

CERN-SIMONS INV & REP SPACES

Review of Gauge Theory: Fix a cpt wld X w/ a hermitian
bundle $E \rightarrow X$ and a preferred

Ref: Taubes - Differential
Geometry

$$\rightsquigarrow \text{Aut}(E) = \{g \in \Gamma(X, \text{End}(E)) \mid g^*g = \text{Id}_E \text{ and } \det(g) = 1\}$$

will denote by \mathcal{G}_E

$$\rightsquigarrow (\mathcal{O}_E)_x := \text{Nucless endo. of } E_x = \text{End}_0(E_x)$$
$$\Rightarrow \mathcal{O}_E = \text{End}(E)$$

Fact: $T_{\text{Id}} \mathcal{G}_E = \Gamma(X, \mathcal{O}_E)$

Defn (connection): A connection A is a
linear map $\nabla_A : \Gamma(X, E) \rightarrow \Gamma(X, E \otimes T^*X)$

s.t.

$$\nabla_A(fs) = df \otimes s + f \nabla_A s$$

We will restrict attention to connections A s.t.

$$d\langle S, t \rangle_E = \langle \nabla_A S, t \rangle_E + \langle S, \nabla_A t \rangle_E$$

$$\& \quad d(S_1 \wedge S_2) = \nabla_A S_1 \wedge S_2 + S_1 \wedge \nabla_A S_2$$

We call these connections $SU(2)$ connections and denote $\Gamma(X, E \otimes \Lambda^k T_X) = \Omega^k(X, E)$

Facts: • If A & A' are two $SU(2)$ connections, then,
 $\exists \alpha \in \Omega^1(E)$ s.t.

$$\nabla_{A'} S = \nabla_A S + \alpha S \quad \forall S \in \Omega^0(X, E)$$

we say $A' = A + \alpha$ in this case

- ∇_A extends to a map $d_A : \Omega^k(X, E) \rightarrow \Omega^{k+1}(X, E) \quad \forall k$ s.t.

$$d_A S = \nabla_A S \quad \text{when } S \in \Omega^0(X, E)$$

$$d_A(\alpha \wedge \beta) = (d_A \alpha) \wedge \beta + (-1)^k \alpha \wedge d_B \beta$$

$$\alpha \in \Omega^k(X, E) \quad \beta \in \Omega^\ell(X)$$

- $\exists F_A \in \Omega^2(E)$ s.t.

$$d_A^2 S = F_A S \quad \forall S \in \Omega^0(X, E)$$

- If $A' = A + \alpha$, then

$$F_{A'} = F_A + d_A \alpha + \alpha \wedge \alpha$$

Denote the space of $SU(2)$ connections as \mathcal{A}_E

Then $\mathcal{E}_E \cap \mathcal{A}_E$ as follows:

$$\begin{aligned}\nabla_{g\cdot A}(gs) &= g(\nabla_A s), \text{ i.e., a left action!} \\ \Rightarrow \nabla_{g\cdot A}s &= g(\nabla_A(g^{-1}s)) \\ &= g(\nabla_A(g^\dagger)s + g^\dagger \nabla_A s) \\ &= \nabla_A s + g(-g^\dagger (\nabla_A g) g^{-1}s) \\ &= \nabla_A s - (\nabla_A g) g^\dagger s \\ \Rightarrow g \cdot A &= A - (\nabla_A g) g^\dagger\end{aligned}$$

Further, if $A' = g \cdot A$,

$$\begin{aligned}F_{A'}(gs) &= d_{A'}(d_{A'}(gs)) \\ &= d_{A'}(g(d_A s)) \\ &= g(d_A^2 s) \\ &= g(F_A s)\end{aligned}$$

$$\Rightarrow F_{g \cdot A} = g F_A g^{-1}$$

Upshot: $F_A = 0 \Rightarrow F_{g \cdot A} = 0 \quad \forall g \in \mathcal{E}_E$

CHERN-SIMONS INVAR: Let Y be a 3-manifl, oriented, closed
 $\hookrightarrow E \downarrow Y$ be the trivial $SU(2)$ bundle

$\Rightarrow \exists$ a 4-manifl X cpt, oriented st. $\partial X = Y$.

E extends on X , call it \tilde{E} .

If A is a conn on E , we can extend it to \tilde{E} , say \tilde{A} .

