

# A theorem of Strichartz for multipliers on homogeneous trees

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(Based on a joint work with R. P. Sarkar)

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Department of Mathematics

Indian Institute of Science

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# Overview of the Talk

A Theorem of Roe and Strichartz on  $\mathbb{R}^n$

Homogeneous Trees

Strichartz's Theorem for the Laplacian on Homogeneous Trees

Strichartz's Theorem for Multipliers on Homogeneous Trees

Notable Consequences

## A Theorem of Roe and Strichartz on $\mathbb{R}^n$

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## A brief history

- **J. Roe, 1980 :** Let  $\{f_k\}_{k \in \mathbb{Z}}$  be a doubly infinite sequence of functions on  $\mathbb{R}$  such that

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- If  $\Delta_{\mathbb{R}^n} f_k = A f_{k+1}$  for some  $A \in \mathbb{C}^\times$ , then  $\Delta_{\mathbb{R}^n} f_0 = -|A|f_0$ .

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### Question

Does a precise analogue of Strichartz's theorem apply to the combinatorial Laplacian  $\mathcal{L}$  on a homogeneous tree  $\mathcal{X}$ ?

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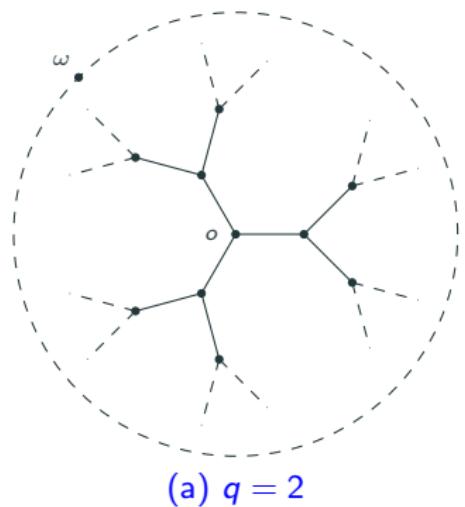
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- Therefore, we shall assume  $q \geq 2$ .
- We fix an arbitrary reference point  $o$  in  $\mathcal{X}$ .
- The boundary  $\Omega$  is identified with the set of all infinite geodesic rays starting at  $o$ .

## Pictorial representation

Homogeneous trees of degree 3 and 4 can be represented as follows:

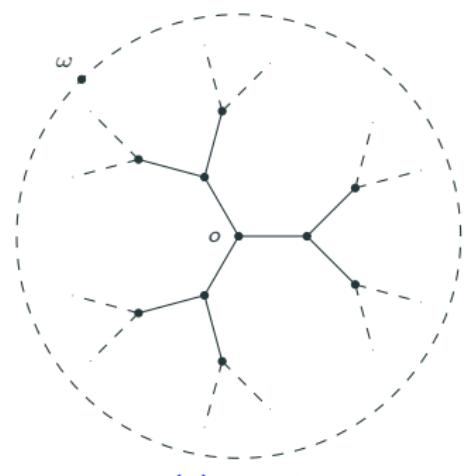
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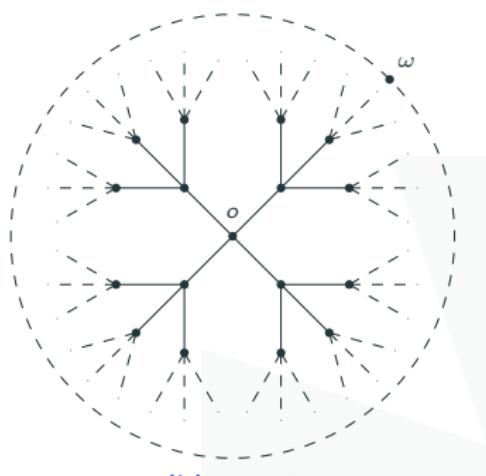


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$$\mathcal{L}u(x) = u(x) - \frac{1}{q+1} \sum_{y: d(x, y) = 1} u(y).$$

## Strichartz's Theorem for $\mathcal{L}$ on $\mathcal{X}$

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## The elementary spherical functions

