

## NOTES FOR 30 JAN (THURSDAY)

### 1. RECAP

- (1) Induced metrics on submanifolds and examples.
- (2) Geodesics, properties, and normal coordinates.

### 2. CONNECTIONS AND CURVATURE

Here are a bunch of observations / questions :

- (1) In  $\mathbb{R}^n$ , you have the idea of a “constant” vector field. (Indeed, this is one way to prove that  $\mathbb{R}^n$  is parallelizable, i.e., it has trivial tangent bundle.) So you need to be able to find the directional derivative  $\nabla_V X$  of any vector field along a direction  $V$ . Note that if we manage to define this concept, then  $\nabla_{\gamma'(t)} X(\gamma(t)) = 0$  amounts to parallel transporting the vector field along  $\gamma$ .
- (2) Suppose  $(S, g_S) \subset (\mathbb{R}^n, Euc)$  is a submanifold with the induced metric. (Actually every Riemannian manifold is of this form by the Nash embedding theorem.) Suppose  $X$  is a tangent vector field along  $S$ . Suppose that  $N_1, N_2, \dots, N_k$  are local linearly independent unit normal vector fields on  $U \subset S$  (where  $k = n - \dim(S)$ ). Assume that  $V$  is a tangent vector on  $S$  at  $p$ . How can we define the directional derivative  $\nabla_V X(p)$ ? Clearly, the usual Euclidean directional derivative  $D_V X = \frac{\partial X^i}{\partial x^i} V^i$  is not the right one because it measures how fast  $X$  is changing perpendicular to  $S$  as well. So we need to project this back to  $S$ .

In other words, the “correct” way to define a directional derivative is  $\nabla_V X = D_V X - \sum_{i=1}^k \langle D_V X, N_i \rangle_{Euc} N_i$ . Now note that  $\langle D_V X, N_i \rangle_{Euc} = D_V \langle X, N_i \rangle_{Euc} - \langle X, D_V N_i \rangle_{Euc} = -\langle X, D_V N_i \rangle_{Euc}$ . In other words,

$$(2.1) \quad \nabla_V X = D_V X - \sum \langle X, D_V N_i \rangle_{Euc} N_i = D_V X + a \text{ term linear in } X.$$

It turns out (miraculously) that the linear term is related to the Christoffel symbols and the Riemann curvature tensor of  $g_S$  that we defined before. This way of defining a directional derivative is called the Levi-Civita connection. In general, a “directional derivative” on a vector bundle is called a “connection”.

- (3) The above definitions of directional derivative are important even for a general vector bundle. For example if we want to prove that there is a nowhere vanishing section of a certain vector bundle, ideally, we would want to take a “constant” section. But to even define that, we need to know the notion of a directional derivative.
- (4) The notion of “curvature” seems to depend on one derivative of the Christoffel symbol (or alternatively, two derivatives of the metric).

The above mentioned observations force us to define a connection  $\nabla_W s$  on vector bundles. It is supposed to represent how fast a section  $s$  is changing along the tangent vector  $W$ . In fact, if  $W$  is a vector field, then  $\nabla_W s$  better be a section of the vector bundle itself. So, we have

**Definition 2.1.** Suppose  $V$  is a smooth rank- $r$  real vector bundle (a similar definition holds for complex vector bundles) over a smooth manifold  $M$ . Suppose  $\Gamma(V)$  is the (infinite-dimensional) vector space of smooth sections of  $V$  over  $M$ . Suppose  $X$  is a vector field on  $M$ . Then a connection (sometimes called an affine connection)  $\nabla$  on  $V$  is a map  $\nabla_X : \Gamma(V) \rightarrow \Gamma(V)$  satisfying the following properties.

- (1) *Tensoriality in  $X$*  : If  $s$  is a smooth section of  $V$ ,  $X_1, X_2$  are two vector fields, and  $f_1, f_2$  are two smooth functions, then  $\nabla_{f_1 X_1 + f_2 X_2}(s) = f_1 \nabla_{X_1} s + f_2 \nabla_{X_2} s$ . In other words, the value of  $\nabla_X s$  at  $p$  depends only on the value of  $X$  at  $p$  but not on the derivatives of  $X$ .
- (2) *Linearity in  $s$*  : If  $s_1, s_2$  are two sections and  $c_1, c_2$  are two real numbers, then  $\nabla_X(c_1 s_1 + c_2 s_2) = c_1 \nabla_X s_1 + c_2 \nabla_X s_2$ .
- (3) *Leibniz rule* : If  $f$  is a smooth function and  $s$  is a section,  $\nabla_X(fs) = f \nabla_X s + df(X)s = f \nabla_X s + X(f)s$ .

The first assumption (tensoriality in  $X$ ) can be stated in another nice way : Suppose we fix  $s$ . Then the map  $(X, \alpha) \rightarrow \alpha(\nabla_X s)$  is a map from  $Vect\ fields \times \Gamma(V^*) \rightarrow C^\infty\ functions$  which is multilinear (over functions). It can be proved that there exists a smooth section  $T_s \in \Gamma(T^*M \otimes V^{**} \simeq V)$  such that  $T_s(X, \alpha) = \alpha(\nabla_X s)$ .

