

# 1 Recap

1. Cartan-Hadamard
2. Killing-Hopf using ODE comparison
3. Triangle inequality comparison.
4. Statement of Bishop-Gromov.

## 2 Comparison geometry

### 2.1 Bishop-Gromov volume comparison and rigidity

Let  $(M, g)$  be complete and  $Ric \geq (n-1)K$  where  $K \in \mathbb{R}$ . Define the volume ratio  $V_K(r) = \frac{Vol(B_g(p, r))}{Vol(B_K(p_K, r))}$  where  $p \in M$  and  $p_K \in M_K$  (the choice of  $p_K$  does not matter). Then  $V_K(r)$  is non-increasing on  $(0, \infty)$  (actually it is non-increasing on  $(0, inj_p)$  even if  $M$  is not complete). Thus  $V_K(r) \leq V_K(0+) = 1$  and hence  $Vol(B_g(p, r)) \leq Vol(B_K(p_K, r))$ . (Also, if the diameter is finite, then  $V_K(r) \geq V_K(R)$ . This means the volumes of balls grow at least at a certain rate.) Moreover, if equality holds then  $B(p, R)$  is isometric to  $B_K(p_K, R)$  if  $R < inj_p$ . In general, the space has constant curvature. If  $R > dia(M)$  and  $K > 0$  then  $M$  is isometric to a sphere.

To prove such a result, we must differentiate the volume integral (and hence the volume form) in normal coordinates. Let  $D_p = M - Cut_p$  and  $\tilde{D}_p = T_p M - \exp^{-1}(Cut_p)$ . On  $D_p$  we have geodesic normal coordinates (the exponential map is a diffeomorphism). Now if  $q = \exp_p(v)$ , then  $\partial_i|_q = ((\exp_p)_*)_v(e_i)$ . Let  $S_p M$  be the unit sphere in  $T_p M$ . The volume form is  $vol_g = \sqrt{\det(g)} dx^1 \dots dx^n$  (where  $x^i$  are arranged to be an oriented chart). Now in the exponential polar coordinates,  $vol_g = A(r, \theta) dr d\sigma_{n-1}$  where  $A(r, \theta) = r^{n-1} \det(((\exp_p)_*)_{r, \theta})$ . Now as always, we want to write this expression using Jacobi fields so that we can use the Jacobi equation to differentiate. We claim that if  $J_2, \dots, J_n$  (because  $J_1 = \gamma'$ ) are normal Jacobi fields along  $\gamma_\theta(t) = t\theta$  (where  $\theta \in S_p M$ ) such that  $J_2'(0), \dots$  are linearly independent and  $J_i(0) = 0$ , then

$$A(t, \theta) = \frac{|\gamma' \wedge J_2(t) \wedge \dots|_{\gamma_\theta(t)}}{|J_1'(0) \wedge \dots|_p}. \quad (1)$$

Suppose  $J_i'(0) = w_i$  (with  $J_1'(0) = w_1 = \gamma'(0)$ ), then  $J_i$  (for  $i \neq 1$ ) is the variation field of  $\Gamma_i(t, s) = \exp_p(t(\theta + sw_i))$  and  $J_i(t) = ((\exp_p)_*)_{t\theta}(tw_i)$ . That is, the matrix whose columns are  $J_i$  (including  $J_1$ ) is  $D \exp_p[\gamma' tW]$ . Thus the determinant of this matrix is  $\det(D \exp_p) t^{n-1} \det([\gamma' W])$  and hence we are done.  $\square$

As a corollary, If  $(M_k, g_K)$  is a constant sec =  $K$  simply connected complete manifold, then  $A_K(r, \theta) = sn_K^{n-1}(r)$ . (Indeed, the Jacobi's are  $sn_k(t)e_k(t)$  where  $e_k(t)$  are parallel transports of an orthonormal basis.)

Here is an interesting consequence: we can calculate the area of  $S^n$  as  $\int_{S^{n-1}} \int_0^\pi \sin^{n-1}(t) dt d\sigma_{n-1} = \frac{2\pi^{(n+1)/2}}{\Gamma((n+1)/2)}$  where  $\Gamma(s) = \int_0^\infty e^{-t} t^{s-1} dt$  when  $s > 0$ .

Now we differentiate the volume form.

**Lemma 2.1.** *If  $\text{Ric} \geq (n-1)Kg$ , then for all  $t < t_{\text{cut}}(\theta)$ ,*

$$\frac{d}{dt} \ln A(t, \theta) \leq \frac{d}{dt} \ln A_K(t, \theta) = (n-1) \frac{sn'_K}{sn_K}. \quad (2)$$

*Thus  $A(t, \theta) \leq A_K(t)$ . Moreover, equality holds for some  $t = R$  and all  $\theta$  iff  $B(p, R)$  is isometric to  $B_K(R)$ .*

*Proof.* The first step is to realise that for any invertible matrix-valued path,  $\frac{d}{dt} \ln A = \text{tr}(A^{-1}A')$ . This equality can be proven for matrices with distinct eigenvalues by the implicit function theorem and diagonalisation. For general matrices, noting that the derivative at  $t = 0$  is the same as for the path  $A(t) = A(0) + tA'(0)$  and that both sides are real analytic in  $t$  as well as in the entries of  $A$ , it holds for all invertible matrices.