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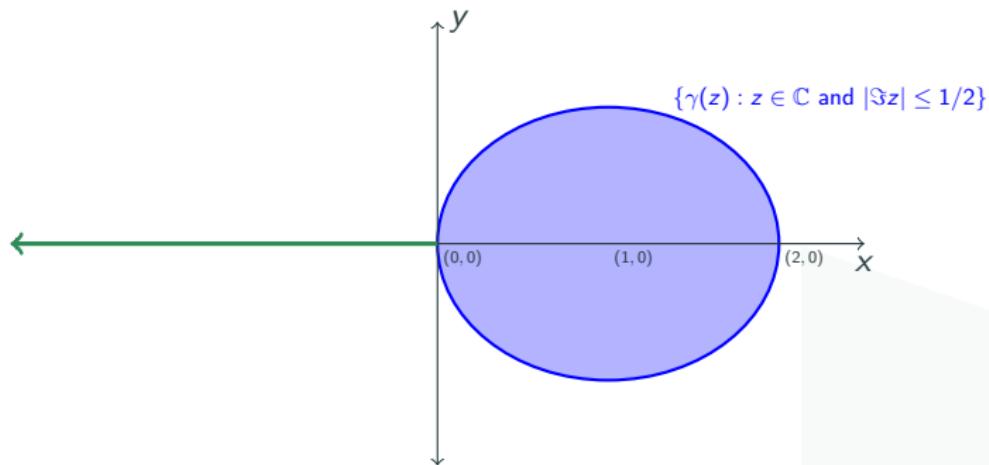
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- Fact :  $\phi_z \in L^\infty(\mathcal{X})$  if and only if  $z \in \mathbb{C}$  satisfies  $|\Im z| \leq 1/2$ .

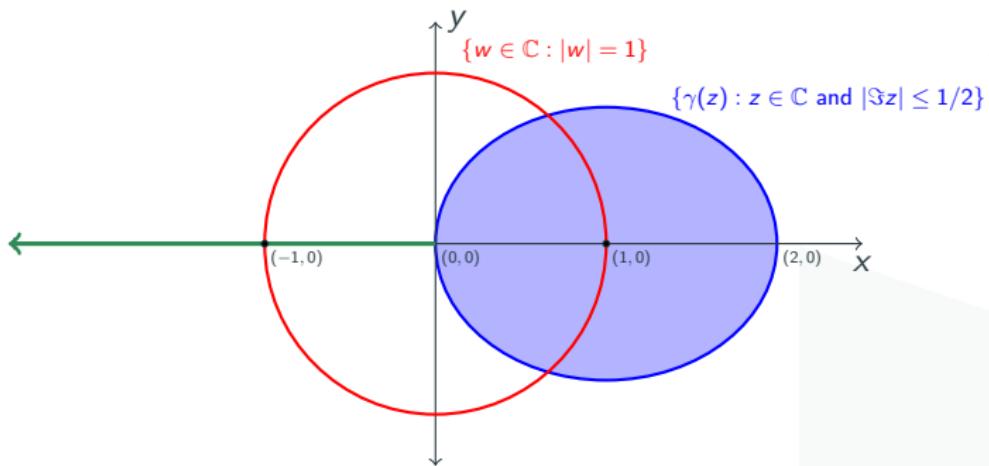
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- \* Unlike the  $L^\infty$ -point spectrum of  $\Delta_{\mathbb{R}^n}$  which is the one-dimensional interval  $(-\infty, 0]$ , the  $L^\infty$ -point spectrum of  $\mathcal{L}$  is an elliptic region in the complex plane centered around the point 1.



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- However,  $f_0$  **fails to be an eigenfunction** of  $\mathcal{L}$ .