Thus  $\nabla$  can be thought of as a map  $\Gamma(V) \rightarrow \Gamma(V \otimes T^*M)$  given by  $s \rightarrow \nabla s$ . The space  $\Gamma(V \otimes T^*M)$  is commonly called “vector-valued 1-forms”. Moreover, in this framework, a connection satisfies  $\nabla(fs) = df \otimes s + f \nabla s$ .

Locally, suppose  $e_1, \dots, e_r$  is a frame (i.e. a collection of smooth local sections such that every point, they form a basis for the fibre) giving a local trivialisaton of  $V$ . Then every smooth section is of the form,  $s = s^\mu e_\mu$  where  $s^\mu$  are smooth functions. Therefore,

$$(2.2) \quad \nabla(s^\mu e_\mu) = ds^\mu \otimes e_\mu + s^\mu \nabla e_\mu = ds^\mu \otimes e_\mu + s^\mu A_{-\mu}^\nu \otimes e_\nu = (ds^\mu + A_{-\mu}^\nu s^\nu) \otimes e_\mu$$

where  $A_{-\mu}^\nu$  is an  $r \times r$  matrix consisting of 1-forms. Note that  $\nabla_X s = X(s^\mu) e_\mu + A_{-\mu}^\nu(X) s^\nu e_\mu$ . Suppose we change our trivialisaton to  $\tilde{e}_1, \tilde{e}_2, \dots$ . Then of course the matrix of 1-forms  $A$  will change to  $\tilde{A}$ . Let us calculate this change. Suppose  $\tilde{e}_\mu = g_{-\mu}^\nu e_\nu$ , i.e.,  $\tilde{e} = eg$  where  $g$  is an invertible smooth matrix-valued function. Then since  $s = \tilde{s}^\mu \tilde{e}_\mu = s^\nu e_\nu$ , we see that  $\tilde{s}^\mu = eg^\mu_\nu s^\nu = e\vec{s}$ . Hence  $\vec{s} = g^{-1}\tilde{s}$ . Since  $\nabla_X s$  is a section,  $\nabla_X \vec{s} = g^{-1} \nabla_X \tilde{s}$ , i.e.,  $\vec{\nabla} s = g^{-1} \vec{\nabla} \tilde{s}$ . Hence,

$$(2.3) \quad \begin{aligned} d\vec{s} + \tilde{A}\vec{s} &= g^{-1}(d\tilde{s} + \tilde{A}\tilde{s}) \Rightarrow d(g^{-1}\vec{s}) + \tilde{A}g^{-1}\vec{s} = g^{-1}(d\vec{s} + A\vec{s}) \\ -g^{-1}dgg^{-1}\vec{s} + \tilde{A}g^{-1}\vec{s} &= g^{-1}A\vec{s} \Rightarrow \tilde{A} = g^{-1}Ag + g^{-1}dg \end{aligned}$$

In more familiar terms, rewriting  $\tilde{s} = g\vec{s}$  where  $g$  are the transition functions (i.e., replacing  $g^{-1}$  by  $g$ ), we see that  $\tilde{A} = gAg^{-1} - dgg^{-1}$ .

So  $A$  does not change like a tensor. However, the cool thing is that, suppose  $\nabla_1$  is one connection. Then, if  $\nabla_2$  is any other connection,  $(\nabla_2 - \nabla_1)(fs) = f(\nabla_2 - \nabla_1)s$ . In other words, the difference of any two connections is an Endomorphism of the vector bundle. Locally,  $\tilde{A}_2 - \tilde{A}_1 = g(A_2 - A_1)g^{-1}$ . In other words,  $A_2 - A_1$  is a section of  $End(V) \otimes T^*M$ . So the space of connections is an affine space (a vector space without a preferred choice of an origin).

We prove a useful little lemma here

**Lemma 2.2.** *If two smooth sections  $s_1, s_2 : M \rightarrow V$  satisfy  $s_1 = s_2$  on a neighbourhood  $U$  of  $p$ , then  $\nabla_{s_1}(p) = \nabla_{s_2}(p)$ .  $T$ (That is, the directional derivative at  $p$  depends only on local information about  $s$  near  $p$ .)*

*Proof.* Taking  $s = s_1 - s_2$ , we just have to show that  $\nabla s(p) = 0$  if  $s = 0$  on  $U$ . Indeed, taking a local trivialisaton,  $s = s^i e_i$  and hence  $\nabla s(p) = (ds^i(p) + ([A](p)\vec{s}(p))^i)e_i = 0$ .  $\square$

In fact, the above observation shows that in order to know  $\nabla s$  at  $p$ , it is enough to take any smooth section  $\tilde{s}$  on  $U$ , define  $s = \rho\tilde{s}$  where  $\rho$  is a bump function vanishing outside  $U$  (and equal to 1 in a smaller neighbourhood of  $p$ ), and find out  $\nabla s(p)$  for all such sections.

Before going further, we prove a very very useful lemma. (This is like the existence of normal coordinates.)