Then $CS: A_E \rightarrow \mathbb{R}/\mathbb{Z}$ is defined by

$$CS(A) = \frac{1}{8\pi^2} \int_X \text{tr}(F_A^2) \quad \text{mod } \mathbb{Z}$$

This is well defined for the following reason:

Let $(X', \tilde{E}', \tilde{A}')$ be another extension of (Y, E, A)

Then $Z = X \cup_{Y, X'} X'$, $F = \tilde{E} \cup \tilde{E}'$, $B = \tilde{A} \cup \tilde{A}'$

is a closed mfd ...

& so,

$$\frac{1}{8\pi^2} \int_Z \text{tr}(F_B^2) = \langle c_2(F), [Z] \rangle \in \mathbb{Z}$$

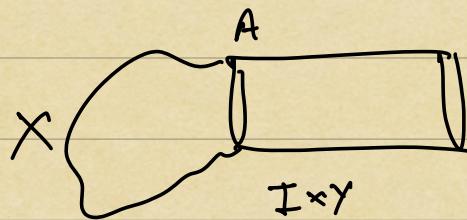
for $c_1(F) = 0$

(recall $c_1(\tilde{E}) = c_1(\tilde{E}') = 0$)

In fact,

$$CS: \Lambda_E^k / G_E \rightarrow \mathbb{R} / \mathbb{Z}$$

for the following reason:



$$g \cdot A \rightarrow \exists B \text{ on } I \times Y \text{ s.t.}$$

$$B|_{I \times Y} = A \text{ & } B|_{\{1\} \times Y} = g \cdot A$$

$$\Rightarrow CS(g \cdot A) - CS(A) = \frac{1}{8\pi^2} \int_{I \times Y} \text{tr}(F_B^2)$$

$$= \frac{1}{8\pi^2} \int_{S^1 \times Y} \text{tr}(F_B^2)$$

$$= \deg(g) \quad g: Y \rightarrow \text{SU}(2)$$

$$= 0 \pmod{\mathbb{Z}}$$

Denote $B_E = \Lambda_E^k / G_E$ & let g be a metric on Y .

We first equip Λ_E^k with a metric:

$$T_A \Lambda_E^k = \Omega^1(Y, E)$$

$$\langle \alpha, \beta \rangle = \int_Y -\text{tr}(\alpha \wedge * \beta)$$

$$\text{then } * : \Omega^k \rightarrow \Omega^{3-k}$$

$$\text{s.t. } \omega \wedge * \tau = \langle \omega, \tau \rangle_{\Lambda^k} d\omega d\tau$$

If $\{A_\epsilon\}$ is a path in A_E , we get a path $\{B_\epsilon\}$ in $A_{\tilde{E}}$

$$\Rightarrow D_A CS(x) = \frac{\partial}{\partial \epsilon} \frac{1}{8\pi^2} \int_X \text{tr}(F_{B+\epsilon b}^2) \Big|_{\epsilon=0}$$

$$= \frac{1}{8\pi^2} \int_X \text{tr}(d_B b \wedge F_B + F_B \wedge d_B b)$$

$$\text{Now, } d_B(b \wedge F_B) = d_B b \wedge F_B - b \wedge d_B F_B \\ = d_B b \wedge F_B$$

$$\Rightarrow \int_X \text{tr}(F_B \wedge d_B b) = \int_X \text{tr}(d_B b \wedge F_B) = \int_X \text{tr}(d_B(b \wedge F_B))$$

$$= \int_X d(\text{tr}(b \wedge F_B))$$

$$= \int_Y \text{tr}(\alpha \wedge F_\alpha)$$

$$\Rightarrow D_A CS(a) = \frac{1}{4\pi^2} \int_Y \text{tr}(\alpha \wedge F_\alpha)$$

$$= -\frac{1}{4\pi^2} \langle a, *F_\alpha \rangle$$

$$\Rightarrow \text{grad } CS(A) = -\frac{1}{4\pi^2} \star f_A$$

$\Rightarrow \text{Crit}(CS) = \text{Flat connections}$

$\Rightarrow \text{Crit}(CS) \subset \mathcal{A}_E/G_E$

$$\Leftrightarrow R_{SU(2)}(Y) := \text{Hom}(\pi_1 Y, SU(2)) / SU(2)$$

Conj.: $R_{SU(2)}^*(Y) \neq 0$ if Y is a ZHS³

Fact: • $R_{SL(2, \mathbb{C})}^*(Y) \neq 0$ if Y is a ZHS³

[Zentner '18]

• $\exists Y$ a closed hyper. manifold & Y a QHS³

s.t. $R_{SU(2)}^*(Y) = 0$

[Cornwell '15 $\Rightarrow Y = \Sigma_2(S_{18})$ has this property]

[Bonahon, Siebenmann '10 $\Rightarrow Y$ is hyperbolic]

Examples:

