

Equalities and inequalities involving Schur polynomials

Apoorva Khare

IISc and APRG (Bangalore, India)

Formal Power Series and Algebraic Combinatorics
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Schur polynomials

Given a decreasing N -tuple of integers $n_1 > \dots > n_N \geq 0$, the corresponding **Schur polynomial** over a field \mathbb{F} (say $\text{char } \mathbb{F} = 0$) is the unique polynomial extension to \mathbb{F}^N of

$$s_{\mathbf{n}}(u_1, \dots, u_N) := \frac{\det(u_i^{n_j})_{i,j=1}^N}{\det(u_i^{N-j})} = \frac{\det(u_i^{n_j})_{i,j=1}^N}{V(\mathbf{u})}$$

for pairwise distinct $u_i \in \mathbb{F}$.

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for pairwise distinct $u_i \in \mathbb{F}$. Note that the denominator is precisely the Vandermonde determinant

$$V((u_1, \dots, u_N)) := \det(u_i^{N-j}) = \prod_{1 \leq i < j \leq N} (u_i - u_j).$$

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- Characters of irreducible polynomial representations of $GL_N(\mathbb{C})$, usually defined in terms of semi-standard Young tableaux.

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- Characters of irreducible polynomial representations of $GL_N(\mathbb{C})$, usually defined in terms of semi-standard Young tableaux.
- Weyl Character (Dimension) Formula in Type A:

$$s_{\mathbf{n}}(1, \dots, 1) = \prod_{1 \leq i < j \leq N} \frac{n_i - n_j}{j - i} = \frac{V(\mathbf{n})}{V((N-1, \dots, 1, 0))}.$$

Schur polynomials via semi-standard Young tableaux

Schur polynomials are also defined using semi-standard Young tableaux:

Example 1: Suppose $N = 3$ and $\mathbf{m} := (4, 2, 0)$. The tableaux are:

1	1	1	1	1	2	1	2	1	3	1	3	2	2	2	3
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 &= (u_1 + u_2)(u_2 + u_3)(u_3 + u_1).
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 \end{aligned}$$

Example 2: Suppose $N = 3$ and $\mathbf{n} = (3, 2, 0)$:

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Then $s_{(3,2,0)}(u_1, u_2, u_3) = u_1 u_2 + u_1 u_3 + u_2 u_3$.

Cauchy's – and Frobenius's – determinantal identity

Theorem (Cauchy, 1841 memoir)

If $f(t) = (1 - t)^{-1} = 1 + t + t^2 + \dots$, and $f[A] := (f(a_{ij}))$, then

$$\det f[\mathbf{u}\mathbf{v}^T] = \det((1 - u_i v_j)^{-1})_{i,j=1}^N = \sum_{M \geq 0} \sum_{\mathbf{n} \vdash M} V(\mathbf{u})V(\mathbf{v}) \cdot s_{\mathbf{n}}(\mathbf{u})s_{\mathbf{n}}(\mathbf{v}).$$

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This is the $c = 0$ special case of:

Theorem (Frobenius, *J. reine Angew. Math.* 1882)

If $f(t) = \frac{1 - ct}{1 - t}$ for a scalar c , then

$$\begin{aligned} \det f[\mathbf{u}\mathbf{v}^T] &= \det \left(\frac{1 - cu_i v_j}{1 - u_i v_j} \right)_{i,j=1}^N \\ &= V(\mathbf{u})V(\mathbf{v})(1 - c)^{N-1} \left(\sum_{\mathbf{n} : n_N = 0} s_{\mathbf{n}}(\mathbf{u})s_{\mathbf{n}}(\mathbf{v}) + (1 - c) \sum_{\mathbf{n} : n_N > 0} s_{\mathbf{n}}(\mathbf{u})s_{\mathbf{n}}(\mathbf{v}) \right). \end{aligned}$$

What happens for other power series?

The determinantal identity for polynomials

- Suppose $f(t) = f_1 t^{n_1} + \dots + f_k t^{n_k}$ is any polynomial with $< N$ terms. (Say $n_1 > \dots > n_k \geq 0$.) Then

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Then $f[\mathbf{u}\mathbf{v}^T]$ factorizes as

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- Similar computation for arbitrary polynomials – $f[\mathbf{u}\mathbf{v}^T]$ factorizes, so use the Cauchy–Binet formula.

