

1.A Factorisation in rings

In this section we extend the concepts of divisibility, greatest common divisor, prime elements in the ring of integers \mathbb{Z} to arbitrary rings and study those integral domains in which an analogue of the Fundamental Theorem of Arithmetic holds. This study is modeled on properties of the ring of integers.

Let A be a commutative ring with unity $1_A = 1$.

1.A.1 Definitions Let $a, b \in A$. We say that a divides b or b is divisible by a or b is a multiple of a or a is a factor of b if there exists an element $c \in A$ with $b = ca$ and is denoted by $a|b$.

Below we shall give several definitions and properties for reference.

(1) The divisibility $|$ is a relation on A , it is reflexive, i.e. $a|a$ for every $a \in A$ and transitive, i.e. if $a|b$ and $b|c$, then $a|c$. But it is not symmetric, for example, $1|2$ in \mathbb{Z} , but $2 \nmid 1$ in \mathbb{Z} .

(2) $1|a$ and $a|0$ for every $a \in A$. Moreover, 1 is the smallest element and 0 is the greatest element with respect to the divisibility relation.

(3) (Compatibility of divisibility with addition and multiplication) For $a, b, c, d \in A$, we have:

- (i) if $a|b$ and $a|c$, then $a|\lambda b + \mu c$ for every $\lambda, \mu \in A$
 (ii) if $a|b$ and $c|d$, then $ac|bd$, in particular, $ac|bc$
 (iii) if c is a non-zero divisor in A and if $ac|bc$, then $a|b$.

(4) The units in A (invertible elements with respect to the multiplication in A) are characterized by:
 $u \in A^\times$ (the group of units in A) $\iff u|a$ for every a
 $\iff u|1$.

(5) Two elements $a, b \in A$ are said to associate if $a|b$ and $b|a$. The relation "a is an associate of b" is an equivalence relation on A . The equivalence class of 1 is precisely the set of all units A^\times and the equivalence class of 0 is the singleton $\{0\}$.

For $a, b, c \in A$, we have:

- (i) Suppose that a and b are associates. Then $a|c$ if and only if $b|c$.
 (ii) If $b = ua$ for some unit $u \in A^\times$, then a and b are associates.
 (iii) If a is non-zero divisor in A , then b is an associate of a if and only if $b = ua$ for some unit $u \in A^\times$. In particular, associate of a non-zero divisor must be a non-zero divisor.

(6) A divisor a of b is called a trivial divisor ^(or improper) if either a is unit in A or a and b are associates; otherwise a is called a proper divisor of b and in this case we use the notation $a \parallel b$.

Units have no proper divisors; Every proper divisor of 0 is a non-unit.

Let $a, b, c \in A$ with $b = ac$. Suppose that b is a non-zero divisor in A . Then $a \parallel b \iff c \parallel b$.

1.A.2 Examples

(1) Let $a=2, b=3, c=3$ in \mathbb{Z}_6 . Then $ac=4 \mid 0=bc$ but $a \nmid b$.

(2) In \mathbb{Z} , the integers a and b are associates if and only if $a = \pm b$, i.e. $|a| = |b|$.

(3) Let $F := X(1-YZ) \in \mathbb{Z}[X, Y, Z]$ and let $A := \mathbb{Z}[X, Y, Z] / (F)$ be the quotient

ring. Then the elements x and xy are associates, since $x = x y z$ in A , but $xy \neq ux$ for every $u \in A^\times$. Suppose that $U = \sum a_{ijk} X^i Y^j Z^k \in \mathbb{Z}[X, Y, Z]$ be such that $\bar{U} = u \in A^\times$ and $xy = ux$. Then, since

$A/Ax = \mathbb{Z}[Y, Z]$ and $\bar{u} \in (A/Ax)^\times$, we must have $U = a + Xf$ with $a \in \mathbb{Z}$ and $f \in \mathbb{Z}[X, Y, Z]$. Now, from $xy = ux$ in

we have $UX - XY = X(1-YZ)g$ for some $g \in \mathbb{Z}[X, Y, Z]$. Cancelling X on both sides and putting $U = a + Xf$ we get $a + Xf - Y = (1-YZ)g$; again putting $X=0$ we get $a - Y = (1-YZ)g(0, Y, Z)$ has degree ≥ 2 a contradiction. \square

(4) In the polynomial ring $A[X]$ over an integral domain A ,

polynomials $f, g \in A[X]$ are associates if and only if $f = ug$ with $u \in A^\times$; this is clear from $A[X]^\times = A^\times$. Therefore an equivalence class of a non-zero polynomial with respect to the equivalence relation associates in $A[X]$ contains exactly one monic polynomial.

