

Day-4

19/12/24

Last time:-

- Basics of  $\mathcal{O}$
- Jordan - Holder th<sup>m</sup>
- classification of irreducibles in  $\mathcal{O} = \{L(\lambda)\}$
- $\mathcal{O}$  is finite length category
- $\mathcal{O}$  is closed under taking submodules.

upshot:-  $\mathcal{O}$  is abelian category.

Remark:- Every  $M \in \mathcal{O}$  has a finite J-H series with J-H simple factors  $L(\lambda)$ ,  $\lambda \in \mathfrak{h}^* \cong \mathbb{C}^I$ .

Most  $L(\lambda)$  are infinite-dim, as are "most"  $M \in \mathcal{O}$ .  
All but countably many

Characters:-

(0a) Definition: Given  $M \in \mathcal{O}$ , its (formal) character is

$$\text{ch}(M) = \text{char}(M) = \sum_{\lambda \in \mathfrak{h}^*} (\underbrace{\dim M_\lambda}_{\text{finite dim.}}) e^\lambda$$

Hence  $e^\lambda \cdot e^\mu = e^{\lambda+\mu} \quad \forall \lambda, \mu \in \mathfrak{h}^*$   
 $e^\lambda$ 's are linearly independent

eg (I) For  $\lambda \in \mathfrak{p}^+$

$\text{char } L(\lambda) =$  Weyl character formula

$$(II) \text{ char}(M \oplus M') = \text{char}(M) + \text{char}(M')$$

$$(III) \text{ char } M(\lambda) = \sum_{\mu \leq \lambda} \underbrace{KPF(\lambda - \mu)}_{\text{konstant partition f'n}} e^{\mu}$$

$$= e^{\lambda} \prod_{j=1}^N (1 + e^{-\beta_j} + e^{-2\beta_j} + \dots)$$

$$= \frac{e^{\lambda}}{\prod_{j=1}^N (1 - e^{-\beta_j})} \quad \text{where } \Phi^+ = \{\beta_1, \dots, \beta_N\}$$

IV say  $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$  is in  $\mathcal{O}$

$$\text{Then } \text{char } M = \text{char}(M') + \text{char}(M'')$$

$$(V) \mathfrak{g} = \mathfrak{sl}_2(\mathbb{C}) ; \quad l \geq 1, \lambda \in \mathfrak{h}^*$$

$$M_{l,\lambda} := \mathcal{U}\mathfrak{g} \cdot 1 / (\mathcal{U}\mathfrak{g} \cdot e^l + \mathcal{U}\mathfrak{g} \cdot (h - \lambda(h)))$$

$$\text{char } M_{l,\lambda} = ?$$

Recall  $\exists$  chain of submodules of  $M_{l,\lambda}$

$$0 = M_0 \subsetneq M_1 \subsetneq M_2 \subsetneq \dots \subsetneq M_l = M_{l,\lambda}$$

$\downarrow$   $M(\lambda + (-1)\alpha)$      $\downarrow$   $M(\lambda + (-2)\alpha)$      $\downarrow$   $M(\lambda)$

$$0 \rightarrow M_1 \rightarrow M_2 \rightarrow M_2/M_1 \rightarrow 0$$

$$\quad \quad \quad \parallel \quad \quad \quad \parallel$$

$$\quad \quad \quad M(\lambda + (l-1)\alpha) \quad \quad \quad M(\lambda + (l-2)\alpha)$$

and  $0 \rightarrow M_2 \rightarrow M_3 \rightarrow M(\lambda + (l-3)\alpha) \rightarrow 0$

and  $\vdots$

$$\Rightarrow \text{char}(M_1) = \text{char } M(\lambda + (l-1)\alpha)$$

$$\text{char}(M_2) = \text{char } M(\lambda + (l-1)\alpha) + \text{char } M(\lambda + (l-2)\alpha)$$

etc.

$$\Rightarrow \text{char}(M_{l-2}) = \sum_{j=1}^{l-2} \text{char } M(\lambda + (l-j)\alpha)$$

$$\Rightarrow \text{char}(M_{l,\lambda}) = \text{char}(M_e)$$

$$= \sum_{j=1}^l \frac{e^{\lambda + (l-j)\alpha}}{1 - e^{-\alpha}}$$

$$= \frac{e^\lambda}{1 - e^{-\alpha}} \cdot \frac{1 - e^{-l\alpha}}{1 - e^{-\alpha}}$$