## Size estimates of $\phi_z$

★ **Notations for today** : Let  $1 < p \leq 2$ . Then

- $p'$  denotes **the conjugate exponent**  $p/(p - 1)$ .
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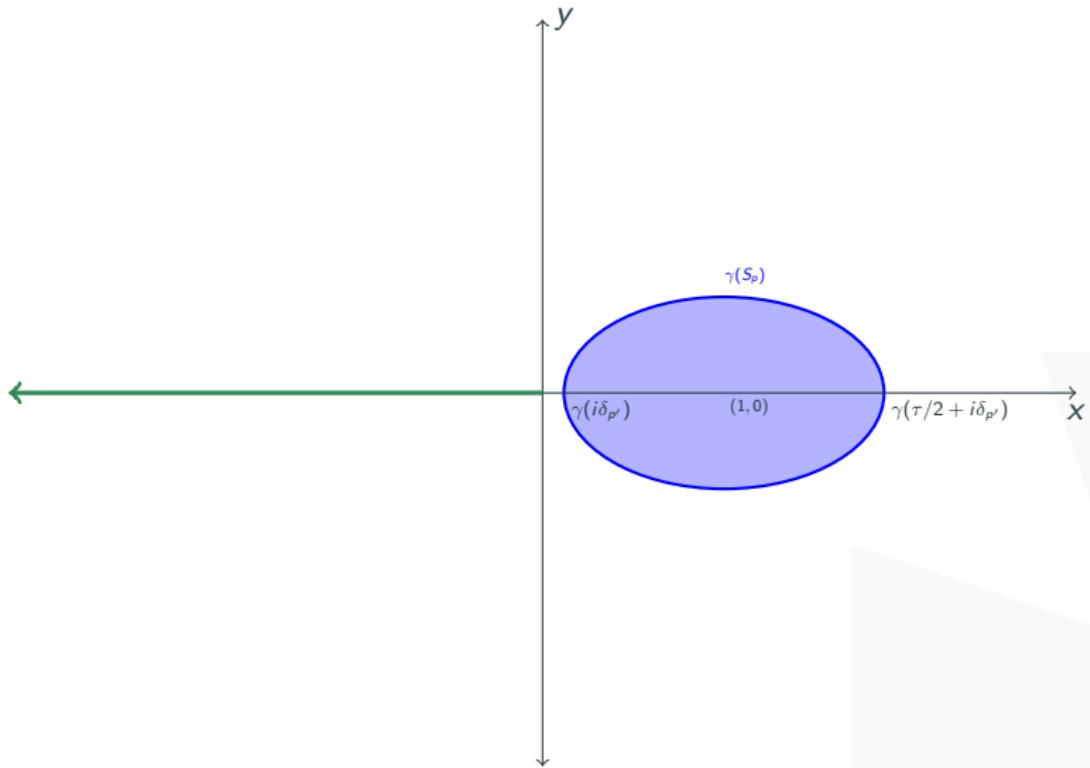
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  - ⊕  $S_p = \{z \in \mathbb{C} : |\Im z| \leq |\delta_{p'}|\}$ .
- ⊕ **Assumption** :  $p' = \infty$  when  $p = 1$ .
- ⊕  $\delta_\infty = -1/2$  and  $S_1 = \{z \in \mathbb{C} : |\Im z| \leq 1/2\}$ .
- ⊕ **Observation** :  $\delta_2 = 0$  and  $S_2 = \mathbb{R}$ .
- ⊕ **Weak  $L^p$ -estimates of  $\phi_z$**  :
  - ⊕ For  $1 \leq p < 2$ ,  $\phi_z \in L^{p', \infty}(\mathcal{X})$  if and only if  $z \in S_p$ .

