. We shall prove that soon.

The notion of compactness is a topological notion that behaves well with the subspace topology.

**Theorem 3.2.** A subspace  $Y$  of  $X$  is compact in the subspace topology if and only if for every open cover of  $Y$  in  $X$  (every collection of open subsets of  $X$  whose union contains  $Y$ ) there is a finite subcollection of open subsets of  $X$  whose union contains  $Y$ .

*Proof.* IF : Suppose  $\mathcal{A}$  is a collection of open subsets of  $Y$  that cover  $Y$ . Then every element of  $\mathcal{A}$  is of the form  $U \cap A$  where  $U$  is an open subset of  $X$ . The collection of  $U$  covers  $Y$  in  $X$ . Hence  $Y \subset U_1 \cup U_2 \dots U_n$ . Thus,  $Y = (U_1 \cap Y) \cup (U_2 \cap Y) \dots$

ONLY IF : If  $Y \subset \cup_{i \in I} U_i$ , where  $U_i$  are open in  $X$ , then let  $\mathcal{A}$  consist of  $U_i \cap Y$ . By compactness, wlog,  $Y = (U_1 \cap Y) \cup (U_2 \cap Y) \dots (U_n \cap Y)$ . Therefore,  $Y \subset U_1 \cup U_2 \dots U_n$ .  $\square$

Now we have a couple of theorems relating closedness and compactness.

**Lemma 3.3.** A closed subset  $A$  of a compact set  $X$  is compact.

*Proof.* Suppose  $A \subset \cup_{i \in I} U_i$ . Then consider the open cover of  $X$  given by  $U_i$  and  $A^c$ . Since  $X$  is compact, a finite subcover exists, which obviously covers  $A$  as well.  $\square$

More non-trivially,

**Theorem 3.4.** Compact subsets  $A$  of Hausdorff spaces  $X$  are closed.

*Proof.* Suppose  $x \in A^c$ . We need to show that there is an open neighbourhood  $x \in U \subset A^c$ . We shall show something stronger than this fact.

**Lemma 3.5.** *If  $A \subset X$  is compact, and  $X$  is Hausdorff, then for every  $x \in A^c$ , there exist two disjoint open subsets  $U, V \subset X$  such that  $x \in U$  and  $A \subset V$ .*

*Proof.* For every  $a \in A$ , by Hausdorffness, there exist disjoint open neighbourhoods  $a \in V_a, x \in U_a \subset X$ . Now,  $A \subset \cup_a V_a$ . Therefore, there are finitely many  $V_{a_i}$  covering  $A$ . Let  $U = \cap_i U_{a_i}$ .  $U$  is a finite intersection and hence open.  $\cup_i V_{a_i} = V$  is open. Obviously,  $U \cap V = \emptyset$ . □

The Hausdorff condition is important as is seen in  $X = \{a, b, c\}$  with the topology being  $\tau = \{\emptyset, X, \{a, b\}\}$ .

Once we prove that  $[a, b] \in \mathbb{R}$  is compact, we see that any closed subspace of it is. Also,  $(a, b)$  and  $(a, b]$  are not compact because they are not closed subsets of the Hausdorff space  $\mathbb{R}$ .

Just as for connected sets,

**Proposition 3.6.** *The image of a compact space  $X$  under a continuous function  $f : X \rightarrow Y$  is compact.*

*Proof.* Left as an exercise. □