1.A.3 Definition An element $a \in A$ is called irreducible or indecomposable if it is a non-zero divisor ^(non-unit) and if it has no decomposition $a = bc$ into non-units b, c , otherwise we say that a is reducible or decomposable.

Note that irreducible elements in A are non-zero divisors, (in particular $\neq 0$), non-units and has no proper divisors in A . Further, an associate of an irreducible element is irreducible.

1.A.4 Lemma Let $a \in A$ be a non-zero divisor, non-unit in A . Then a is irreducible in $A \iff a$ has no proper divisors in A .

Proof (\implies) Noted above. (\impliedby) If $a = bc$ with $b, c \in A$, then $b|a$ and hence either $b \in A^\times$ or b is an associate of a in A . (by assumption) In the second case $b = ua$ for some $u \in A^\times$ (since a is a non-zero divisor in A) and hence $1 = uc$, i.e. c is a unit in A . Therefore $a = bc$ is not a proper decomposition.

1.A.5 Definition

An element $p \in A$ is called a prime element in A if it is a non-zero divisor and if the principal ideal A_p generated by p is a prime ideal in A or equivalently if the quotient ring A/A_p is an integral domain.

Note that prime elements in A are non-zero divisors (in particular $\neq 0$), non-units. Further an associate of a prime element is a prime element.

Prime elements can be characterized by divisibility:

1.A.6 Lemma For a non-zero divisor $a \in A$, the following statements are equivalent:

- (i) a is a prime element.
- (ii) a is not a unit in A and if $a \mid bc$ with $b, c \in A$, then either $a \mid b$ or $a \mid c$ in A .

1.A.7 Corollary If a prime element $p \in A$ divides a product $a_1 \cdots a_n$ of elements $a_1, \dots, a_n \in A$, then $p \mid a_i$ for some i with $1 \leq i \leq n$.

1.A.8 Corollary Every prime element in A is irreducible.

Proof Let $a \in A$ be a prime element. If $a = bc$ with $b, c \in A$, then by 1.A.6 either $a \mid b$ or $a \mid c$.

Suppose that $a \mid b$ i.e. $b = ad$ with $d \in A$. Then $a = bc = adc$ and hence $1 = dc$, i.e. $c \in A^\times$. Therefore $a = bc$ is not a proper decomposition.

1.A.9 Examples

(1) In $A = \mathbb{Z}$ (or more generally in a PID see) the concepts of prime and irreducible are same and these are precisely $\pm p$, where p is a prime ^{numb} ✓

(2) Let K be a field and let $A = K[X^2, X^3] = \{a_0 + a_2 X^2 + a_3 X^3 + \dots + a_n X^n \mid a_0, a_2, \dots, a_n \in K\}$ ^{$\in K[X]$} . Then X^2 and X^3 are irreducible in A (can be easily seen by comparing degrees), but they are not prime ^{since} $X^3 \mid (X^2)^2 = X^4$, but $X^2 \nmid X^3$ in A , and $X^2 \nmid X^3$ in A ^{$X^3 \nmid X^2$} .

(3) Prime elements in the polynomial ring $B = A[X_i]$ are called prime polynomials. If A is an integral domain the elements $bX_i - a$ with $a \in A, b \in A^\times$ are prime polynomials in B . In particular, if $A = K$ is a field, then every polynomial of degree 1 in B is a prime polynomial in B . ($B/(bX_i - a) \cong A[X_j \mid j \in I, j \neq i]$ is an integral domain and $0 \neq bX_i - a \notin B^\times = A^\times$).

(4) Let $a \in A$ be a non-zero divisor and a non-unit in A . Then a is a prime element in $A \iff a \in A[X]$ is a prime element in $A[X]$ ($A[X]/aA[X] \cong A/(a)$).