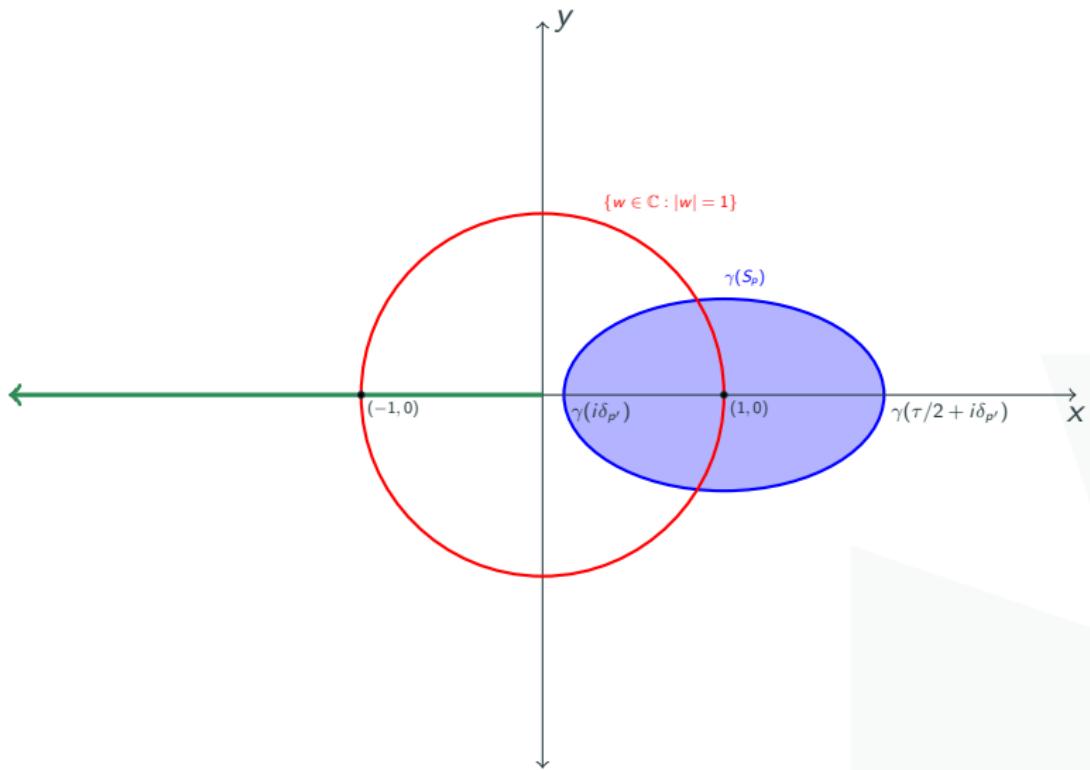
## Size estimates of $\phi_z$

- ⊕ **Notations for today** : Let  $1 < p \leq 2$ . Then
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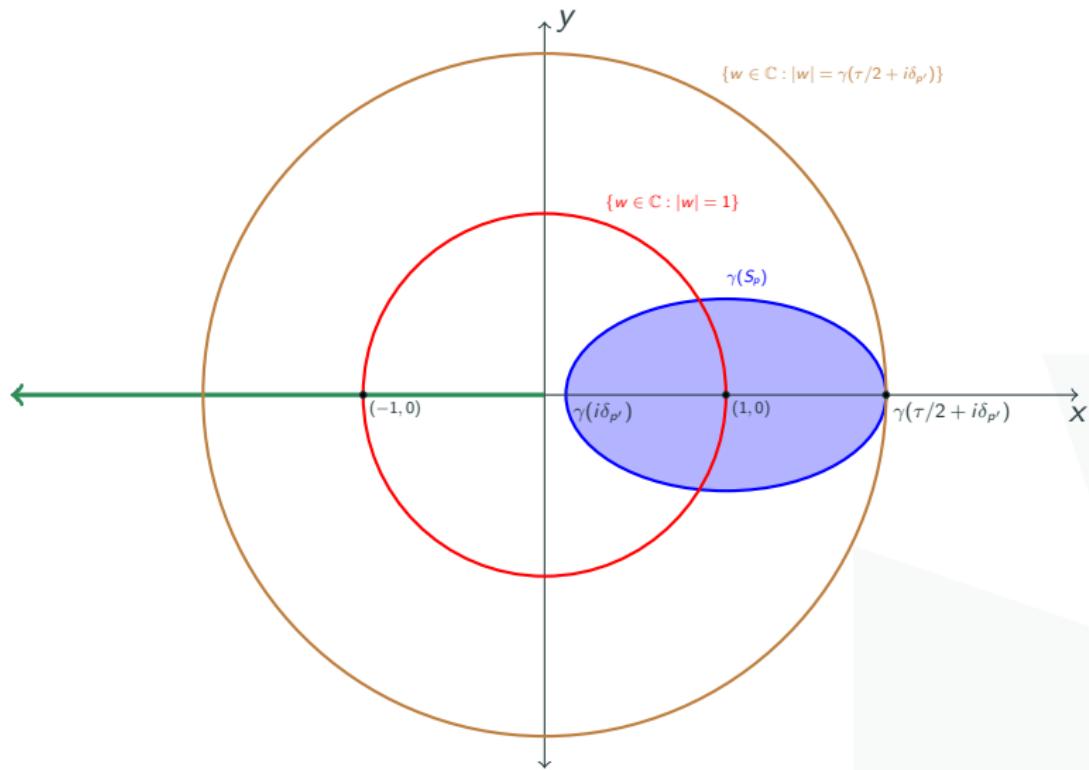
$L^{p',\infty}$ -point spectrum of  $\mathcal{L}$ , for  $1 \leq p \leq 2$