1(b) consider the set of characters:

- $\{\text{char } M(\lambda) : \lambda \in \mathfrak{h}^*\}$

- $\{\text{char } L(\lambda) : \lambda \in \mathfrak{h}^*\}$

(biggest & smallest highest weight modules with highest weight  $\lambda$ )

Claim 1: The  $\text{char}(M(\lambda))$ 's are linearly independent in the vector space  $V_g$ ,

where

$$V_g = \left\{ \sum_{\lambda \in \mathfrak{h}^*} c_\lambda e^\lambda \mid c_\lambda \in \mathbb{C}, c_\lambda \neq 0 \Rightarrow \lambda \text{ in a finite union of cones } \lambda_j - \mathbb{Z}_{\geq 0} \Delta \right\}$$

proof

say 
$$\frac{\sum_{l=1}^r c_l e^{\lambda_l}}{\prod_{j=1}^N (1 - e^{-\beta_j})} = 0$$

( $\lambda_l$ 's are distinct)

Reorder the  $\lambda_l$ 's such that  $\forall l \leq r, \lambda_l$  is maximal among  $\{\lambda_1, \dots, \lambda_l\}$

Then

$$\sum_{l=1}^r \frac{c_l e^{\lambda_l}}{\prod_{j=1}^N (1 - e^{-\beta_j})} = 0 \stackrel{\text{"power series"}}{=} \sum_{\mu \in \mathfrak{h}^*} 0 e^\mu$$

coeff. of  $e^{\lambda r}$  on L.H.S is  $c_r$  }  $\Rightarrow c_r = 0$   
 " " " on R.H.S is 0.

Repeat via (downward) induction

1(c) Corollary: The char  $M(\lambda)$ 's are  $\mathbb{Z}$ -linearly independent in  $V_{\text{og}}$ .

1(d) Define  $\text{Grot}(\mathcal{O}) = K(\mathcal{O})$

Grothendieck group of  $\mathcal{O}$ , as the abelian group generated by isomorphism classes  $[M]$  of objects  $M$  in  $\mathcal{O}$ , modulo the relations:

$$[M] = [M'] + [M''] \text{ whenever } 0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0 \text{ in } \mathcal{O}$$

1(e) Remark: One can have  $M \not\cong M'$   
 but  $[M] = [M']$  in  $\mathcal{O}$

eg  $\mathcal{O} = \mathcal{S}_2(\mathbb{C})$

$$(i) \quad 0 \rightarrow M(-2) \rightarrow M(0) \rightarrow L(0) \rightarrow 0$$

$\parallel$   $\langle f_{m_0} \rangle$   $\langle m_0 \rangle$   $\parallel$   $\langle \bar{m}_0 \rangle$

$$\text{So } [M(0)] = [M(-2)] + [L(0)]$$

"   
  $L(-2)$

$$\text{and } [M(-2) \oplus L(0)] = [M(-2)] + [L(0)]$$

$$\text{But } M(0) \neq M(-2) \oplus L(0),$$

$$\left[ \begin{array}{l} \because \text{ If } M(-2) \oplus L(0) \cong M(0) \text{ then } \exists \text{ iso } \varphi: M(-2) \oplus L(0) \rightarrow M(0) \\ \Rightarrow L(0)_0 \xrightarrow{\quad} M(0)_0 \text{ But then } 0 = f \bar{m}_0 \mapsto f m_0 \neq 0 \\ \quad \quad \quad \bar{m}_0 \mapsto m_0 \text{ which is contradiction} \end{array} \right.$$

eg. (ii)  $[M_{2,\lambda}] = [M(\lambda) \oplus M(\lambda + \alpha)]$

$$M_{2,\lambda} \neq M(\lambda) \oplus M(\lambda + \alpha) \text{ if } \lambda = -\kappa$$

(will see later)