(5) Let $f \in A[X]$ be a monic polynomial over an integral domain. Suppose that $1 \leq \deg f \leq 3$. Then f is an irreducible element in $A[X]$ if and only if f has no zero in A . (\Leftarrow : Since f is monic and $\deg f \geq 1$, $f \notin A[X]^\times = A^\times$ and non-units in A are not divisors of f . Therefore, if f is reducible in $A[X]$, then

by degree formula $f = (aX+b)h$ for some $a, b \in A$, further $a \in A^*$, since f is monic. Then $-b/a \in A$ is a zero of f in A .

(Remark If $a \in A$ is a zero of a non-constant polynomial $f \in A[X]$, i.e. $f = (X-a)h$ with $h \in A[X]$, then $f(0) = -ah(0)$ and hence a is a divisor of $f(0)$ in A). For example, the polynomial $f = X^3 + 2X^2 + X + 4 \in \mathbb{Z}[X]$ is irreducible in \mathbb{Z} , since divisors of 4 are not zeros of f .

(6) In the polynomial ring $\mathbb{C}[X]$ over the field of complex numbers, the prime polynomials are precisely the polynomials of degree 1 (this is precisely the Fundamental Theorem of Algebra). In $\mathbb{R}[X]$ prime polynomials are precisely polynomials of degree 1 and quadratic polynomials $X^2 + aX + b$, $a, b \in \mathbb{R}$ with negative discriminants $\sqrt{a^2 - 4b} < 0$. (This follows from intermediate value theorem and fundamental theorem of algebra). The description of prime polynomials in $\mathbb{Q}[X]$ or in $K[X]$ where K is a finite field is more complex.

For a prime number $p \in \mathbb{N}$, the polynomial $X^2 - p \in \mathbb{Z}[X]$ is an irreducible polynomial -

(7) In the ring $\mathbb{Z}[\sqrt{m}] = \{a + b\sqrt{m} \mid a, b \in \mathbb{Z}\}$, where m is a square free integer, the element 2 is not prime. Since $2 \mid m(m-1) = (m+\sqrt{m})(m-\sqrt{m})$, but $2 \nmid m+\sqrt{m}$ and $2 \nmid m-\sqrt{m}$ in $\mathbb{Z}[\sqrt{m}]$.

(8) Let $m \in \mathbb{Z}$ be a square free integer with $m \leq -3$. Then the element 2 is irreducible in $\mathbb{Z}[\sqrt{m}]$.

Suppose that $a + b\sqrt{m}$, $c + d\sqrt{m}$ are non-units in $\mathbb{Z}[\sqrt{m}]$ with $2 = (a + b\sqrt{m})(c + d\sqrt{m})$. Then

$4 = N(2) = N(a + b\sqrt{m})N(c + d\sqrt{m})$ and hence (by the fundamental theorem arithmetic) $|N(a + b\sqrt{m})| = |N(c + d\sqrt{m})| = 2$, since both $a + b\sqrt{m}$ and $c + d\sqrt{m}$ are non-units. But $N(a + b\sqrt{m}) = a^2 - b^2m = \begin{cases} \geq (-m)b^2 \geq 3 \geq \pm 2, \nexists b \\ a^2 \neq \pm 2, \nexists b = 1 \end{cases}$

a contradiction. This proves that 2 is irreducible in $\mathbb{Z}[\sqrt{m}]$.

(9)

Divisibility properties in a ring can also be described by using (principal) ideals.

1.A.10 Let $a, b \in A$. Then:

$$(1) a|b \iff Ab \subseteq Aa$$

$$(2) a \text{ and } b \text{ are associates in } A \iff Ab = Aa.$$

$$(3) a \in A^\times \iff Aa = A.$$

$$(4) a \parallel b \iff Ab \not\subseteq Aa \not\subseteq A.$$

(5) a is an irreducible element in $A \iff$ the principal ideal Aa is maximal (with respect to the inclusion in the family of proper principal ideals in A).

Proof

1.A.11 Definitions A sequence $a_n, n \in \mathbb{N}$ of elements in A is called a chain of divisors if a_{n+1} divides a_n for every $n \in \mathbb{N}$.