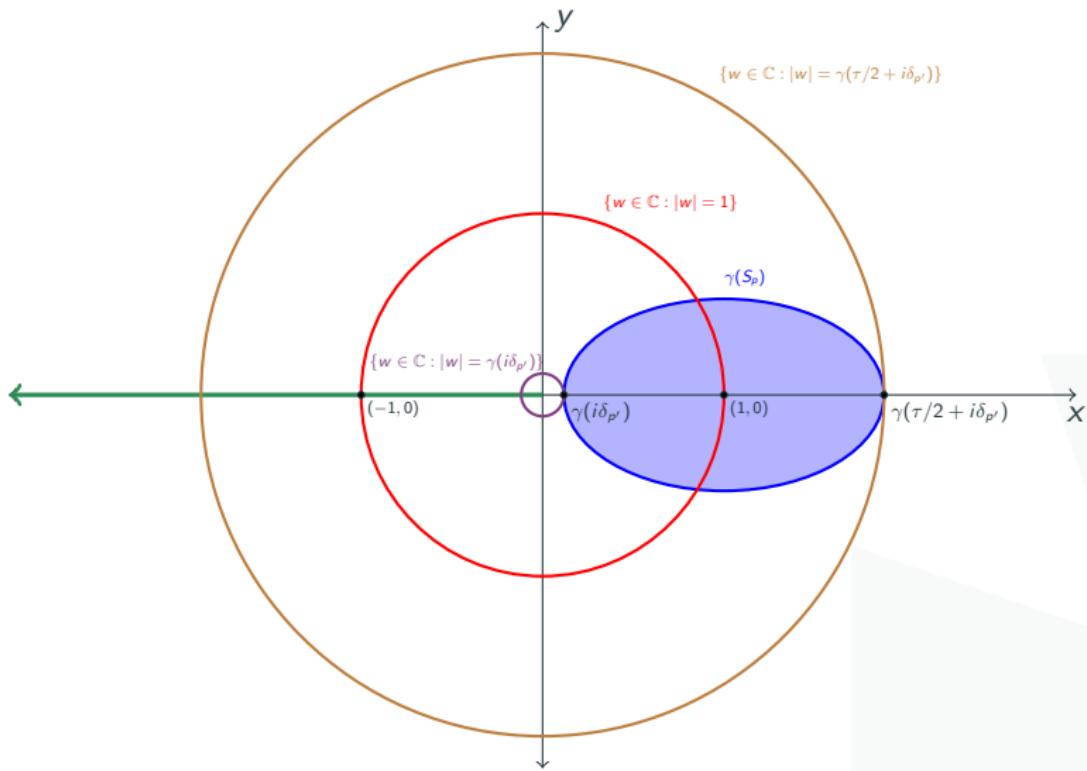
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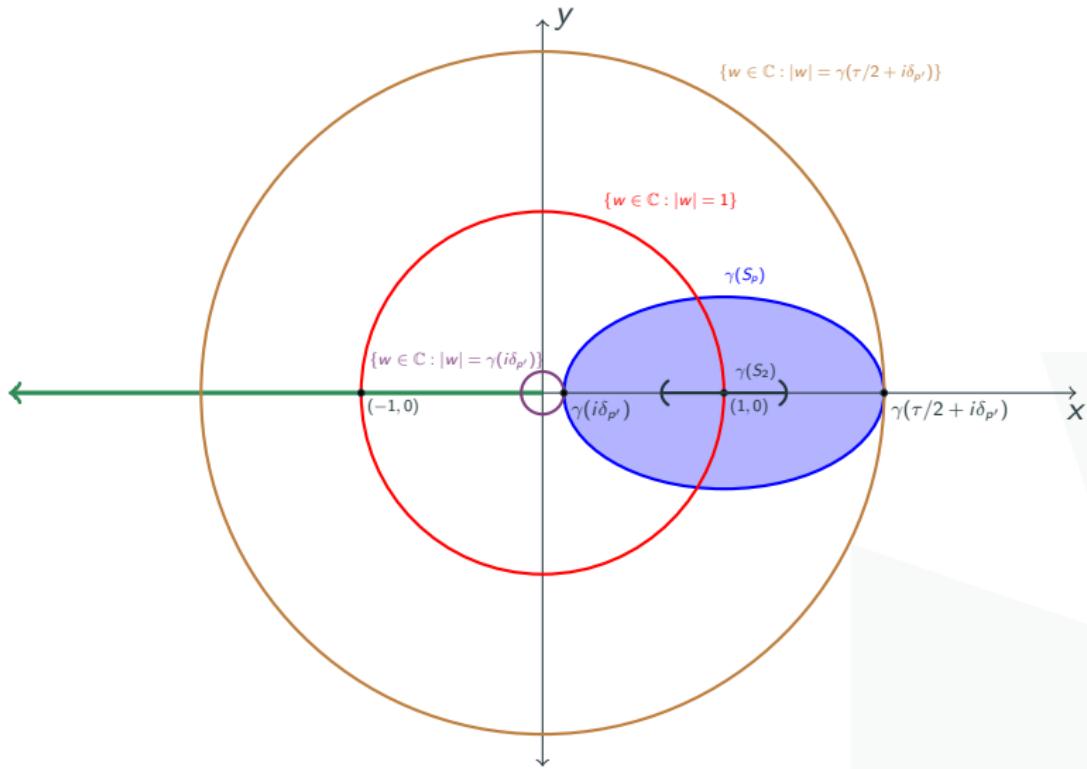
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where  $A \in \mathbb{C}$  satisfies  $|A| = \gamma(\tau/2 + i\delta_\infty)$ . Then  $\mathcal{L}f_0 = \gamma(\tau/2 + i\delta_\infty)f_0$ .

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## Strichartz's theorem for $\mathcal{L}$

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What happens if we replace  $\mathcal{L}$  with polynomials of  $\mathcal{L}$ , the spherical averages on  $\mathcal{X}$ , or the heat operator on  $\mathcal{X}$  ?

- We shall specifically focus on extending the above results for multipliers when  $1 \leq p < 2$ .

## Strichartz's Theorem for Multipliers

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- The spherical transform  $\widehat{f}$  of a finitely supported radial function  $f$  on  $\mathcal{X}$  is defined by the formula

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- The Helgason-Fourier transform  $\widetilde{f}$  of a finitely supported function  $f$  on  $\mathcal{X}$  is a function on  $\mathbb{C} \times \Omega$  defined by the formula

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- If  $f$  is radial, then  $\widetilde{f}(z, \omega) = \widehat{f}(z)$ , for all  $\omega \in \Omega$ .