2(a) Th<sup>w</sup> :-

$$\text{Grot}(\mathcal{O}) \cong \bigoplus_{\lambda \in \mathfrak{h}^*} \mathbb{Z} [L(\lambda)] \cong \bigoplus_{\lambda \in \mathfrak{h}^*} \mathbb{Z} [M(\lambda)].$$

Moreover (since  $\text{char}([M])$  is well-defined  $\forall M \in \mathcal{O}$ )

$$\text{char}: \text{Grot}(\mathcal{O}) \longrightarrow \mathbb{V}_g \text{ is 1-1 group homo}$$

2(b) proof

$$\text{let } \tilde{G} = \text{Free abelian group generated by } \{ [M] : M \in \mathcal{O} \}$$

and

define  $\text{char}: \tilde{G} \longrightarrow V_g$  by

$$\bigoplus_{j=1}^b n_j [M_j] \longmapsto \sum_{j=1}^b n_j \text{char}(M_j)$$

This is a  $\mathbb{Z}$ -module map.

If  $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$  in  $\mathcal{O}$

then by 1(a)IV

$$[M] - ([M'] + [M'']) \longmapsto 0$$

$\Rightarrow \text{char}: \text{Grot}(\mathcal{O}) \longrightarrow V_g$  is a group map.

( $\because \tilde{G} / \langle [M] - ([M'] + [M'']) \rangle \cong \text{Grot}(\mathcal{O})$ )

2(c) Every  $M \in \mathcal{O}$  has a finite J-H series with subquotients  $L(\lambda_1), \dots, L(\lambda_b)$  (say all  $\lambda_j \in \mathfrak{h}^*$ ).

$\Rightarrow [M] = [L(\lambda_1)] + \dots + [L(\lambda_b)]$ , and

$$\text{char}[M] = \sum_{j=1}^b \text{char}[L(\lambda_j)]$$

2(d)

In particular,

$$[M] \in \sum_{\lambda \in \mathfrak{h}^*} \mathbb{Z} [L(\lambda)]$$

$$\Rightarrow \sum_{l=1}^r n_l [M_l] \in \sum_{\lambda \in h^*} \mathbb{Z} [L(\lambda)]$$

ie  $\text{Grot}(\mathcal{O}) \subseteq \sum_{\lambda \in h^*} \mathbb{Z} [L(\lambda)]$

and  $[L(\lambda)] \in \text{Grot}(\mathcal{O}) \quad \forall \lambda$ , so

$$\text{Grot}(\mathcal{O}) = \sum_{\lambda \in h^*} \mathbb{Z} [L(\lambda)]$$



2(e) claim:-  $\text{Grot}(\mathcal{O}) = \bigoplus_{\lambda \in h^*} \mathbb{Z} [L(\lambda)]$

say,  $\sum_{j=1}^r n_j [L(\lambda_j)] = 0$  where  $n_j \in \mathbb{Z} \setminus \{0\}$   <sup>$r > 0$</sup>  and  $\lambda_j$  are distinct.

$$\Rightarrow \sum_{\substack{j: \\ n_j > 0}} n_j [L(\lambda_j)] = \sum_{n_k < 0} |n_k| [L(\lambda_k)] \text{ in } \text{Grot}(\mathcal{O})$$

$$\Rightarrow \text{char}(\text{L.H.S}) = \text{char}(\text{R.H.S})$$

( $\because$   $\text{char}: \text{Grot}(\mathcal{O}) \rightarrow \mathbb{V}_g$  is group map)

$$\stackrel{(i.e)}{=} \sum_{\eta_j > 0} |\eta_j| \text{char}[L(\lambda_j)] = \sum_{\eta_b < 0} |\eta_b| \text{char}[L(\lambda_b)]$$

Now,  $\text{char } L(\lambda_j) \in e^{\lambda_j} + \sum_{\mu \leq \lambda_j} \mathbb{Z}_{\neq 0} e^{\mu}$

Pick  $1 \leq j \leq r$  s.t.  $\lambda_j$  is maximal

then  $e^{\lambda_j}$  has coeff.  $|\eta_j| > 0$  on one side  
& zero on the other side, which  
is contradiction.

2(f) **claim**: - char map is 1-1.