We say that a ring A satisfies divisibility chain condition if every chain of divisors $a_n, n \in \mathbb{N}$ in A is stationary, i.e. $\exists n_0 \in \mathbb{N}$ such that a_{n+1} and a_n are associates in A for every $n \geq n_0$, i.e. $Aa_{n+1} = Aa_n$ for every $n \in \mathbb{N}, n \geq n_0$.

Note that a ring A satisfies divisibility chain condition if and only if every ascending chain of principal ideals in A is stationary. In particular, every noetherian ring satisfies divisibility chain condition.

1.A.12 Theorem Let A be a ring which satisfies the divisibility chain condition. Then every non-zero element $a \in A$ is a product of irreducible elements.

Proof Suppose that $a \neq 0$ is not a product of irreducible elements in A , in particular, a is not irreducible in A , i.e. $a = a_1 b_1$ with $a_1, b_1 \in A \setminus (A^\times \cup \{0\})$, i.e. $a_1 \parallel a, b_1 \parallel a$. If both a_1 and b_1 are product of irreducible elements in A , then $a = a_1 b_1$ is also a product of irreducible elements in A . We may assume that a_1 is not a product of irreducible elements in A . Then $a_1 = a_2 b_2$ with $a_2, b_2 \in A \setminus (A^\times \cup \{0\})$. Continuing the above process, we construct a sequence $a_n, n \in \mathbb{N}$ such that $a_{n+1} \parallel a_n$ for every $n \in \mathbb{N}$, i.e. the sequence $a_n, n \in \mathbb{N}$ is a chain of proper divisors and hence is not stationary.

1.A.13 Theorem Let $p_1, \dots, p_m, q_1, \dots, q_n \in A$ be prime elements and let $a := p_1 \cdots p_m$, $b := q_1 \cdots q_n$. Then:

(1) If $a|b$, then $m \leq n$.

(2) If $a \parallel b$, then $m = n$.

(3) If $a = b$, then $m = n$ and there exists a permutation $\sigma \in \mathfrak{S}_n$ such that p_i and $q_{\sigma(i)}$ are associates for every $i = 1, \dots, m$.

Proof

1.A.14 Definition A ring A is called factorial or unique factorisation domain if it is an integral domain and every non-zero, non-unit is a product of prime elements.

For example the ring of integers \mathbb{Z} is factorial (this is precisely the fundamental theorem of arithmetic).

In a factorial ring A every irreducible element a is prime, since in a product representation of a into prime elements only one prime can occur. Therefore:

1.A.15 Lemma Let A be an integral domain. The A is factorial if and only if:

- (1) Every non-zero, non-unit is a product of irreducible elements.
- (2) Every irreducible element is prime.

In particular, in factorial rings irreducible elements and prime elements are identical.

Note that for a given integral domain verifying the condition (2) of 1.A.15 is more difficult than verifying the condition (1) of 1.A.15 (see 1.A.12).

Let A be a factorial domain and let \mathcal{P} be a complete representative set for the equivalence classes of prime elements under the equivalence relation "being an associate of". For example if $A = \mathbb{Z}$, then the set $\mathcal{P} = \{p \in \mathbb{N} \mid p \text{ prime number}\}$ is a complete representative

set for the equivalence classes of prime elements in \mathbb{Z} .
By definition of factoriality and 1.A.13, every non-zero $a \in A$ can be written uniquely in the form:

$$a = e \prod_{p \in P} p^{v_p(a)}$$

Where $v_p(a) \in \mathbb{N}$ for every $p \in P$ and $v_p(a) = 0$ for almost all $p \in P$ and e is (uniquely determined by a) a unit in A .
We put $v_p(0) = \infty$ for every $p \in P$.

For $p \in P$ and $0 \neq a \in A$, the natural number $v_p(a)$ is called the p -th exponent of $a \in A$.

