# MA 235 - Lecture 6

### 1 Recap

- 1. Definition of smooth manifolds
- 2. "Forget the adjective maximal" theorem.
- 3. Examples.

## 2 Smooth manifolds

Examples (cont'd...)

- 1. Spheres  $S^n$ : Consider  $\sum_i (y^i)^2 = 1$  as a subspace of  $\mathbb{R}^{n+1}$ . It is compact and Hausdorff. Here is a smooth atlas: Let  $U_i^+$  be the open set where  $y_i > 0$  and likewise for  $U_i^-$ . These sets cover the sphere. Now  $U_i^{\pm} \cap S^n$  are graphs and hence possess graph coordinates:  $\phi_i^{\pm}(y) = (y^1, y^2, \dots, y^{i-1}, y^{i+1}, \dots, y^n)$ . hecking that transition maps are diffeomorphisms is an exercise.
- 2. Level sets: The example of spheres can be generalised. Let  $U \subset \mathbb{R}^k$  be open and  $f: U \to \mathbb{R}$  be smooth. Suppose  $\nabla f(a) \neq 0$  whenever f(a) = 0. Then we claim that  $f^{-1}(0)$  with the subspace topology can be made into a smooth manifold (HW).
- 3. Tori:  $S^1 \times S^1 \dots S^1$  is a torus.
- 4. Real projective spaces  $\mathbb{RP}^n$ : Consider the set of lines through the origin in  $\mathbb{R}^{n+1}$ . Every line can be given by a non-zero vector (upto scaling). Thus we have the quotient  $\mathbb{RP}^n = \frac{\mathbb{R}^{n+1}-0}{X \sim \lambda X \mid \lambda \in \mathbb{R}_+}$ . Endow this set with the quotient topology. It is Hausdorff (why?) Cover it with  $U_i = \{[X] \mid X^i \neq 0\}$  (why are  $U_i$  open?). Consider  $\phi_i : U_i \to \mathbb{R}^n$  given by  $\phi_i([X]) = (\frac{X^0}{X^i}, \frac{X^1}{X^i}, \frac{X^{i+1}}{X^i}, \ldots)$ . These  $\phi_i$  are homeomorphisms. Hence  $\mathbb{RP}^n$  is second-countable. The transition maps are smooth (why?)  $\mathbb{RP}^n$  is also compact (why?)

## **3** Topological and smooth manifolds-with-boundary

We can have constrained optimisation problems where the the domain has a "boundary". We would want the boundary to also be "smooth". (So that we inductively apply our methods.) Just as manifolds are locally modelled on  $\mathbb{R}^n$ , near the boundary, manifolds-with-boundary must be locally modelled on the closed upper half-space  $\mathbb{H}^n \subset \mathbb{R}^n \ (x^n \ge 0.)$  When n > 0, the topological boundary  $\partial \mathbb{H}^n$  is  $x^n = 0$ , which is basically  $\mathbb{R}^{n-1}$ , i.e., we would want our boundaries to be manifolds themselves (without boundary). An *n*-dimensional topological manifold-with-boundary is a Hausdorff second-countable space that is either locally homeomorphic to an open subset of  $\mathbb{R}^n$ ( interior points and interior charts) or to a relatively open subset of  $\mathbb{H}^n$  (boundary charts). The set of points sent to  $\partial H^n$  is called the boundary. It turns out (using invariance of domain) that  $Int(M) \cap \partial M = \phi$  (why?).

A smooth atlas on a topological manifold-with-boundary is a collection of charts  $(\phi_{\alpha}, U_{\alpha})$  which are either interior or boundary charts such that the transition maps between any two are smooth. ( A smooth function on an arbitrary set is one that can be extended to a smooth function on a neighbourhood of the set.) A smooth manifold-with-boundary is a topological manifold-with-boundary equipped with a maximal smooth atlas. Unfortunately, the product of two smooth manifolds-with-boundary is not considered a smooth manifold-with-boundary (it has corners). If there is a smooth chart  $\phi : U \to \phi(U) \subset \mathbb{H}^n$  such that  $\phi(p) \in \partial \mathbb{H}^n$  for some  $p \in U$ , then this is true for any chart containing p: If not, a neighbourhood of a boundary point of  $\mathbb{H}^n$  can be diffeomorphed into an open subset of  $\mathbb{R}^n$ . This is a contradiction (why?)

### **4** Smooth functions on manifolds

Let M be a smooth manifold (with or without boundary). A function  $f : M \to \mathbb{R}$  is said to be smooth at  $p \in M$  if there *exists* a chart  $(\phi, U)$  with  $p \in U$  such that  $\hat{f} = f \circ \phi^{-1} : \hat{U} \subset \mathbb{R}^n \{/\} \mathbb{H}^n \to \mathbb{R}$  is a smooth function at  $\phi(p)$ .

Immediately, we need to answer some obvious questions.

Proposition: Smoothness at p does not depend on the chart used and is a local property, i.e., suppose W is a neighbourhood of p; then f is smooth at p iff f restricted to W is smooth at p.

Proof: Firstly, locality holds for functions from open subsets of  $\mathbb{R}^n\{/\}\mathbb{H}^n$  to  $\mathbb{R}^m$  (as we shall see later). Now if  $(\psi, V)$  (with  $p \in U \cap V$ ) is another chart, then on the open set  $\psi(U \cap V)$ ,  $f \circ \psi^{-1} = f \circ \phi^{-1} \circ (\phi \circ \psi^{-1})$  is smooth at  $\psi(p)$  because it is a composition (and by locality). As for locality on manifolds, suppose f is smooth at p. Consider the chart  $(\phi, W \cap U)$ .  $f \circ \phi^{-1} : \phi(W \cap U) \to \mathbb{R}$  is smooth. But that implies by definition that f restricted to W is smooth at p. The other direction is an exercise.  $\Box$  Proposition: Smooth functions are continuous.

Proof:  $f \circ \phi^{-1} : \hat{U} \to \mathbb{R}$  is smooth and hence continuous at  $\phi(p)$ . Now  $f = f \circ \phi^{-1} \circ \phi$  which is continuous at p.

A convention: Just as mentioned earlier, it is common practice to *identify* U with  $\hat{U}$ . Hence f with  $\hat{f}$ , i.e., the *local representation* of the function with the function itself. For instance, if  $f(x, y) = x^2 + y^2$  on  $\mathbb{R}^2$ , one commonly writes  $f(r, \theta) = r^2$  (whereas this is actually a different function).