By (d), say  $\sum_{j=1}^r \eta_j [L(\lambda_j)] = 0 \in \ker(\text{char})$

and not all  $\eta_j$  are 0

$\Leftrightarrow$  wlog, all  $\eta_j \neq 0$

Now the proof in 2(e), again goes through  
to give contradiction.

3(a) **claim**:  $\text{Grot}(U) = \bigoplus_{\lambda \in h^*} \mathbb{Z}[M(\lambda)]$

Recall  $0 \rightarrow N_{\max}(\lambda) \xrightarrow{\lambda\text{-dim}=1} M(\lambda) \xrightarrow{\lambda\text{-dim}=1} L(\lambda) \rightarrow 0$  in  $\mathcal{O}$ .

$N_{\max}(\lambda)$  has finite J-H series

(a)  $\rightarrow$  (b) with all  $L(\mu)$  in it satisfying  $\mu \leq \lambda$

(c)  $\downarrow$  In fact we can improve on (b)  $\left( \because \lambda\text{-dim}(N_{\max}(\lambda)) = 1 - 1 = 0 \right)$

$L(\mu)$  occurs in  $M(\lambda)$

$\Rightarrow \exists 0 \leq N \leq M \leq M(\lambda)$  submodules

s.t.  $M/N \cong L(\mu) \ni \bar{v}_\mu$

i.e.  $\exists v_\mu \in M_\mu$  s.t.  $\eta^+ \cdot v_\mu \in N$

But then in the highest weight module

$$M(\lambda)/N = \mathcal{U}(\eta^-) \cdot \bar{m}_\lambda, \quad (\bar{m}_\lambda = m_\lambda + N)$$

$$\eta^+ \cdot \bar{v}_\mu = 0$$

$\Rightarrow \mathbb{Z}(\mathcal{U}_g)$  acts on  $\bar{v}_\mu$  by  $\chi_\mu$  and by  $\chi_\lambda$

$$\Rightarrow \chi_\mu = \chi_\lambda \Rightarrow \mu = W \cdot \lambda$$

Harish  
Chandra  
 $\uparrow \eta^-$

So,  $[M(\lambda) : L(\mu)] > 0$

$\Rightarrow \mu \leq \lambda$  and  $\mu \in W \cdot \lambda$

- $\sum_{\lambda \in \mathfrak{h}^*} \mathbb{Z}[M(\lambda)] \subseteq \text{Grot}(0)$

- say  $\sum_{j=1}^r n_j [M(\lambda_j)] = 0$

apply char map on both sides,

then  $\sum_{j=1}^r n_j \text{char}[M(\lambda_j)] = 0$

$\Rightarrow n_j = 0 \ \forall j$  ( $\because \lambda_j$  are distinct), by 1(b)  $\downarrow$  (claim 1)

- Why is  $\bigoplus_{\lambda \in \mathfrak{h}^*} \mathbb{Z}[M(\lambda)] = \text{Grot}(0)$ ?

3(b) Partition  $\mathfrak{h}^*$  into twisted  $W$ -orbits:

given  $\lambda \in \mathfrak{h}^*$ ; denote  $\underbrace{\Theta_\lambda}_{\text{twisted } W\text{-orbit}} := W \cdot \lambda$

Then  $\mathfrak{h}^* = \bigsqcup_{\lambda \in \mathfrak{h}^* / (W, \cdot)} \Theta_\lambda$

Now given  $\Theta \in \mathfrak{h}^* / (W, \cdot)$

$(\text{Grot}(0))_\Theta := \bigoplus_{\mu \in \Theta} \mathbb{Z}[L(\mu)]$

(ie if  $\lambda \in \Theta = \Theta_\lambda$ ,  $(\text{Grot } \mathcal{O})_{\Theta_\lambda} = \bigoplus_{w \in W/\text{stabilizer}} \mathbb{Z}[L(w, \lambda)]$

$\Rightarrow \text{Grot } (\mathcal{O}) = \bigoplus_{\lambda \in \mathfrak{h}^*/(W \cdot \cdot)} (\text{Grot } \mathcal{O})_{\Theta_\lambda} \stackrel{?}{=} \bigoplus_{\lambda \in \mathfrak{h}^*/(W \cdot \cdot)} \bigoplus_{w \in W/\text{stabilizer}} [M(w, \lambda)]$