Let K be the quotient field of A . For $p \in P$ and $0 \neq x = a/b \in K$, $a, b \in A$, $b \neq 0$, we put $v_p(x) = v_p(a) - v_p(b)$ (this is well-defined: if $a/b = a'/b'$, then $ab' = a'b$ and so $v_p(a) + v_p(b') = v_p(ab') = v_p(a'b) = v_p(a') + v_p(b)$). Therefore:

$$x = e \prod_{p \in P} p^{v_p(x)}$$

Where $v_p(x) \in \mathbb{Z}$ for every $p \in P$ and $v_p(x) = 0$ for almost all $p \in P$ and e is (uniquely determined by x) a unit in A .
Therefore we get the representation: $x = e \frac{c}{d}$, where $c = \prod_{v_p(x) > 0} p^{v_p(x)}$ and $d = \prod_{v_p(x) < 0} p^{-v_p(x)}$ and are called numerator and denominator. $v_p(x) \in \mathbb{Z}$

1.A.15 Properties of the p -exponents Let A be a factorial domain and let $x, y \in K = \text{q.f. field of } A$.

Then:

- (1) If $x \neq 0$, then $v_p(x) = 0$ for almost all $p \in P$.
- (2) $v_p(xy) = v_p(x) + v_p(y)$ for every $p \in P$.
- (3) $v_p(x+y) \geq \min \{v_p(x), v_p(y)\}$ for every $p \in P$.

(4) $v_p(x) = 0$ for every $p \in P$ if and only if $x \in A^*$

(5) $v_p(x) \leq v_p(y)$ for all $p \in P$ if and only if there exists $a \in A$ with $xa = y$, i.e. $x|y$ in A .

(6) $v_p(x) = v_p(y)$ for all $p \in P$ if and only if there exists $e \in A^*$ with $xe = y$, i.e. x and y are not associate

(7) $v_p(x) \geq 0$ for all $p \in P$ if and only if $x \in A$.

Proof Exercise.

1.A.16 Definition Let $a, b \in A$. An element $d \in A$ is called a greatest common divisor of a and b in A if

(1) $d|a$ and $d|b$

(2) if $t \in A$ and $t|a, t|b$, then $t|d$.

An element $m \in A$ is called a least common multiple of a and b in A if

(1) $a|m$ and $b|m$

(2) if $e \in A$ and $a|e, b|e$, then $m|e$.

Note that any two gcds ^(and lcms) of a and b are associates. Therefore in an integral domain the gcd ^(resp. lcm) _(of $a, b \in A$) if it exists, is well-defined upto a multiplication by a unit and is denoted by $\gcd(a, b)$ (resp. $\text{lcm}(a, b)$).

From the characterisation of the divisibility 1.A. in factorial rings, we have:

1.A.17 Theorem Let A be a factorial ring and let $a, b \in A$. Then gcd (resp lcm) of a and b exists. Moreover, if P is a representative system for the classes of prime elements in A , then:

$$\gcd(a, b) = \prod_{p \in P} p^{\min(v_p(a), v_p(b))} \quad \text{and}$$

$$\text{lcm}(a, b) = \prod_{p \in P} p^{\max(v_p(a), v_p(b))}$$

1.A.18 Rules for gcd Let A be an integral domain with gcd (i.e. gcd of any two elements in A exists).

Then for $a, b, c \in A$, we have:

- (1) $\gcd(a, a) = a$
- (2) $a|b \iff \gcd(a, b) = a$
- (3) $\gcd(\gcd(a, b), c) = \gcd(a, \gcd(b, c))$ (Associativity),
- (4) $\gcd(ca, cb) = c \cdot \gcd(a, b)$ (Distributivity)
- (5) $\gcd(ab, c) = \gcd(\gcd(a, c) \cdot b, c)$ (Product formula).

Proof An easy exercise.

The associativity property (3) of gcd allows us to define gcd of a finite subset of A :

Let $a_1, \dots, a_n \in A$, $n \geq 0$ be elements in an integral domain.

An element $d \in A$ is called a gcd of a_1, \dots, a_n and is denoted by $\gcd(a_1, \dots, a_n)$ if

- (1) $d|a_1, \dots, d|a_n$ and (2) if $t \in A$ and $t|a_1, \dots, t|a_n$, then $t|d$.

The element d ^(if it exists) is uniquely determined by a_1, \dots, a_n , upto a multiplication by a unit in A .