**Lemma 2.3.** *Suppose  $\nabla$  is a connection on  $V$ . Suppose  $p \in M$ . There exists a trivialisaton such that  $A(p) = 0$  in this trivialisaton.*

*Proof.* Choose any trivialisaton in a neighbourhood  $U$  of  $p$ . Assume that  $(x, U)$  is also a coordinate chart for  $M$  such that  $p$  corresponds to  $x = 0$ . Let  $\nabla = d + \tilde{A}$  on  $U$ . If we change the trivialisaton using a transition function  $g$ , then  $A = g\tilde{A}g^{-1} - dgg^{-1}$ . Suppose  $\tilde{A}(p) = B_i dx^i$  where  $B_i$  are real (or complex)  $r \times r$  matrices. Define  $g = I + x^i b_i$ . For sufficiently small  $x$ ,  $g$  is invertible. Now  $g(p) = g^{-1}(p) = I$  and  $dg = B_i dx^i = \tilde{A}(p)$ . Thus  $A(p) = \tilde{A}(p) - \tilde{A}(p) = 0$ .  $\square$

Note that the trivial bundle  $M \times \mathbb{R}^r$  has an obvious connection - the usual directional derivative. Indeed, there is a global trivialisaton. Set  $A = 0$  and define  $\nabla s = d\vec{s}$ .

Another point : If  $T : V_1 \rightarrow V_2$  is a bundle isomorphism, and  $V_2$  has a connection  $\nabla$ , we can define a connection on  $V_1$  :  $(T^*\nabla)s = T^{-1}(\nabla T(s))$ . This is called the pullback connection. Locally, the connection matrix of one-forms is  $T^{-1}AT + T^{-1}dT$ .

**Theorem 2.4.** *Every vector bundle has a connection.*

*Proof.* Suppose  $M$  is covered by a locally finite cover  $U_\alpha$  of trivialisaton open sets for  $V$ . Suppose  $T_\alpha : \pi^{-1}U_\alpha \rightarrow U_\alpha \times \mathbb{R}^r$  is the trivialisating isomorphism of bundles. As discussed, there is an obvious connection  $\nabla_\alpha$  on the trivial bundle  $U_\alpha \times \mathbb{R}^r$ . Define  $\tilde{\nabla}_\alpha = T_\alpha^* \nabla_\alpha$  as a connection on  $V$  on the set  $U_\alpha$ , i.e., if  $s$  is any local section on  $U_\alpha$ ,  $\tilde{\nabla}_\alpha s$  is a section of  $T^*U_\alpha \times V|_{U_\alpha}$ . Suppose  $\rho_\alpha$  is a partition-of-unity subordinate to  $U_\alpha$ .

Now, define  $\nabla s = \sum_\alpha \rho_\alpha \tilde{\nabla}_\alpha s$ . The meaning of this statement is “Take  $s$ , restrict it to  $U_\alpha$ , calculate  $\tilde{\nabla}_\alpha s$  as a section over  $U_\alpha$ , multiply by  $\rho_\alpha$  and extend it to all of  $M$  by 0 outside  $U_\alpha$ , and sum over all  $\alpha$ . It is a finite sum at every point because of local finiteness of the cover. Thus we have a section of  $V \otimes T^*M$ ”

We still have to prove that  $\nabla$  is a connection. Indeed,

$$\begin{aligned} \nabla(fs) &= \sum_\alpha \rho_\alpha \tilde{\nabla}_\alpha(fs) = \sum_\alpha \rho_\alpha (df \otimes s + f\tilde{\nabla}_\alpha s) \\ (2.4) \qquad &= df \otimes s \sum_\alpha \rho_\alpha + f\nabla s = df \otimes s + f\nabla s \end{aligned}$$

$\square$

So every vector bundle can be equipped with a way to take directional derivatives. There can be more than one way (infinitely many in fact). We can now define the notion of a “constant”, rather a “parallel” section.

**Definition 2.5.** A smooth section  $s$  is said to be parallel with respect to a connection  $\nabla$  if it satisfies  $\nabla s = 0$ .

We can do better. Suppose  $\gamma : [0, 1] \rightarrow M$  is a smooth curve. Assume that  $s_0$  is a vector in  $V_{\gamma(0)}$ .

**Definition 2.6.** The parallel transport of  $s_0$  is a section  $s$  on a neighbourhood of the image of  $\gamma$  such that  $\nabla_{\gamma'(t)} s = 0$  on the image of  $\gamma$  (where we are assuming that  $\gamma'(t)$  has been extended arbitrarily to a smooth vector field on a smaller open subset of the neighbourhood on which  $s$  is defined).

The neighbourhood does not make any difference in the above definition. The definition locally means this : If we choose a trivialisation and a coordinate chart on the manifold, we are required to solve an ODE :  $\frac{d\vec{s}}{dt} + A_{\gamma(t)}(\gamma'(t))\vec{s} = 0$  with  $\vec{s}(0) = \vec{s}_0$ . Of course this system of ODE has a unique smooth solution for a short period of time. In fact, it can be proven to have a solution for all time.