- Lens spaces:

Thm (Fintushel-Stern '85, Kirk-Klassen '90): Let $\gamma = \langle (p, q) \rangle$

and $p_n : \pi_1 Y \rightarrow \text{SU}(2)$
 $\langle p \rangle \rightarrow \begin{pmatrix} e^{2\pi i n/p} & 0 \\ 0 & e^{-2\pi i n/p} \end{pmatrix}$ when p is a prime.

$$\Rightarrow R_{\text{SU}(2)}(Y) = \{p_n \mid n \in \mathbb{Z} \text{ & } 0 \leq n \leq \frac{p}{2}\}$$

and

$$\text{CS}(p_n) = -\frac{n^2 r}{p} \pmod{\mathbb{Z}}$$

$$\text{where } r \in \mathbb{Z} \text{ s.t. } qr \equiv -1 \pmod{p}$$

Rank: $L(p_1, q_1) \cong L(p_2, q_2)$ (homology eq.)

if

$$p_1 = p_2 \quad \text{and} \quad q_1 q_2 \equiv \pm k^2 \pmod{p_1} \quad \text{for some } k \in \mathbb{N}$$

if

$\{\text{CS}(p)\}_{p \in R_{\text{SU}(2)}}(L(p_1, q_1))$ are same.

- Seifert Fibered space : (Fintushel, Stern '85; Furuta '90)

Let p, q, r be pair-wise co-prime

$$\Sigma(p, q, r) := S^1 \cap \{z_1^p + z_2^q + z_3^r = 0\} \subset \mathbb{C}^3$$

Then, $S^1 \cap \Sigma(p, q, r)$ by $u \cdot (z_1, z_2, z_3) = (u^r z_1, u^q z_2, u^p z_3)$

$$S^1 \rightarrow \Sigma(p, q, r)$$



$S^2(p, q, r)$ *an orbifold sphere with 3 singular pt. of order p, q, r*

$$1 \rightarrow \pi_1 S^1 \rightarrow \pi_1 \Sigma(p, q, r) \rightarrow \pi_1^{ab} S^2(p, q, r) \rightarrow 1$$

$$\begin{aligned} T_{p, q, r} &:= \{x, y, z \mid x^p = y^q = z^r = xyz = 1\} \\ &= \pi_1(S^2(p, q, r)) \end{aligned}$$

$$\pi_1 S^1 \subset Z(\pi_1 \Sigma(p, q, r))$$

- Facts:
- Any $\rho: \pi_1 \Sigma(p, q, r) \rightarrow SU(2)$, non-trivial,
has $\rho(\pi_1 S^1) \subset Z(SU(2)) = \{\pm 1\}$
 - $\exists \Psi: SU(2) \rightarrow SO(3)$ group homo. s.t. Ψ is surj. with
kernel $Z(SU(2))$

$$\bullet \quad R_{SU(2)}(\Sigma(p,q,r)) \xrightarrow{\Psi_*} R_{SO(3)}(\Sigma(p,q,r))$$

is a bijection (This follows from

$$H_1(\Sigma(p,q,r); \mathbb{Z}_2) = 0$$

$$\begin{aligned} \Rightarrow R_{SU(2)}(\Sigma(p,q,r)) &\cong R_{SO(3)}(\Sigma(p,q,r)) \\ &\cong R_{SO(3)}(S^2(p,q,r)) \\ &= \{ \bar{\rho}: T_{p,q,r} \rightarrow SO(3) \} \end{aligned}$$

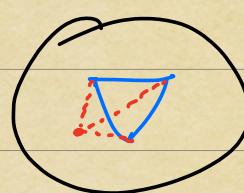
Suppose $\bar{\rho} \in R_{SO(3)}(S^2(p,q,r))$ & $\bar{\rho} \neq 1$.

Let $X = \bar{\rho}(x)$, $Y = \bar{\rho}(y)$, $Z = \bar{\rho}(z)$

$$\begin{array}{lll} \Rightarrow X \text{ is a rotation along an axis } l_x \text{ of angle } \frac{2\pi k}{p} \\ Y & " & l_y " \frac{2\pi l}{q} \\ Z & " & l_z " \frac{2\pi m}{r} \end{array}$$

As $\bar{\rho} \neq 1$, l_x, l_y, l_z are non-coplanar

Now $X Y Z = 1 \Leftrightarrow$



This constraint gives certain reqs. about k, l, m .