Similarly, we can define lcm of $a_1, \dots, a_n \in A$, $n \geq 0$, denoted by $\text{lcm}(a_1, \dots, a_n)$.

Convention $\gcd(\emptyset) = 0$ and $\text{lcm}(\emptyset) = 1$.

1.A.19 Definition Let $a_1, \dots, a_n \in A$, $n \geq 1$ be elements in an integral domain. We say that a_1, \dots, a_n are relatively prime if $\gcd(a_1, \dots, a_n) = 1$. We say that a_1, \dots, a_n are pairwise relatively prime if $\gcd(a_i, a_j) = 1$ for every $1 \leq i, j \leq n$, $i \neq j$.

$$(5) \quad \cancel{\gcd(a, c) \cdot b = \gcd(ab, cb)} \cdot$$

~~Since $\gcd(cb, c) = c$ by (2), we have ~~(2)~~~~

$$\gcd(\gcd(a, c) \cdot b, c) \stackrel{(4)}{=} \gcd(\gcd(ab, cb), c) \stackrel{(3)}{=}$$

$$\gcd(ab, \gcd(cb, c)) \stackrel{(2)}{=} \gcd(ab, c)$$

1.A.20 Lemma Let A be an integral domain with \gcd and let $a, b \in A$ be two relatively prime elements in A . Suppose that $a|bc, c \in A$. Then $a|c$.

Proof Since $a|bc$, we have $\gcd(a, bc) = a$ by (2) of 1.A. Now, using $\gcd(b, a) = 1$ and the product rule (5) of 1.A., we get $a = \gcd(bc, a) = \gcd(\gcd(b, a)c, a) = \gcd(c, a)$, in particular, $a|c$.

1.A.21 Remark. The above proof does not use the structure of ideals in A . It is not analogous to the usual proof in the case when $A = \mathbb{Z}$ or a PID, where ideal-theoretic argument with a representation $1 = ra + sb$ for some $r, s \in \mathbb{Z}$ is used.

1.A.22 Corollary Let A be an integral domain with \gcd . Then every irreducible element in A is prime.

Proof It is enough to show that if $p \in A$ is irreducible and if $p|ab$, then either $p|a$ or $p|b$. Suppose that $p \nmid b$, i.e. $\gcd(p, b) \neq p$ by rule (2) of 1.A. and hence $\gcd(p, b) = 1$, since p is irreducible in A . Now, $p|a$ by 1.A.

Below we give ideal-theoretic description for gcd and lcm:

1.A.23 Lemma Let A be an integral domain and let $a_1, \dots, a_n \in A$. Then:

- (1) $\text{lcm}(a_1, \dots, a_n)$ exists if and only if the ideal $Aa_1 \cap \dots \cap Aa_n$ is a principal ideal in A . Moreover, in this case every generator of this ideal is a lcm of a_1, \dots, a_n .
- (2) If $Aa_1 + \dots + Aa_n$ is a principal ideal in A , then every generator of this ideal is a gcd of a_1, \dots, a_n .

Proof (1) Let $\mathfrak{a} = Aa_1 \cap \dots \cap Aa_n$. Then a generator of \mathfrak{a} is an element which is divisible by every element of \mathfrak{a} and which is also minimum with respect to the divisibility. From this (1) is immediate.

(2) Suppose that $Aa_1 + \dots + Aa_n = Ad$. Then $a_i \in Ad$, i.e. $d|a_i$ for every $i=1, \dots, n$. If $t|a_i$ for every $i=1, \dots, n$, then $a_i \in At$ for every $i=1, \dots, n$ and so $Ad = Aa_1 + \dots + Aa_n \subseteq At$, i.e. $t|d$.

Let A be an integral domain.

1.A.24 Example For the existence of gcd of $a_1, \dots, a_n \in A$, it is not necessary that the ideal $Aa_1 + \dots + Aa_n$ is principal in A . For example, the ring $\mathbb{Z}[X]$ is a factorial domain and hence $\text{gcd}(2, X)$ exists, but simple calculation on degrees, show that the ideal $2\mathbb{Z}[X] + X\mathbb{Z}[X]$ is not principal (see).

Therefore $\mathbb{Z}[X]$ is an example of a factorial domain, which is not a PID.