## $L^p$ -Schwartz spaces on $\mathcal{X}$ , $1 \leq p \leq 2$

- **Schwartz spaces  $\mathcal{S}_p(\mathcal{X})$**  : Space of all functions  $\phi$  on  $\mathcal{X}$  for which

$$\nu_{p,m}(\phi) = \sup_{x \in \mathcal{X}} (1 + |x|)^m q^{|x|/p} |\phi(x)| < \infty, \quad \text{for all } m \in \mathbb{Z}_+.$$

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## Definition

Let  $m$  be an even,  $\tau$ -periodic, bounded measurable function on  $\mathbb{R}$ . An operator  $\Theta$  defined as

$$\Theta f(x) = c_{\mathcal{X}} \int_{\mathbb{T}} \int_{\Omega} m(z) \, \tilde{f}(z, \omega) \, p^{1/2-iz}(x, \omega) \, |c(z)|^{-2} \, d\nu(\omega) \, dz,$$

is said to be a **multiplier on  $\mathcal{S}_p(\mathcal{X})$  with symbol  $m(z)$**  if, for every semi-norm  $\nu_{p,m_2}(\cdot)$  of  $\mathcal{S}_p(\mathcal{X})$ , there exists a semi-norm  $\nu_{p,m_1}(\cdot)$  of  $\mathcal{S}_p(\mathcal{X})$  and a constant  $C_{m_1, m_2} > 0$  such that

$$\nu_{p,m_2}(\Theta f) \leq C_{m_1, m_2} \, \nu_{p,m_1}(f), \quad \text{for all } f \in \mathcal{S}_p(\mathcal{X}).$$

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**Proposition ( S. K. Rano and R. P. Sarkar ; Math. Z. , 2025 )**

Let  $1 \leq p < 2$ . Then the following are equivalent.

- (a) The operator  $\Theta$  is a multiplier on  $\mathcal{S}_p(\mathcal{X})$  with symbol  $m(z)$ .
- (b)  $m$  is in  $\mathcal{H}(S_p)$ .

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- The ball averaging operators  $\mathcal{B}_n$  is a **multiplier** on  $\mathcal{S}_p(\mathcal{X})$  with **symbol**  $\psi_z(n)$ , where

$$\psi_z(n) = \frac{1}{\#B(o, n)} \sum_{j=0}^n \#S(o, j) \phi_z(j), \quad \text{for all } n \in \mathbb{Z}_+.$$

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## Strichartz's theorem on $\mathcal{L}$ revisited

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- Conclusion :  $\mathcal{L}f_0 = \gamma(i\delta_{p'})f_0$  or  $\mathcal{L}f_0 = \gamma(\tau/2 + i\delta_{p'})f_0$ .

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- Observation : The range of  $\gamma$  intersects  $\{w \in \mathbb{C} : |w| = |A|\}$  at **only one point**, namely,  $\gamma(\tau/2 + i\delta_{p'})$ .
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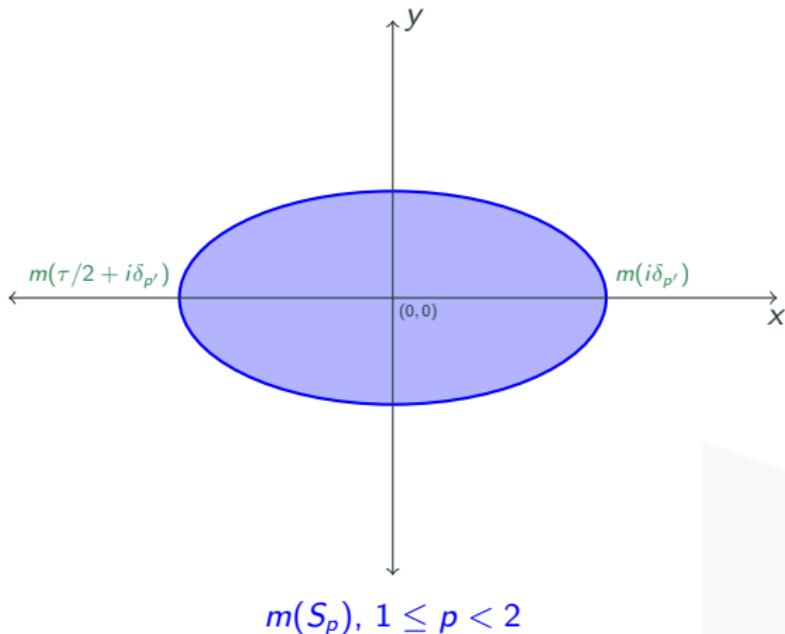
$$|A| = \max\{|m(z)| : z \in S_p\}.$$

- Difficulty : The range of  $m$  may intersect  $\{w \in \mathbb{C} : |w| = |A|\}$  at **more than one point**.