Choose  $\gamma'(0), e_2, \dots, e_n$  to be an oriented orthonormal frame for  $T_pM$ , parallel transport to get  $\gamma', E_2, \dots, E_n$  along  $\gamma$ . On an oriented manifold, parallel transport preserves orientation (why?) Thus  $\gamma', E_2, \dots$  is oriented. Fix  $T < t_{\text{cut}}$ . Let  $J_i$  be the Jacobi field with  $J_i(0) = 0, J_i(T) = E_i(T)$ . (The two-point problem has a solution provided there are no conjugate points.) Let  $A(t)$  be the matrix taking  $\gamma', E_2, \dots$  to  $\gamma', J_2, \dots$ . This matrix is non-singular on  $(0, T]$  and hence has positive determinant. Moreover,  $\det(A)^2 = \det(P)$  where  $P_{kl} = \langle J_k, J_l \rangle$ . Thus,  $\frac{d}{dt} \ln A(t, \theta) = \frac{1}{2} \text{tr}(P^{-1}P')$  which at  $t = T$  is  $\sum_i \langle J'_i, J_i \rangle(T) = \sum_i I(J_i, J_i)$  which by the following index lemma is  $\leq \sum_i I(X_i, X_i)$  where  $X_i = \frac{sn_K(t)}{sn_K(T)} E_i(t)$ :

**Lemma 2.2.** *Let  $\gamma : [0, b] \rightarrow M$  be a unit-speed geodesic with no conjugate points. If  $J$  is a normal Jacobi field and  $X$  is any normal field along  $\gamma$  such that  $X(0) = J(0) = 0$  and  $X(b) = J(b)$ , then  $I_\gamma(J, J) \leq I_\gamma(X, X)$  with equality iff  $X = J$ .*

The proof of this lemma is very similar to the calculation done for the usual index lemma. (Roughly, choose a basis of normal Jacobi fields and write  $J, X$  in terms of it. Now compute the Riemann tensor term in the index form for  $X$  in terms of the derivatives using the Jacobi equation, and then use fundamental theorem of calculus, and so on.)

Now we can calculate and easily see that  $\frac{d}{dt} \ln A(t, \theta) \leq \frac{d}{dt} \ln A_K$  and hence that  $\frac{A}{A_K}$  is decreasing in  $t$  (at least when  $t < t_{\text{cut}}$ ). Using the explicit expression for the Jacobi fields in normal coordinates, we see that the limit as  $t \rightarrow 0$  is 1. Thus  $A \leq A_K$ . If we have equality for some  $t = R$  and all  $\theta$ , (in particular, we require  $R$  to be less than the injectivity radius at the point), then by monotonicity,  $A = A_K$  for all  $t \leq R$ . Thus  $J_i = X_i$ . Now  $\tilde{J}_i = sn_K(R)J_i(t)$  satisfy  $\tilde{J}_i(0) = 0$  and  $\tilde{J}'_i(0) = e_i$ . Using geodesic normal coordinates, we see (as usual) that the metric is precisely that of the space-form and hence  $B(p, R, g)$  is isometric to  $B(p_K, R, g_K)$ .  $\square$

Suppose  $r < \text{inj}_p$ . Now  $V_r = \int_0^r a(t)dt$  where  $a(t) = \int_{S^{n-1}} A(t, \theta)d\sigma$  and likewise  $V_K = \int_0^r a_K(t)dt$ . Now if we consider  $f(r_1, r_2) = \frac{\int_{r_1}^{r_2} a(t)dt}{\int_{r_1}^{r_2} a_K(t)dt}$ , then  $f(r_1, r_2)$  is decreasing in  $r_1, r_2$ : Indeed,  $\int_{r_1}^{r_2} a_K(t)dt \int_s^{r_2} a(t)dt \leq \int_{r_1}^{r_2} a(t)dt \int_s^{r_2} a_K(t)dt$  and hence  $\partial_{r_1} f \leq 0$ . Likewise for  $r_2$ .

If equality holds, then  $V_r = V_K(r)$  for all  $r \leq R$ . Upon differentiation, we see that  $A = A_K$  for all  $r < R$ . Thus using exponential coordinates, we see that if  $r < \text{inj}_p$ ,

then  $B(p, r)$  is isometric to  $B_K(p_K, r)$  (note that the diameter is  $\leq \frac{\pi}{\sqrt{K}}$  if  $K > 0$  by Bonnet-Myer).

For the "global" statement, we need something about the cut locus. To be continued.....