$$\text{Upshot: } \#R_{SU(2)}(\Sigma(p,q,r)) = 1 - \frac{\sigma(B(p,q,r))}{4}$$

$$\text{where } B(p,q,r) = \overline{D^6} \cap \{ z_1^p + z_2^q + z_3^r = \varepsilon \} \subset \mathbb{C}^3$$

and σ denotes signature of $\cup: H^2(\dots; \mathbb{Z}) \times H^4(\dots; \mathbb{Z}) \rightarrow H^4(\dots; \mathbb{Z}) \cong \mathbb{Z}$

Now, let A be a flat $SU(2)$ connection on $\mathcal{E}(p,q,r)$

Consider the mapping cylinder M_φ of $\varphi : \mathcal{E}(p,q,r) \rightarrow S^2(p,q,r)$

This is a 4-manifold containing 3-singular pts. These pts
are comes on $L(p,p')$, $L(q,q')$, $L(r,r')$

where $p', q', r' \in \mathbb{Z}_{>0}$ are computable.

Let $W = M_\varphi \setminus (L(p,p') \cup \dots)$

Then, $\pi_1 W = T_{p,q,r}$

$\Rightarrow A$ extends to a flat $SO(3)$ connection on W

$$\begin{aligned} \Rightarrow CS(A|_{\mathcal{E}(p,q,r)}) &\equiv CS(A|_{L(p,p')}) + CS(A|_{L(q,q')}) \\ &\quad + CS(A|_{L(r,r')}) \pmod{\mathbb{Z}} \end{aligned}$$

Thm (FS '85): Given any $P \in R_{\mathbb{Z}_{\geq 0}}(\mathcal{E}(p,q,r))$, $\exists e \in \mathbb{Z}$ s.t.

$$CS(A_P) \equiv \frac{e^2}{4pqr} \pmod{\mathbb{Z}}$$

CASSON - INVAR :

Recall that $B_E = A_E/G_E$

If $A \in A_E$,

$$\begin{aligned} \text{Stab}(A) &:= \{g \in G_E \mid g \cdot A = A\} \supset \{g \in G_E \mid g(y) = \pm 1 \ \forall y \in Y\} \\ &=: Z(G_E) \end{aligned}$$

We call these irred connections. Denote the subset A_E^*

$$\Rightarrow B_E^* := A_E^*/G_E \subset B_E$$

Note that $G_E/Z(G_E)$ acts freely on A_E^*

$\Rightarrow B_E^*$ is a "manifold".

We want to restrict CS to B_E^*

$$\Rightarrow \text{Crit}(\text{CS}|_{B_E^*}) =: R_{\text{SO}(2)}^*(Y)$$

$$\Rightarrow R_{\text{SO}(2)}^*(Y) = R_{\text{SO}(2)}(Y) \cap B_E^*$$

We want to say $\#R_{\text{SO}(2)}^*(Y)$ is an "euler char.".

This indeed makes sense in some cases.

$$\text{Let } R_{SU(2)}^{\text{red}}(Y) = R_{SU(2)}(Y) \setminus R_{SU(2)}^*(Y)$$

Then, the moral connection $[\sigma] \in R_{SU(2)}^{\text{red}}(Y)$

To make sense $R_{SU(2)}^*(Y)$ is a finite set of pts, we need to perturb CS. When this happens, some irred. crit pts could become reducible

To avoid this, we suppose the following:

Hypothesis: $R_{SU(2)}^{\text{red}}(Y) = \{[\sigma]\}$ \Rightarrow Y connected

$$H_*(Y; \mathbb{Z}) = H_*(S^3; \mathbb{Z})$$

Proof: If $[\sigma] \in R_{SU(2)}^{\text{red}}(Y)$, then \exists repn $\rho: \pi_1 Y \rightarrow U(1) \subset SU(2)$

$$\text{Now, } 0 = \text{Hom}(\pi_1 Y, U(1)) = \text{Hom}(\pi_1 Y, \mathbb{R}/\mathbb{Z})$$

$$= \text{Hom}(H_1(Y; \mathbb{Z}), \mathbb{R}/\mathbb{Z})$$

$$\Rightarrow H_1(Y; \mathbb{Z}) = 0 \Rightarrow H^2(Y; \mathbb{Z}) = 0 \text{ and } H^1(Y; \mathbb{Z}) = 0$$

$$\Rightarrow H_2(Y; \mathbb{Z}) = 0 \quad \blacksquare$$

We call such Y a $\mathbb{Z}HS^3$

Thm (Taubes '90): Let Y be a ZHS^3 .