Connection from analysis

Loewner studied $\det f[t\mathbf{u}\mathbf{u}^T]$ as a function of t (for f smooth), and computed its Taylor coefficients:

- Fix $\mathbf{u} = (u_1, \dots, u_N)^T \in \mathbb{R}^N$, with $u_i > 0$ pairwise distinct.
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$$\Delta(0) = \Delta'(0) = \dots = \Delta^{\binom{N}{2}-1}(0) = 0, \quad \text{and}$$

$$\frac{\Delta^{\binom{N}{2}}(0)}{\binom{N}{2}!} = V(\mathbf{u})^2 \cdot 1^2 \cdot \frac{f(0)}{0!} \frac{f'(0)}{1!} \dots \frac{f^{(N-1)}(0)}{(N-1)!}.$$

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$$\frac{\Delta^{\binom{N}{2}+1}(0)}{\left(\binom{N}{2} + 1\right)!} = V(\mathbf{u})^2 \cdot (u_1 + \dots + u_N)^2 \cdot \frac{f(0)}{0!} \frac{f'(0)}{1!} \dots \frac{f^{(N-2)}(0)}{(N-2)!} \cdot \frac{f^{(N)}(0)}{N!}.$$

Hidden inside this derivative is a Schur polynomial!

Loewner's calculations

Loewner had summarized these computations in a letter to Josephine Mitchell (Penn. State) on 24 Oct 1967. (Later in: Roger Horn, [Trans. AMS 1969].)

when I got interested in the following question: let $f(t)$ be a function defined in some interval (a, b) , $a > 0$ and consider all real symmetric matrices $(a_{ij}) > 0$ of order n with elements $a_{ij} \in (a, b)$. What properties must f have in order that the matrices $(f(a_{ij})) > 0$ I found as necessary conditions. $f(t) \geq 0$, $f'(t)$ that f is $(n-1)$ times differentiable the following conditions are necessary

(C) $f(t) \geq 0$, $f'(t) \geq 0$, ... $f^{(n-1)}(t) \geq 0$

The functions t^q ($q > 1$) do not satisfy these conditions for all q if $n > 3$.

The proof is obtained by considering matrices of the

form $a_{ij} = a + (b-a) \frac{w_i w_j}{w_i + w_j}$ with $a \in (a, b)$ and the w_i arbitrary for sufficiently small w . Then $(f(a_{ij})) > 0$ and hence the determinant $\Delta(w) = \det (f(a_{ij})) > 0$. The first term in the Taylor expansion of $\Delta(w)$ at $w=0$ is $f(a) f'(a) \dots f^{(n-1)}(a) \cdot (\prod (w_i - w_j))^2$ and hence $f(a) f'(a) \dots f^{(n-1)}(a) \geq 0$, from which one easily derives that (C) must hold.

From each smooth function to all Schur polynomials

This provides a novel bridge, between analysis and symmetric function theory:

*Given $f : [0, \epsilon) \rightarrow \mathbb{R}$ smooth, and $u_1, \dots, u_N > 0$ pairwise distinct
(for $\epsilon > 0$ and $N \geq 1$),
set $\Delta(t) := \det f[t\mathbf{u}\mathbf{u}^T]$ and compute $\Delta^{(M)}(0)$ for all integers $M \geq 0$.*

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Uncovers all Schur polynomials – for \mathbf{u} and \mathbf{v} :

Theorem (K., *Trans. Amer. Math. Soc.* 2022)

Suppose f, ϵ, N are as above. Fix $\mathbf{u}, \mathbf{v} \in (0, \infty)^N$ and set $\Delta(t) := \det f[t\mathbf{u}\mathbf{v}^T]$.
Then for all $M \geq 0$,

$$\frac{\Delta^{(M)}(0)}{M!} = \sum_{\mathbf{n}=(n_1, \dots, n_N) \vdash M} V(\mathbf{u})V(\mathbf{v}) \cdot s_{\mathbf{n}}(\mathbf{u})s_{\mathbf{n}}(\mathbf{v}) \cdot \prod_{j=1}^N \frac{f^{(n_j)}(0)}{n_j!}.$$

- All Schur polynomials “occur” inside each smooth function.

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- All Schur polynomials “occur” inside each smooth function.
- If f is a power series, then so is Δ . What is its expansion?
(Starting with Cauchy and Frobenius...)