By 2(g),  $(\text{Grot } \mathcal{O})_{\Theta_\lambda} \cong \bigoplus_{w \in W/\text{stabilizer}} \mathbb{Z}[M(w, \lambda)]$

$\bigoplus_{w \in W/\text{stabilizer}} \mathbb{Z}[L(w, \lambda)]$

$[M(w, \lambda)] = \sum_j [L(\mu_j)]$   
 if  $M(w, \lambda)$  has  
 J-H with  
 factor  $\{L(\mu_j)\}$   
 and  $\mu_j = w_j \cdot w \cdot \lambda$   
 $= (w_j \cdot w) \lambda$

Note  $M(w, \lambda) \subseteq (\text{Grot } \mathcal{O})_{\Theta_\lambda} \quad \text{--- (1)}$

It remains to show the other side inclusion  
 in (1) of free abelian groups of rank  $|W \cdot \lambda|$

(Note  $|W \cdot \lambda| \leq |W|$ ,

[  $\uparrow$  needn't be equal (eg  $\lambda = -\rho \Rightarrow W \cdot \lambda = W(\lambda + \rho) - \rho = -\rho$  ) ]

3(c) For  $w_i \in W$

Q. Why does  $L(w_i, \lambda) \in \bigoplus_{w \in W \setminus \text{stab}} \mathbb{Z}[M(w, \lambda)]$ ?

Index the elements of  $W \cdot \lambda$  as  $\lambda_1, \dots, \lambda_s$  to

satisfy:  $\lambda_i < \lambda_j \Rightarrow i > j$

(e.g.  $i=1$ :  $\lambda_1 < \lambda_j \Rightarrow 1 > j$  which is contradiction)  
 $\Rightarrow \lambda_1$  is maximal

Similarly,  $\lambda_i$  is maximal among  $\{\lambda_i, \lambda_{i+1}, \dots, \lambda_s\}$  &  $\lambda_j$  is minimal among  $\{\lambda_1, \dots, \lambda_j\}$

Claim: - The matrix of Jordan-Holder factors is:

$L(\lambda_1) \quad L(\lambda_2) \quad \dots \quad L(\lambda_{s-1}) \quad L(\lambda_s)$

$$D := \begin{pmatrix} M(\lambda_1) & 1 & * & \dots & * & * \\ M(\lambda_2) & 0 & 1 & * & - & - & * \\ \vdots & & & & & & \\ M(\lambda_{s-1}) & 0 & 0 & - & 0 & 1 & * \\ M(\lambda_s) & 0 & 0 & - & - & 0 & 1 \end{pmatrix}$$

(proof of claim)

• For any  $\lambda \in \mathfrak{h}^*$ , we have

$$0 \rightarrow N_{\max}(\lambda) \rightarrow M(\lambda) \rightarrow L(\lambda) \rightarrow 0$$

so from above,

$$[M(\lambda)] = [L(\lambda)] + \sum_{\substack{\mu \leq \lambda \\ \mu \in W, \lambda}} n_{\lambda\mu} [L(\mu)]$$

$$\Rightarrow [M(\lambda_s)] = [L(\lambda_s)] \quad (\because \lambda_s \text{ is minimal})$$

$$[M(\lambda_{s+1})] = [L(\lambda_{s+1})] + n_{\lambda_{s+1}\lambda_s} [L(\lambda_s)]$$

$\vdots$

and so on.

so, we get matrix  $\mathcal{D}$  to be as claimed.  $\square$

3(d) Why does  $[L(\lambda_i)] \in \bigoplus_{j=1}^i \mathbb{Z}[M(\lambda_j)] \nmid i$ ?

Because

$$\begin{pmatrix} [M(\lambda_1)] \\ \vdots \\ [M(\lambda_s)] \end{pmatrix} = \mathcal{D} \begin{pmatrix} [L(\lambda_1)] \\ \vdots \\ [L(\lambda_s)] \end{pmatrix}$$

But  $D \in \underbrace{GL_s(\mathbb{Z})}_{\text{upper triangular}},$

$$\text{so } \begin{pmatrix} [L(\lambda_1)] \\ \vdots \\ [L(\lambda_s)] \end{pmatrix} = D^{-1} \begin{pmatrix} [M(\lambda_1)] \\ \vdots \\ [M(\lambda_s)] \end{pmatrix} \quad (D^T \in GL_s(\mathbb{Z}))$$

$\rightarrow$  diagonal entries = 1  .  
 • entries = Kazhdan-Lusztig poly-evaluated at 1.