## Pictorial representation

★ Multiplier :  $I - \mathcal{L}$ .

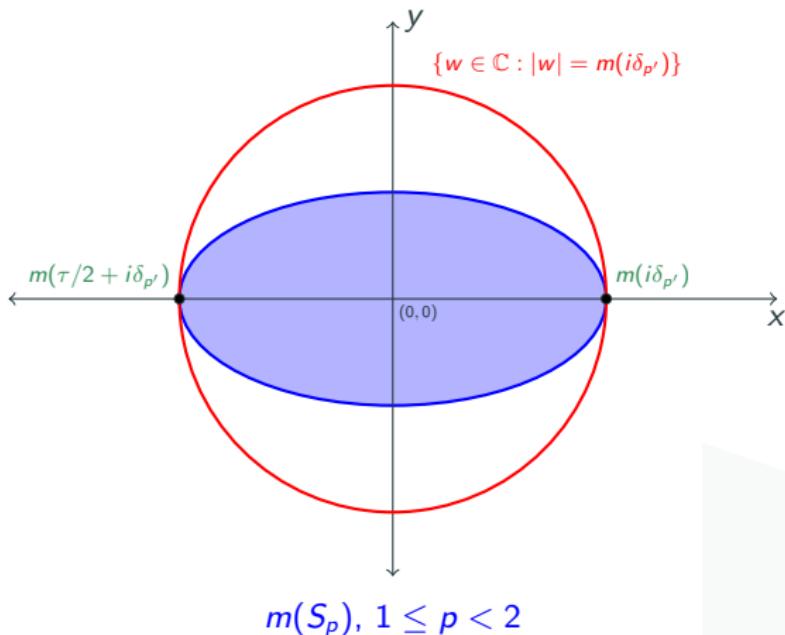
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## Strichartz's theorem for multipliers I

**Theorem ( S. K. Rano and R. P. Sarkar ; Math. Z. , 2025 )**

Let  $1 \leq p < 2$ . Let  $\Theta$  be a multiplier on  $\mathcal{S}_p(\mathcal{X})$  with symbol  $m(z)$  satisfying  $m(z) \neq 0$  for some  $z \in S_p$ . Suppose that  $\{f_k\}_{k \in \mathbb{Z}}$  is a bi-infinite sequence of functions on  $\mathcal{X}$  satisfying

$$\|f_k\|_{L^{p',\infty}(\mathcal{X})} \leq M \text{ and } \Theta f_k = A f_{k+1}, \text{ for all } k \in \mathbb{Z}.$$

Assume further that

- $|A| = \max\{|m(z)| : z \in S_p\}$ .
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Then  $f_0$  can be uniquely written as

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for some  $f_{0,i} \in L^{p',\infty}(\mathcal{X})$ , satisfying

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Let  $1 \leq p < 2$ . Let  $\Theta$  be a multiplier on  $\mathcal{S}_p(\mathcal{X})$  associated with symbol  $m(z)$  satisfying  $m(z) \neq 0$  for all  $z \in S_p$ . Suppose that  $\{f_k\}_{k \in \mathbb{Z}_+}$  is a bi-infinite sequence of functions on  $\mathcal{X}$  satisfying

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Assume further that

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- ✖ YES ! If the multipliers are **functions of the Laplacian**.
- ✖ Let  $\Psi$  be a **nonconstant holomorphic function** defined on a connected open set containing  $\gamma(S_p)$ .
- ✖ Then,  $\Psi \circ \gamma$  is in  $\mathcal{H}(S_p)$ .
- ✖ Hence,  $\Psi \circ \gamma$  corresponds to a **multiplier on  $\mathcal{S}_p(\mathcal{X})$** , which will be **denoted by  $\Psi(\mathcal{L})$** .

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- ✖ **Key examples** : Polynomials of  $\mathcal{L}$ , the spherical and the ball averages on  $\mathcal{X}$ , the heat operator on  $\mathcal{X}$ .

## Strichartz's theorem for $\Psi(\mathcal{L})$ I

### Theorem ( S. K. Rano and R. P. Sarkar ; Math. Z. , 2025 )

For  $1 \leq p < 2$ . Let  $\Psi(\mathcal{L})$  be a multiplier on  $\mathcal{S}_p(\mathcal{X})$  associated with the symbol  $\Psi \circ \gamma$ . Suppose that  $\{f_k\}_{k \in \mathbb{Z}}$  is a bi-infinite sequence of functions on  $\mathcal{X}$  satisfying

$$\|f_k\|_{L^{p',\infty}(\mathcal{X})} \leq M \text{ and } \Psi(\mathcal{L})f_k = A f_{k+1}, \text{ for all } k \in \mathbb{Z}.$$

Assume further that

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## Notable Consequences

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## Spherical averages on $\mathcal{X}$

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- The spherical average of a function  $f$  over  $S(x, n)$  is given by

$$\mathcal{S}_n f(x) = \frac{1}{\#S(o, n)} f * \chi_{S(o, n)}(x) = \frac{1}{\#S(o, n)} \sum_{y \in S(x, n)} f(y).$$

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- Fact :** For  $n \geq 2$ ,

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- Symbol :**  $z \mapsto \phi_z(n)$ .