Cauchy–Frobenius identity for all power series

Theorem (K., *Trans. Amer. Math. Soc.* 2022)

Fix a commutative unital ring R and let t be an indeterminate.

Let $f(t) := \sum_{M \geq 0} f_M t^M \in R[[t]]$ be an arbitrary formal power series.

Given vectors $\mathbf{u}, \mathbf{v} \in R^N$ for some $N \geq 1$, we have:

$$\det f[t\mathbf{u}\mathbf{v}^T] = V(\mathbf{u})V(\mathbf{v}) \sum_{M \geq \binom{N}{2}} t^M \sum_{\mathbf{n}=(n_1, \dots, n_N) \vdash M} s_{\mathbf{n}}(\mathbf{u})s_{\mathbf{n}}(\mathbf{v}) \prod_{j=1}^N f_{n_j}.$$

Also true in the real-analytic topology, for $R = \mathbb{R}$ and $|t| < \text{radius of conv.}$

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Similar questions and results (on symmetric function identities), including by

- Andrews–Goulden–Jackson [*Trans. Amer. Math. Soc.* 1988].
- Laksov–Lascoux–Thorup [*Acta Math.* 1989].
- Kuperberg [*Ann. of Math.* 2002].
- Ishikawa, Okado, and coauthors [*Adv. Appl. Math.* 2006, 2013].
- See also Krattenthaler, *Advanced determinantal calculus* (and its sequel) in 1998, 2005.

From determinants to all immanants

Theorem (K.-Sahi, 2022)

With (algebraic) notation as above, say over characteristic zero:

$$\text{perm } f[t\mathbf{u}\mathbf{v}^T] = \frac{1}{N!} \sum_{\mathbf{m} \geq \mathbf{0}} t^{m_1 + \dots + m_N} \prod_{j=1}^N f_{m_j} \cdot \text{perm}(u_i^{m_j}) \text{perm}(v_i^{m_j}).$$

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Also, analogues for:

- All irreducible characters/immanants of S_N , or of subgroups of S_N .
- “Fermionic” (u_i anti-commuting) analogues of these “Bosonic” results.

Question: Fermionic/immanant versions of other symmetric function identities?

Schur polynomials in analysis: entrywise functions

- The Schur polynomials lurking inside all smooth functions (Loewner 1969 / K. 2022) turn out to play a crucial role in understanding entrywise polynomial maps that preserve positive semidefiniteness on $N \times N$ matrices.
- They are algebraic characters, but need to be studied as *functions on the positive orthant* $(0, \infty)^N$.

Schur polynomials via semi-standard Young tableaux

Back to the two examples above:

Example 1: Suppose $N = 3$ and $\mathbf{m} := (4, 2, 0)$. The tableaux are:

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Note: Both polynomials are coordinate-wise non-decreasing on $(0, \infty)^N$.

Schur Monotonicity Lemma

Example: The ratio $s_{\mathbf{m}}(\mathbf{u})/s_{\mathbf{n}}(\mathbf{u})$ for $\mathbf{m} = (4, 2, 0)$, $\mathbf{n} = (3, 2, 0)$ is:

$$f(u_1, u_2, u_3) = \frac{(u_1 + u_2)(u_2 + u_3)(u_3 + u_1)}{u_1 u_2 + u_2 u_3 + u_3 u_1}, \quad u_1, u_2, u_3 > 0.$$

Note: both numerator and denominator are **monomial-positive** (in fact **Schur-positive**, obviously) – hence non-decreasing in each coordinate.

Fact: *Their ratio $f(\mathbf{u})$ has the same property!*

Schur Monotonicity Lemma

Example: The ratio $s_{\mathbf{m}}(\mathbf{u})/s_{\mathbf{n}}(\mathbf{u})$ for $\mathbf{m} = (4, 2, 0)$, $\mathbf{n} = (3, 2, 0)$ is:

$$f(u_1, u_2, u_3) = \frac{(u_1 + u_2)(u_2 + u_3)(u_3 + u_1)}{u_1 u_2 + u_2 u_3 + u_3 u_1}, \quad u_1, u_2, u_3 > 0.$$

Note: both numerator and denominator are **monomial-positive** (in fact **Schur-positive**, obviously) – hence non-decreasing in each coordinate.