Brief:- We split the category  $\mathcal{O}$  in Verma modules of twisted  $W$ -orbits, on the label of characters/isomorphism classes in  $K(\mathcal{O})$ .

## Tutorial-4

Q. Pick the conditions that on  $M_1, M_2 \in \mathcal{O}$  that imply  $M_1 \cong M_2$

~~(a)~~  $\text{char}(M_1) = \text{char}(M_2)$

~~(b)~~ central character for  $M_1$  are the same as those of central characters for  $M_2$

~~(c)~~  $M_1$  and  $M_2$  have the same composition series

(d)  $\text{char}(M_1) = \text{char}(M_2) = \text{char}(L(\lambda))$

~~(e)~~  $\text{char}(M_1) = \text{char}(M_2) = \text{char}(M(\lambda))$

~~(f)~~  $\text{char}(M_1) = \text{char}(M_2) = \text{char}(V)$   
where  $M(\lambda) \twoheadrightarrow V$

Ans:- (a), (b), (c), (e), (f) are false.

Take  $V = M(\lambda)$

Let  $\mathfrak{g} = \mathfrak{sl}_2(\mathbb{C})$

Take  $M_1 = \underbrace{M(0)}_{\text{cyclic}}$  so  $0 \rightarrow \underbrace{L(-\alpha)}_{M(-\alpha)} \rightarrow M(0) \rightarrow L(0) \rightarrow 0$  is non-split

$\chi_0 = \text{char } M(0) = \text{char } L(0) + \text{char}(M(-\alpha))$

Take  $M_2 = L(0) \oplus M(-\alpha)$

Thus  $\text{char } M_1 = \text{char } M_2$  but  $M_1 \not\cong M_2$

(d) claim:-  $\text{char}(M) = \text{char } L(\lambda) \Rightarrow M \cong L(\lambda)$

$$\dim M_\lambda = \dim (L(\lambda))_\lambda = 1$$

so let  $0 \neq m_\lambda \in M_\lambda$ ,

then  $e_i \cdot m_\lambda \in M_{\lambda + \alpha_i} = 0 \quad \forall i \in I$

so  $m_\lambda = \text{maximal vector}$

let  $V = \mathbb{C} \cdot m_\lambda \subseteq M$ , then  $M(\lambda) \longrightarrow V \longrightarrow L(\lambda)$

so  $\text{char}(L(\lambda)) = \text{char}(M) \geq \text{char}(V) \geq \text{char } L(\lambda)$

$$\Rightarrow \text{char}(V) = \text{char } L(\lambda) \quad (\text{term by term})$$

$$\Rightarrow V \cong L(\lambda)$$

and  $V \subseteq M$  &  $\text{char } V = \text{char } M \Rightarrow V \cong M$  

Note:- In above, we showed (a), (b), (c), (e), (f) are false over  $\mathfrak{sl}_2(\mathbb{C})$ ; for  $\lambda = 0$

$$M_1 = M(0)$$

$$M_2 = L(0) \oplus M(-\alpha)$$

H.W. Find one common counter example for (a), (b), (c), (e), (f) for any semi-simple  $\mathfrak{g}$ .

Ans:- let  $M_1 = M(0)$ , not simple as  $L(0)$  is 1-dim

$$\text{Write } [M(0)] = [L(0)] + \sum_{e \neq w \in W} n_w [L(w \cdot 0)]$$

Now let  $M_2 = L(0) \oplus \bigoplus_{e \neq w \in W} L(w \cdot 0)^{\oplus n_w}$

$M_1$  and  $M_2$  have central character  $\chi_0$ ,

so (a), (b), (c), (e), (f) fail for them.

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Fact:- If  $V$  is f.d.  $\mathfrak{g}$ -module &  $M \in \mathcal{O}$ , then  
 $M \otimes V \in \mathcal{O}$ .

————— 0 —————