## Strichartz's theorem for spherical averages on $\mathcal{X}$

- The maximum modulus of  $z \mapsto \phi_z(n)$  is  $\phi_{i\delta_{p'}}(n) = -\phi_{\tau/2+i\delta_{p'}}(n)$ .
- Attained at  $z_1 = i\delta_{p'}$  and  $z_2 = \tau/2 + i\delta_{p'}$ .
- The range of  $z \mapsto \phi_z(n)$  contains zero.

### Corollary

Fix  $n \in \mathbb{N}$ . For  $1 \leq p < 2$ , let  $\{f_k\}_{k \in \mathbb{Z}}$  be a bi-infinite sequence of functions on  $\mathcal{X}$  satisfying

$$\|f_k\|_{L^{p',\infty}(\mathcal{X})} \leq M \quad \text{and} \quad \mathcal{S}_n f_k = A f_{k+1}, \quad \text{for all } k \in \mathbb{Z},$$

where  $A \in \mathbb{C}$  satisfies  $|A| = \phi_{i\delta_{p'}}(n)$ . Then  $f_0$  can be uniquely written as

$$f_0 = f_{0,1} + f_{0,2},$$

for some  $f_{0,1}, f_{0,2} \in L^{p',\infty}(\mathcal{X})$  satisfying

$$\mathcal{S} f_{0,1} = \gamma(i\delta_{p'}) f_{0,1} \quad \text{and} \quad \mathcal{S} f_{0,2} = \gamma(\tau/2 + i\delta_{p'}) f_{0,2}.$$

## The heat operator on $\mathcal{X}$

- For  $\xi \in \mathbb{C}^\times$ , the complex-time heat operator  $\mathcal{H}_\xi$  is defined by

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- For  $1 \leq p < 2$ , we define

$$\Phi_p(\xi) = (1 - \gamma(i\delta_{p'})) \cdot ((\Re \xi)^2 + \tanh^2(\delta_{p'} \log q)(\Im \xi)^2)^{1/2}.$$

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- The maximum modulus :  $\exp\{\Re\xi + \Phi_p(\xi)\}$ .
- The minimum modulus :  $\exp\{\Re\xi - \Phi_p(\xi)\}$ .

## Strichartz's theorem for the heat operator on $\mathcal{X}$

- Let  $\beta_j, j = 1, 2$ , denote the unique points in  $(-\tau/2, \tau/2]$  satisfying

$$\Phi_p(\xi) \cos \beta_j = (-1)^j \Re \xi \cdot (1 - \gamma(i\delta_{p'})), \quad \Phi_p(\xi) \sin \beta_j = (-1)^j \Im \xi \cdot \gamma(\tau/4 + i\delta_{p'}).$$

- Maximum and minimum modulus are attained at  $z_1 = \beta_1 + i\delta_{p'}$  and  $z_2 = \beta_2 + i\delta_{p'}$ , respectively.

### Corollary

Fix  $\xi \in \mathbb{C}^\times$ . For  $1 \leq p < 2$ , let  $\{f_k\}_{k \in \mathbb{Z}}$  be a bi-infinite sequence of functions on  $\mathcal{X}$  such that  $\|f_k\|_{L^{p', \infty}(\mathcal{X})} \leq M$  for all  $k \in \mathbb{Z}$ .

- If  $\mathcal{H}_\xi f_{-k} = A f_{-k+1}$  for all  $k \in \mathbb{N}$ , where  $A \in \mathbb{C}$  satisfies  $|A| = \exp\{\Re \xi + \Phi_p(\xi)\}$ , then  $\mathcal{L} f_0 = \gamma(z_1) f_0$ , where  $z_1 = \beta_1 + i\delta_{p'}$  and  $\beta_1$  is as above.
- If  $\mathcal{H}_\xi f_k = A f_{k+1}$  for all  $k \in \mathbb{Z}_+$ , where  $A \in \mathbb{C}$  satisfies  $|A| = \exp\{\Re \xi - \Phi_p(\xi)\}$ , then  $\mathcal{L} f_0 = \gamma(z_2) f_0$ , where  $z_2 = \beta_2 + i\delta_{p'}$  and  $\beta_2$  is as above.

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Thank You !