Fact: *Their ratio $f(\mathbf{u})$ has the same property!*

Theorem (K.–Tao, *Amer. J. Math.*, 2021)

For integer tuples $n_1 > \dots > n_N \geq 0$ and $m_1 > \dots > m_N \geq 0$ such that $m_j \geq n_j \forall j$, the function

$$f : (0, \infty)^N \rightarrow \mathbb{R}, \quad f(\mathbf{u}) := \frac{s_{\mathbf{m}}(\mathbf{u})}{s_{\mathbf{n}}(\mathbf{u})}$$

is non-decreasing in each coordinate.

(In fact, a stronger **Schur positivity** phenomenon holds.)

Schur Monotonicity Lemma (cont.)

Claim: The ratio $f(u_1, u_2, u_3) = \frac{(u_1 + u_2)(u_2 + u_3)(u_3 + u_1)}{u_1u_2 + u_2u_3 + u_3u_1}$,

treated as a **function** on the orthant $(0, \infty)^3$, is coordinate-wise non-decreasing.

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(Why?) Applying the quotient rule of differentiation to f ,

$$s_{\mathbf{n}}(\mathbf{u})\partial_{u_3}s_{\mathbf{m}}(\mathbf{u}) - s_{\mathbf{m}}(\mathbf{u})\partial_{u_3}s_{\mathbf{n}}(\mathbf{u}) = (u_1 + u_2)(u_1u_3 + 2u_1u_2 + u_2u_3)u_3,$$

and this is **monomial-positive** (hence **numerically positive**).

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In fact, upon writing this as $\sum_{j \geq 0} p_j(u_1, u_2) u_3^j$, each p_j is **Schur-positive**, i.e. a sum of Schur polynomials:

$$p_0(u_1, u_2) = 0,$$

$$p_1(u_1, u_2) = 2u_1^2 u_2 + 2u_1 u_2^2 = 2 \begin{array}{|c|c|} \hline 1 & 1 \\ \hline 2 & \\ \hline \end{array} + 2 \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 2 & \\ \hline \end{array} = 2s_{(3,1)}(u_1, u_2),$$

$$p_2(u_1, u_2) = (u_1 + u_2)^2 = \begin{array}{|c|c|} \hline 1 & 1 \\ \hline & \\ \hline \end{array} + \begin{array}{|c|c|} \hline 1 & 2 \\ \hline & \\ \hline \end{array} + \begin{array}{|c|c|} \hline 2 & 2 \\ \hline & \\ \hline \end{array} + \begin{array}{|c|} \hline 1 \\ \hline 2 \\ \hline \end{array}$$

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Proof-sketch of Schur Monotonicity Lemma

The proof for general $\mathbf{m} \geq \mathbf{n}$ is similar:

By symmetry, and the quotient rule of differentiation, it suffices to show that

$$s_{\mathbf{n}} \cdot \partial_{u_N}(s_{\mathbf{m}}) - s_{\mathbf{m}} \cdot \partial_{u_N}(s_{\mathbf{n}})$$

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Key ingredient: Schur-positivity result by Lam–Postnikov–Pylyavskyy (*Amer. J. Math.* 2007). □

[In turn, this emerged out of Skandera's 2004 results on determinant inequalities for totally non-negative matrices.]

Weak majorization through Schur polynomials

- Our Schur Monotonicity Lemma implies in particular:

$$\frac{s_{\mathbf{m}}(\mathbf{u})}{s_{\mathbf{n}}(\mathbf{u})} \geq \frac{s_{\mathbf{m}}(1, \dots, 1)}{s_{\mathbf{n}}(1, \dots, 1)} = \frac{V(\mathbf{m})}{V(\mathbf{n})}, \quad \forall \mathbf{u} \in [1, \infty)^N.$$

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Given *reals* $n_1 > \dots > n_N$ and $m_1 > \dots > m_N$, TFAE:

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Ingredients of proof: (a) “First-order” approximation of Schur polynomials;
(b) Harish-Chandra–Itzykson–Zuber integral; (c) Schur convexity result.

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Yes, and Yes:

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- (2) \implies (3): If $\mathbf{u} \in [1, \infty)_{\neq}^N$, then by preceding result: $\mathbf{m} \succ_w \mathbf{n}$.

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If $\mathbf{u} \in (0, 1]_{\neq}^N$, let $v_i := 1/u_i \geq 1$. Now compute:

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□

Precursors to Cuttler-Greene-Skandera (and Sra, ...)