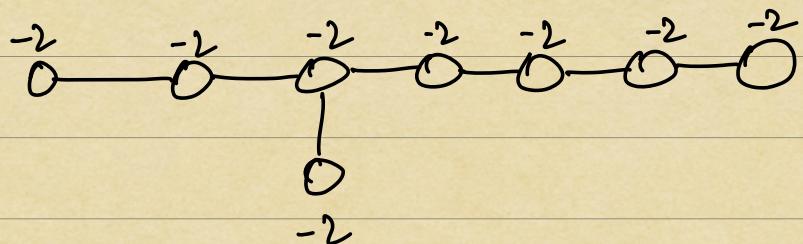
Then, after perturbing CS generically, we can define $\# R_{\text{surv}}^*(Y)$ which doesn't depend on the perturbation. Further,

$$2\lambda(Y) = \# R_{\text{surv}}^*(Y)$$

where $\lambda : \text{ZHS}^3 \rightarrow \mathbb{Z}$
is the Casson Invariant.

Eg: • $\lambda(\Sigma(p,q,r)) = \frac{1}{8} \sigma(B(p,q,r))$ [F.S.]

- $B(2,3,5)$ has no null intersection form



$$\Rightarrow \sigma(B(2,3,5)) = -8$$

$$\text{i.e., } \lambda(\Sigma(2,3,5)) = -1$$

- Seifert Fibred Spaces :

Then (FS '85): Let $Y = \Sigma(a_1, a_2, \dots, a_n)$ be a Seifert fibred integer homology sphere.

$$\text{Then, } \lambda(Y) = \frac{1}{2} \chi(R_{SO(2)}^*(Y))$$

Rank: • $H_i(R_{SO(2)}^*(Y); \mathbb{Z})$ is free and 0 in odd i .

[Kirk-Klassen '91]

• $R_{SO(2)}^*(Y)$ is a union of rational varieties

[Bauer-Okonek '90]

- Links of Singularities :

Casson Invariant Conj.: Let (X, ∂) be an isolated surface-link singularity with link Σ , a $\mathbb{Z}\mathrm{H\#}S^3$ and Milnor fibre F .

$$\text{Then } \lambda(\Sigma) = \frac{1}{8} r(F)$$

[Neumann-Wahl '90]: wh Σ is a graph mfd, weighted branched cover
[Collin-Saveliev '01]: branched cover construction

[Némethi-Okonec '05]: splice-type singularities

FLAT CONNECTIONS & REPRESENTATIONS :

Let $X = I \times E$ trivial with some conn. A .

Now, E has a trivial conn. A_0 .

$$\text{Let } A = A_0 + a$$

Then, A is flat as $F_A \in \Omega^2(X, E) \subset \Lambda^2 X = 0$

Try & find $s: I \rightarrow E$

$$\text{s.t. } \nabla_a s = 0$$

$$s'(t) + a(t)s(t) = 0$$

a soln exists with any $s(0)$ & is uniquely determined by it.

$$\Rightarrow \exists L: \mathbb{C}^n \rightarrow \mathbb{C}^n \text{ s.t.}$$

$$L(s(t)) = s(t)$$

Fact: $L \in \text{SU}(n)$

Now, let X be any cptd manifold & fix $x_0 \in X$

Then, if

$$\gamma: [0, 1] \rightarrow X \quad \gamma(0) = \gamma(1) = x_0,$$

$\gamma^* E \downarrow [0, 1]$ is a triv. bundle & so, we get a L_γ

as above. $\gamma = \gamma_1 \circ \gamma_2 \Rightarrow L_\gamma = L_{\gamma_1} \circ L_{\gamma_2}$

$$\Rightarrow \text{Hol}_A: \Omega_{x_0} X \longrightarrow \text{Aut}(E_{x_0}) \cong \text{SU}(n)$$

Fact: • $\langle \omega, (D_y H_{\alpha})(\tilde{\gamma})(t) \rangle = \int_0^1 \langle \tilde{w}, (F_t \tilde{v}) (\dot{\gamma}(t) \wedge \tilde{\gamma}(t)) \rangle_E dt$

on $\tilde{v}, \tilde{w} : [0, 1] \rightarrow \mathcal{D}^* E$ s.t. $\nabla_{\tilde{u}} \tilde{v} = \nabla_{\tilde{u}} \tilde{w} = 0$

• $F_A = 0$ on X

$$\Rightarrow D_y H_{\alpha} : T_y S_{x_0} X \rightarrow \Omega_{x_0}$$

vanishes everywhere

Hence, when $F_A = 0$, we get a map

$$H_{\alpha} : \pi_0(S_{x_0} X) \rightarrow \text{Aut}(E_{x_0})$$

i.e., $H_{\alpha} : \pi_1(X, x_0) \rightarrow \text{SU}(n)$