Instead of using Schur polynomials, what if one uses other symmetric functions?

$$\text{C-G-S: } \frac{s_{\mathbf{m}}(u_1, \dots, u_N)}{s_{\mathbf{m}}(1, \dots, 1)} \geq \frac{s_{\mathbf{n}}(u_1, \dots, u_N)}{s_{\mathbf{n}}(1, \dots, 1)} \text{ on } (0, \infty)^N \iff \mathbf{m} \text{ majorizes } \mathbf{n}.$$

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Theorem (Muirhead, *Proc. Edinburgh Math. Soc.* 1903)

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Question: What if one restricts to $\mathbf{u} \in [1, \infty)^N$?

Majorization inequalities

The C-G-S–Sra inequality (and its follow-up by K.–Tao) as well as Muirhead's inequality, are examples of *majorization inequalities*.

Other majorization inequalities have been shown by:

- Maclaurin (1729)
- Newton (1732)
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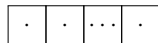
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Vast generalization by McSwiggen–Novak [*IMRN* 2022] to all Weyl groups, via spherical functions on Riemannian symmetric spaces.

Conjectured to hold even more generally, for Heckman–Opdam hypergeometric functions – this would extend C-G-S–Sra from Schur polynomials to Jack polynomials. (Extends to Macdonald polynomials?)

Complete homogeneous symmetric (CHS) polynomials

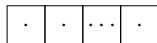
Define $h_k(u_1, u_2, \dots) := \sum_{i_1 \leq i_2 \leq \dots \leq i_k} u_{i_1} u_{i_2} \cdots u_{i_k}$.



Thus, $h_0 = 1$, $h_2 = \sum_i u_i^2 + \sum_{i < j} u_i u_j = \frac{1}{2}(h_1(\mathbf{u}))^2 + p_2(\mathbf{u}) \geq 0$.

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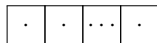
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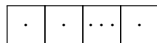
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Which other Schur polynomials share this property? None:

Lemma (K.-Tao)

Suppose $N \geq 1$ and $n_1 > \dots > n_N \geq 0$ are integers. Then the Schur polynomial $s_{\mathbf{n}}(u_1, \dots, u_N)$ is nonvanishing on $\mathbb{R}^N \setminus \{\mathbf{0}\}$, if and only if there exists $r \geq 0$ such that

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Now consider the *two-sided* optimization problem, i.e. on $[-1, 1]^N \setminus \{\mathbf{0}\}$. The above Lemmas suggest taking $\mathbf{n} = (N - 1 + 2r, N - 2, \dots, 1, 0)$.

Maximizing ratios involving CHS polynomials

“Two-sided” variant: Suppose $\mathbf{n} = (N - 1 + 2r, N - 2, \dots, 1, 0)$ for $r \geq 0$, and $\mathbf{m} \geq \mathbf{n}$ coordinatewise. Define

$$f(\mathbf{u}) := \frac{s_{\mathbf{m}}(\mathbf{u})^2}{h_{2r}(\mathbf{u})^2}.$$

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- A solution to this question has consequences for entrywise polynomials that preserve positivity on matrices.

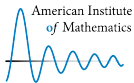
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Majorization and spherical functions.

Thank you for your attention.



W -majorization

Let $V =$ Euclidean space containing $\Phi =$ crystallographic root system, with Weyl group $W \subset O(V)$.
(So W is generated by the reflections in the hyperplanes orthogonal to $\alpha \in \Phi$.)

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Definition (McSwiggen–Novak): Given $\lambda, \mu \in V$, say that λ **W -majorizes** μ if μ lies in the convex hull of the orbit $W \cdot \lambda$.

Special case: If Φ is of type A , then $W = S_N$, and then

λ S_N -majorizes μ precisely means λ majorizes μ .

Riemannian symmetric spaces

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The weights/roots of $\text{Lie}(G)$ w.r.t. $\mathfrak{a} := \text{Lie}(A)$ form a root system Φ .
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Theorem (McSwiggen–Novak, *IMRN* 2022)

Extended the C-G-S / Sra / K.–Tao results, to characterize W -majorization on \mathfrak{a} , via inequalities of the spherical functions $\phi_{i\lambda} \geq \phi_{i\mu}$ on G/K .